

IN.PACT SFA: A Prospective Randomized Trial of a Drug- Coated Balloon for Femoropopliteal Lesions– Two-Year Outcomes

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On behalf of the IN.PACT SFA Trial investigators

Disclosure Statement of Financial Interest

Within the past 12 months, I or my spouse/partner have had a financial interest/arrangement or affiliation with the organization(s) listed below.

Affiliation/Financial Relationship

- Grant/Research Support
- Consulting Fees/Honoraria
- Major Stock Shareholder/Equity

Company

- WL Gore, Medtronic
- Abbott Vascular, Bard Peripheral Vascular, Boston Scientific, Cordis, Medtronic
- Syntervention, AngioSlide, BioCardia, Endoluminal Sciences, Reflow Medical, Eximo, Shockwave Medical, Ostial, PQ Bypass

Background

- SFA disease remains a challenge to manage with no evidence-based standard treatment defined
- PTA is associated with high incidence of restenosis when used for anything but focal, noncomplex lesions
- Reported long-term patency rates with stents range from 60-75%^[1-2], but concerns persist about in-stent restenosis and stent fractures
- Promising early results with drug-coated balloons in randomized trials, but longer term results are lacking

1. Dake MD et al. *J Am Coll Cardiol*. 2013;61:2417-27. 2. Rocha-Singh KJ, et al. *Catheter Cardiovasc Interv*. 2015

IN.PACT SFA Trial Overview

Objective: Assess the safety and efficacy of IN.PACT Admiral DCB vs. standard PTA for the treatment of superficial femoral and proximal popliteal artery disease due to claudication and rest pain

- Prospective, multicenter EU and US, randomized (2:1), single-blinded trial
- 331 patients enrolled:
IN.PACT DCB (n = 220) vs. PTA (n = 111)
- Rutherford Clinical Category 2-4
- Lesion lengths 4-18 cm or occlusions ≤ 10 cm
- Subjects followed up to 5 years
- Independent and blinded Duplex Ultrasound Core Lab,^[1] Angiographic Core Lab,^[2] and Clinical Events Committee^[3]

1. VasCore DUS Core Laboratory, Boston, MA, US; 2. SynvaCor Angiographic Core Laboratory, Springfield, IL, US;
3. Clinical Events Committee and Data Safety Monitoring services provided by HCRI, Boston, MA, US

IN.PACT SFA: Investigators and Sites



IN.PACT SFA I

150 subjects enrolled at 13 EU sites Sep 2010-Apr 2011

| | |
|-----------------------------------|--------------------------------------|
| M. Brodmann, Graz, Austria | G. Sorropago, Mercogliano, Italy |
| G. Tepe, Rosenheim, Germany | P. Peeters, Bonheiden, Belgium |
| T. Zeller, Bad Krozingen, Germany | F. Vermassen, Gent, Belgium |
| D. Scheinert, Leipzig, Germany | C. Trani, Rome, Italy |
| A. Micari, Palermo, Italy | M. Bosiers, Dendermonde, Belgium |
| I. Baumgartner, Bern, Switzerland | J. Van den Berg, Lugano, Switzerland |
| S. Sixt, Hamburg, Germany | |



