



A Prospective Comparison of Algisyl-LVR with Standard Medical Therapy to Determine Impact on Functional Capacity and Clinical Outcomes in Patients with Advanced Heart Failure (AUGMENT-HF Trial)

Stefan D Anker, MD, PhD On behalf of the AUGMENT-HF Trial Investigators



Disclosures

The study was funded by LoneStar Heart, Inc, Laguna Hills, CA USA

Stefan D Anker

- Scientific Advisory Board Lone Star Heart, Impulse Dynamics, Respicardia,
- Consultant Lone Star Heart, Bioventrix, Cardioxyl, Impulse Dynamics, Respicardia, Vifor International
- Grant Support Abbott Vascular, Vifor International





Background

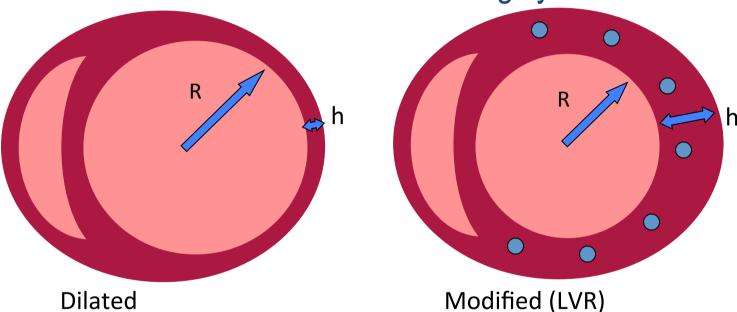
- Therapeutic options are limited for patients with advanced heart failure who become refractory to conventional pharmacological therapies
- The injection of biomaterials into diseased myocardium has been shown to reduce myofiber stress, LV wall stress, restore LV geometry and improve LV function (animals)
- Algisyl (Algisyl-LVR™) is a medical device that consists of an alginate hydrogel that is injected into the midwall of the LV, where it remains as a permanent implant that is intended to reduce LV wall stress and prevent or reverse the progression of HF
- In a prior phase I pilot study of Algisyl in patients with symptomatic heart failure undergoing CABG, there were significant improvements in cardiac function and reverse LV remodeling observed within 3 months





LV Restoration & Laplace's Law

The mechanism of the Algisyl®



$$O = \frac{P \times R}{2h}$$

Modified (LVR)

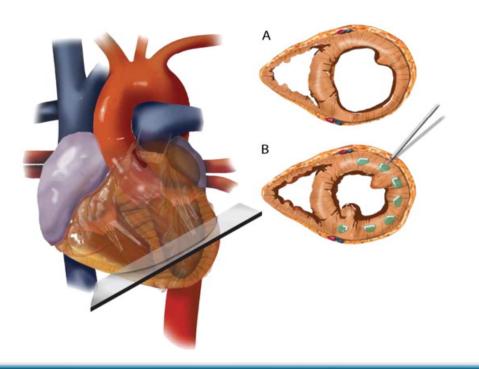
$$O = \frac{PxR}{2h}$$

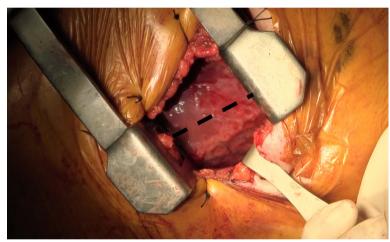




LV Restoration with Algisyl

Placement of Alginate Hydrogel via a Limited Thoracotomy









AUGMENT-HF Study Design & Objectives

- Multicenter prospective randomized clinical trial
- 78 Patients with moderate to severe HF (highly symptomatic) that had been treated with optimal medical and/or device therapy, randomized 1:1
 - 40 patients randomized to Algisyl implant procedure + optimal medical therapy
 - 38 patients randomized to optimal medical therapy alone
- 15 centers in Australia, Italy, Romania, Netherlands & Germany
- Primary Efficacy Endpoint: peak VO₂ at 6 months <u>assessed by blinded core lab</u>
- Safety Assessments: clinical outcomes over 30 days, 6, 12 and 24 months
- Secondary Endpoints: symptoms, 6min-WT & cardiac function during follow-up





AUGMENT-HF Key Inclusion & Exclusion Criteria

- Inclusion criteria
 - ischemic or non-ischemic HF patients who remain symptomatic despite optimal evidence-based therapies for HF
 - LVFF < 35%
 - Peak VO₂ of 9.0 14.5 mL/min/kg
 - LVEDDi 30 to 40mm/m² (LVEDD/BSA)
 - Stable, evidence-based therapy for heart failure
 - Written informed consent.
- Exclusion criteria were typical for patients with advanced heart failure
 - Acceptable renal, hepatic, stroke and MI status
 - LV wall thickness of at least 8 mm required for implant