IN.PACT SFA II

181 subjects enrolled at 44 US sites Apr 2012-Jan 2013

| | |
|-----------------------------------|------------------------------------|
| P. Krishnan, New York, NY, USA | C. Walker, Houma, LA, USA |
| C. Metzger, Kingsport, TN, USA | N. Strickman, Houston, TX, USA |
| A. Jain, Fremont, CA, USA | R. Fairman, Philadelphia, PA, USA |
| R. Sachar, Raleigh, NC, USA | S. Laster, Kansas City, MO, USA |
| N. Farhat, Elyria, OH, USA | W. Gray, New York, NY, USA |
| L. Garcia, Boston, MA, USA | V. Ramaiah, Phoenix, AZ, USA |
| R. Malhotra, Glendale, AZ, USA | P. Alden, Minneapolis, MN, USA |
| S. Germanwala, Longview, TX, USA | C. Stinis, La Jolla, CA, USA |
| A. Pershad, Phoenix, AZ, USA | R. Dave, Camp Hill, PA, USA |
| B. Bigelow, Indianapolis, IN, USA | R. Gallino, Washington, DC, USA |
| J. Zidar, Raleigh, NC, USA | G. Ansel, Columbus, OH, USA |
| S. Ahanchi, Norfolk, VA, USA | M. Schermerhorn, Boston, MA, USA |
| R. Feldman, Ocala, FL, USA | M. Hunter, Cincinnati, OH, USA |
| R. Kovach, Brown Mills, NJ, USA | M. Dake, Stanford, CA, USA |
| M. Goodwin, Naperville, IL, USA | J. Benenati, Miami, FL, USA |
| L. Marone, Pittsburgh, PA, USA | P. Schneider, Honolulu, HI, USA |
| M. Shishehbor, Cleveland, OH, USA | R. Serry, Poway, CA, USA |
| D. Chew, Des Moines, IA, USA | J. Angle, Charlottesville, VA, USA |
| P. Soukas, Providence, RI, USA | K. Gupta, Kansas City, KS, USA |
| M. Garcia, Newark, DE, USA | P. Jones, Chicago, IL, USA |
| M. Mewissen, Milwaukee, WI, USA | G. Petrossian, Roslyn, NY, USA |
| R. Brown, Waco, TX, USA | A. Patel, Morristown, NJ, USA |

IN.PACT SFA Trial

Blinded, Independently Assessed Outcomes

Primary Efficacy Endpoint

Primary patency within 12 months, defined as freedom from clinically-driven TLR and DUS-derived restenosis ($\text{PSVR} \leq 2.4$)

Primary Safety Endpoint

Freedom from device- and procedure-related death through 30 days, and freedom from target limb major amputation and clinically-driven TVR within 12 months

- *MAEs (including all individual components of the primary endpoints and key secondary endpoints) are adjudicated by the blinded CEC through 5 years*
- *Restenosis is assessed by the blinded Duplex and Angiographic Core Labs through the 3-year follow-up visits*

Baseline Clinical Characteristics

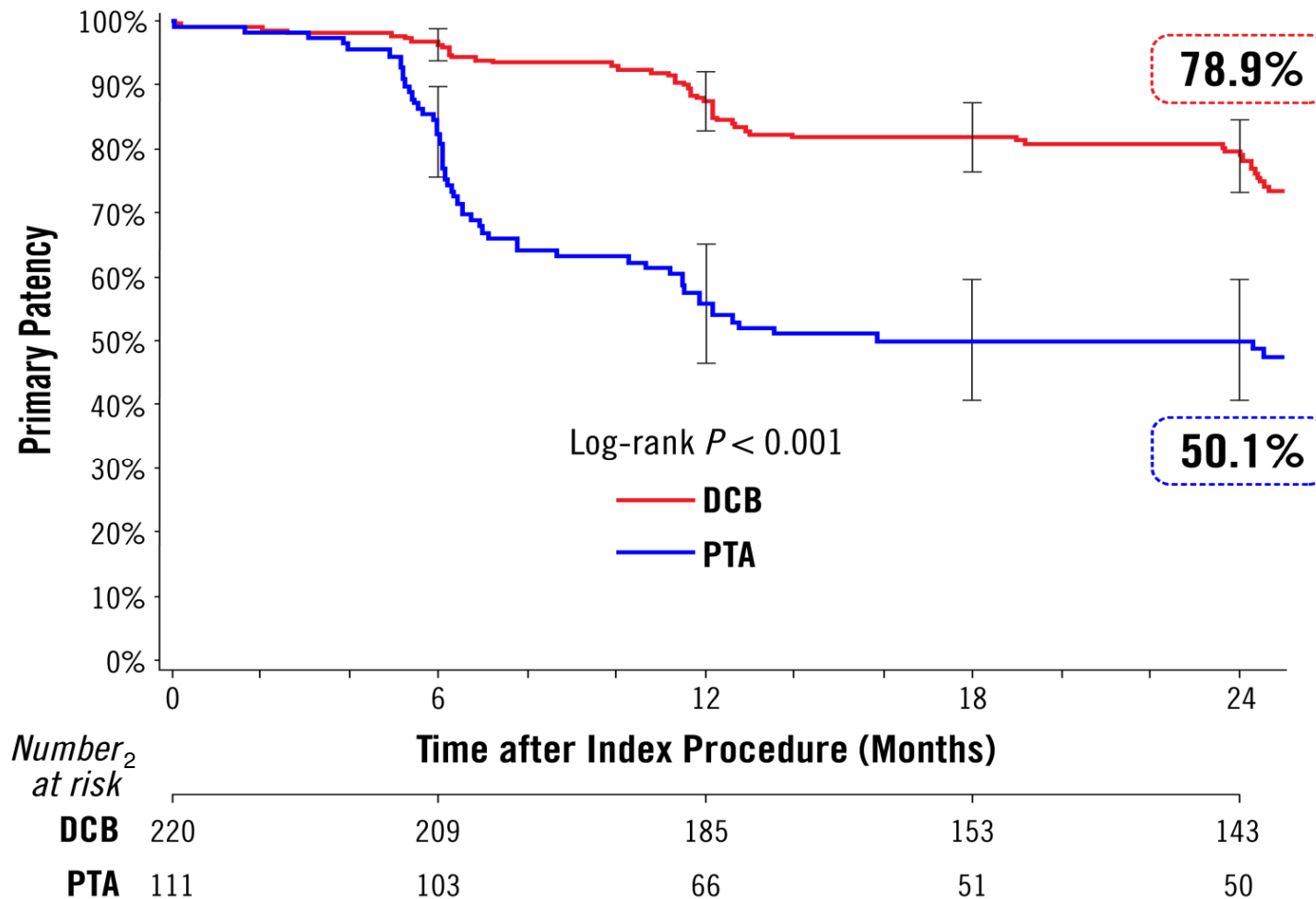
| | IN.PACT n = 220 subjects | PTA n = 111 subjects | p |
|---------------------------------------|------------------------------------|--------------------------------|----------|
| Age, Y ± SD | 67.5 ± 9.5 | 68.0 ± 9.2 | 0.612 |
| Male, % (n) | 65.0% (143/220) | 67.6% (75/111) | 0.713 |
| Diabetes, % (n) | 40.5% (89/220) | 48.6% (54/111) | 0.161 |
| Hypertension, % (n) | 91.4% (201/220) | 88.3% (98/111) | 0.431 |
| Current smoker, % (n) | 38.6% (85/220) | 36.0% (40/111) | 0.719 |
| Rutherford class, % (n) | | | 0.898 |
| 2 | 37.7% (83/220) | 37.8% (42/111) | |
| 3 | 57.3% (126/220) | 55.9% (62/111) | |
| 4 | 5.0% (11/220) | 5.4% (6/111) | |
| 5 | 0.0% (0/220) | 0.9% (1/111) | |
| ABI / TBI, ± SD ^[1] | 0.769 ± 0.228 | 0.744 ± 0.189 | 0.308 |