AUGMENT-HF Committees and Core Labs

Scientific Advisory Board

Stefan D. Anker, MD PhD Andrew Coats, DM Robert D. Dowling, MD Randall J. Lee, MD Douglas L. Mann, MD Hani N. Sabbah, PhD

Data Management & Statistics

SOCAR Research S.A. (Nyon, CH)

Core Laboratories

Echocardiography - The Brigham and Women's Hospital (Boston) Cardiopulmonary Exercise Testing - Henry Ford Hospital (Detroit) Holter - BioClinica, Inc. Cardiovascular Services (Princeton) Blood & Biomarkers – ICON Laboratory Services (Dublin, IE)

Clinical Events Committee

Nicolas Danchin, MD (Chair) Gerasimos Filippatos, MD

Data Safety Monitoring Committee

Piotr Ponikowski, MD (Chair) Arjang Ruhparwar, MD Sidney Goldstein, MD Tim Clayton, MSc





AUGMENT-HF Baseline Demographics

| | All (N=78) | Algisyl-LVR (N=40) | Usual Care (N=38) |
|----------------------------------|---------------|-----------------------|----------------------|
| Age (Years) | 62.3 ± 9.6 | 62.6 ± 10.0 | 62.1 ± 9.2 |
| Gender (Male) | 66 (85%) | 32 (80%) | 34 (90%) |
| Ischemic HF | 45 (58%) | 23 (58%) | 22 (58%) |
| Non-ischemic HF | 33 (42%) | 17 (43%) | 16 (42%) |
| NYHA class - mean | 2.9 ± 0.5 | 2.9 ± 0.4 | 2.8 ± 0.5 |
| Class II/III/IV | 14 / 58 / 5* | 5/32/2* | 9/26/3 |
| LVEF (%) | 25.8 ± 5.5 | 25.6 ± 5.6 | 26.0 ± 5.3 |
| Peak VO ₂ (mL/min/kg) | 12.2 ± 1.8 | 12.2 ± 1.9 | 12.2 ± 1.8 |
| 6 MWT (m) | 295 ± 83 | 280 ± 84 | 310 ± 80 |
| Atrial fibrillation/flutter | 33 (42%) | 14 (35%) | 19 (50%) |
| Mitral regurgitation ≥ 3+ | 38 (52%) | 16 (43%) | 22 (61%) |
| Hypertension | 44 (56%) | 23 (58%) | 21 (55%) |
| Diabetes | 30 (39%) | 13 (33%) | 17 (45%) |
| Stroke (CVA) | 9 (12%) | 4 (10%) | 5 (13%) |
| Previous PCI or CABG | 25 (32%) | 13 (33%) | 12 (32%) |





Baseline HF Medications

| Medication Class | AII (N=78) | Algisyl-LVR (N=40) | Usual Care (N=38) |
|--|---------------|--------------------|----------------------|
| Diuretics | 77 (99%) | 39 (98%) | 38 (100%) |
| Beta-blockers | 74 (95%) | 37 (93%) | 37 (97%) |
| ARB/ ACE | 69 (89%) | 34 (85%) | 35 (92%) |
| Aldosterone antagonists | 54 (69%) | 29 (73%) | 25 (66%) |
| Lipid-lowering | 56 (72%) | 29 (73%) | 27 (71%) |
| Anti-thrombotics or Anti-platelet agents | 77 (99%) | 39 (98%) | 38 (100%) |
| Anti-platelet aggregation agents | 52 (68%) | 31 (80%) | 21 (55%) |



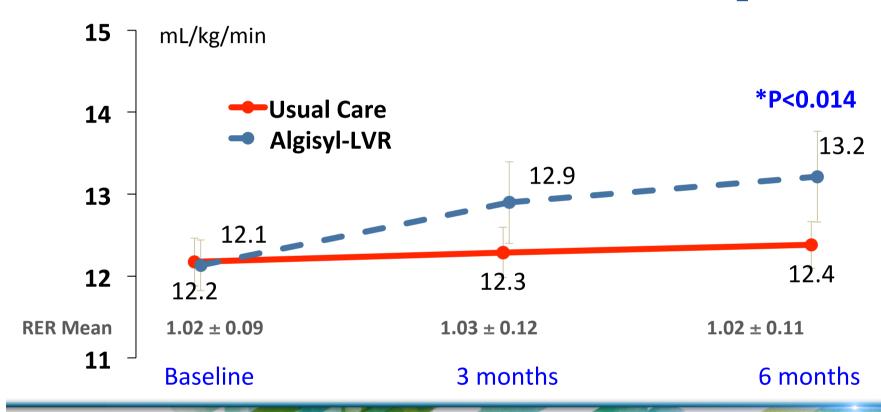
Operative Procedure Metrics for Algisyl-LVR Implant

| | Algisyl-LVR (N=35) |
|--|-----------------------|
| Mean Anesthesia duration (min) | 190 ± 29 |
| Mean Procedure duration (min) | 80.5 ± 24.9 |
| Mean Total number of Algisyl-LVR implants (injections) | 15.5 ± 2.0 |
| Mean Total volume of polymer administered (mL) | 4.6 ± 0.6 |
| Mean ICU Length of Stay (days) | 4.3 ± 7.3 |
| Median ICU Length of Stay (days) | 2.0 (1.0 – 43.0) |





AUGMENT-HF – Change in Mean Peak VO₂







AUGMENT-HF Primary Endpoint

Change in Peak VO₂ at 6 months

Repeated measures mixed model with dependent variable as Peak VO₂ and independent variables as baseline Peak VO₂ value, study group (Algisyl-LVR, Usual care), follow-up time 3 & 6 months and treatment-by-time with an unstructured covariance matrix to model the within-patient variability.

| Peak VO ₂ (mL/min/kg) | | | | | | | |
|----------------------------------|-----------------------|--------------------------------|-------|--|--|--|--|
| Adjusted m | ean [95%CI] | | | | | | |
| Usual Care (N=38) | Algisyl-LVR (N=40) | Mean product effect [95%CI] | Р | | | | |
| 12.2 [11.6 ; 12.9] | 13.5 [12.8 ; 14.2] | 1.24 [0.26 ; 2.23] | 0.014 | | | | |





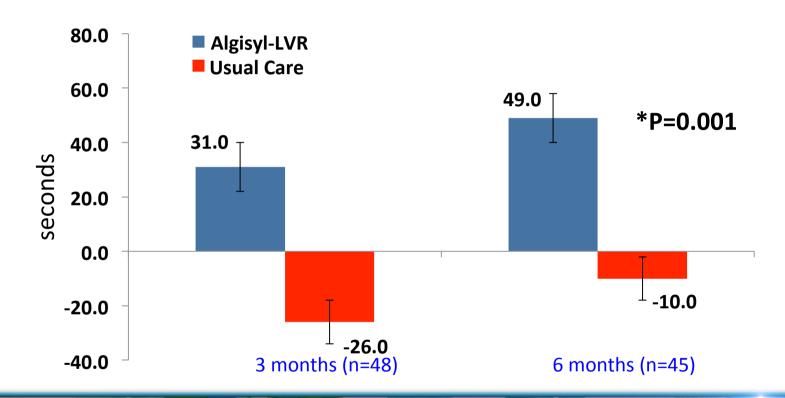
AUGMENT-HF – Subgroup analyses for primary endpoint

| | Usual Care | Algisyl | Mean Treatment effect on Peak VO2 [ml/min/kg] | P-value | Interaction P-value |
|--------------------------|---------------|---------|---|---------|------------------------|
| Overall | 38 | 35 | 1.24 [0.26 ; 2.23] | 0.014 | |
| Baseline Median peak VO2 | | | | | |
| < 12.5 mL/min/kg | 19 | 18 | 1.19 [0.15 ; 2.24] | 0.027 | 0.224 |
| ≥ 12.5 mL/min/kg | 18 | 16 | 1.23 [-0.37 ; 2.83] | 0.127 | 0.231 |
| Baseline Median 6MWT | | | | | |
| < 287m | 20 | 15 | 2.42 [0.77 ; 4.07] | 0.006 | 0.014 |
| ≥ 287m | 18 | 20 | 0.42 [-0.90 ; 1.73] | 0.520 | 0.014 |





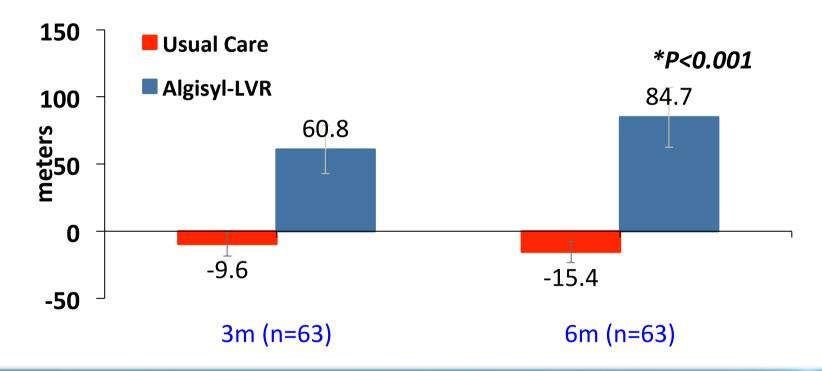
AUGMENT-HF – Exercise Time (CPX), change from baseline