1. TBI allowed in cases of incompressible vessels in IN.PACT SFA II phase

Baseline Lesion Characteristics

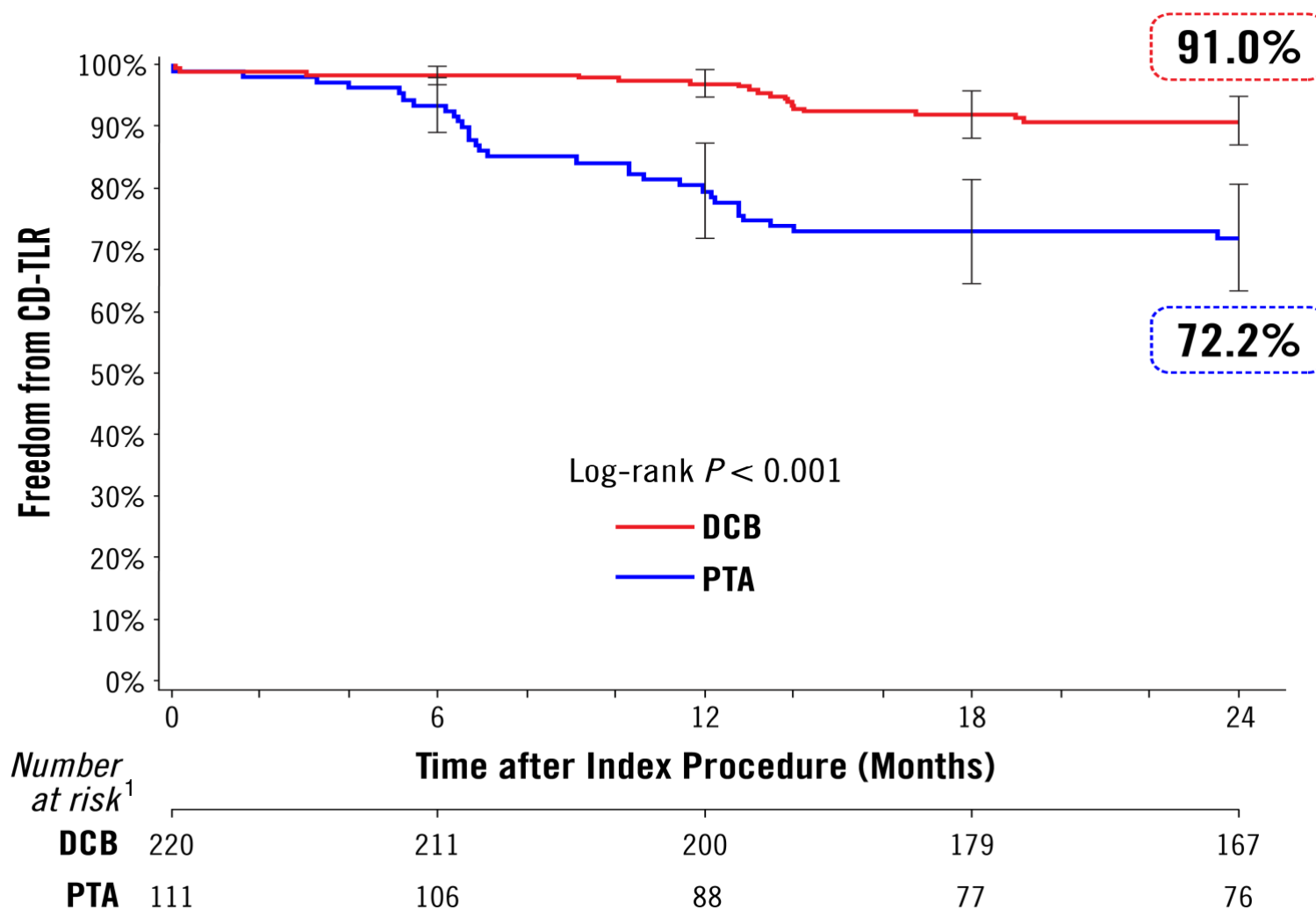
| | IN.PACT n = 220 Subjects, n = 221 Lesions | PTA n = 111 Subjects, n = 113 Lesions | p |
|------------------------------------|--|--|----------|
| Lesion length (cm ± SD) | 8.94 ± 4.89 | 8.81 ± 5.12 | 0.815 |
| Total occlusions, % (n) | 25.8% (57/221) | 19.5% (22/113) | 0.222 |
| Calcification, % (n) | 59.3% (131/221) | 58.4% (66/113) | 0.907 |
| Severe calcification, % (n) | 8.1% (18/221) | 6.2% (7/113) | 0.662 |
| Provisional stenting, % (n) | 7.3% (16/220) | 12.6% (14/111) | 0.110 |

Primary Patency¹ Results through 2 Years



1. Freedom from core laboratory-assessed restenosis (duplex ultrasound PSVR ≤ 2.4) or clinically-driven target lesion revascularization through 24 months (adjudicated by a Clinical