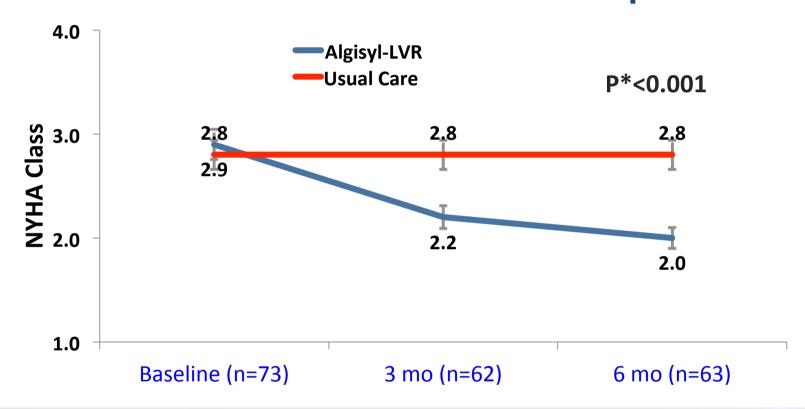
AUGMENT-HF 6min-WT - change from baseline







NYHA Functional Class – continuous representation







AUGMENT-HF - Summary of Clinical Outcomes

| Outcomes | Mean Difference Algisyl-LVR vs. Standard Medical Therapy | P Value Algisyl-LVR vs. Standard Medical Therapy | | |
|----------------------------------|---|---|--|--|
| Peak VO ₂ (mL/kg/min) | 1.24 | 0.014 | | |
| Peak Watts | 10.2 | 0.001 | | |
| Total Exercise Time (min) | 0.97 | 0.001 | | |
| 6-min walk test distance (m) | 141 ^a | < 0.001 | | |
| NYHA class | - 0.9 | < 0.0001 | | |

^a non-parametric test





AUGMENT-HF Safety End Points

Effect of Algisyl-LVR on 30 day mortality

- The pre-specified estimate of death within 30 days was 5%, based upon literature of similar surgical device trials.
- The 95% CI (binomial distribution) for this estimate was 1.80% 23.06%, provided that < 4 deaths were observed in the study during 30 days
- The actual number of deaths observed in 30 days was 3 (8.57%)
- Based upon the pre-specified data analysis plan the primary safety endpoint of AUGMENT-HF was met.





All Adverse Events – up to 6 months post-randomization

| Safety population | Usual Care (N=38) | | Algisyl-LVR (N=40) | | | |
|------------------------|----------------------|----------------------------------|-------------------------|----------------------------------|-----------------------|--------|
| | Total # of events | # of patients with events (%) | Total # of events | # of patients with events (%) | HR (95% CI) | Р |
| All adverse events | 63 | 17 (44.7) | 115 | 31 (77.5) | 3.41 (1.87 - 6.22) | <0.001 |
| Serious adverse events | 26 | 10 (26.3) | 33 | 16 (40.0) | 2.08 (0.94 - 4.60) | 0.063 |



Adverse Events, MACE & Death – CEC Adjudicated

| Safety population | Usual Care (N=38) | | Algisyl-LVR (N=40) | |
|---|----------------------|-------------------|-----------------------|-------------------|
| Event | Total # of events | # of patients (%) | Total # of events | # of patients (%) |
| Death | 3 | 3 (7.9%) | 6 | 6 (15.0%) |
| Cardiovascular death | 3 | 3 (7.9%) | 5 * | 5 (12.5%) |
| Adverse events within 30 days after surgery | | NA | 68 | 22 (55.0%) |
| Minor surgical complication | | NA | 43 | 18 (45.0%) |
| Major surgical complication | | NA | 18 | 10 (25.0%) |
| MACE events (All MACE events) | 22 | 10 (26.3%) | 18 | 11 (27.5%) |
| MACE events (excluding index hospitalization) | 22 | 10 (26.3%) | 9 | 7 (17.5%) |
| Cardiovascular death | 3 | 3 (7.9%) | 3 | 3 (7.5%) |
| Cardiac arrest | 1 | 1 (2.6%) | 1 | 1 (2.5%) |
| Worsening heart failure | 14 | 8 (21.1%) | 5 | 4 (10.0%) |
| Sustained ventricular arrhythmias | 4 | 4 (10.5%) | 1 | 1 (2.5%) |

^{*} Two adjudicated CV deaths after 30 days:

- One patient death following heart transplant with acute rejection
- One patient died of Klebsiella pneumonia, polyneuropathy and systemic sepsis





Conclusions

- The results of the AUGMENT-HF trial demonstrate that Algisyl injections can be administered safely in patients with advanced heart failure, with an acceptable 30 day post-operative morbidity & mortality.
- Treatment with Algisyl provided an improvement in functional capacity and heart failure symptoms when compared to patients in the control group.
- The early evaluation of 6-month MACE suggest a potential favorable impact on reduction of HF hospitalization in patients treated with Algisyl.
- This study provides proof-of-concept for LV augmentation with Algisyl as a potential novel new therapy for patients with advanced heart failure.



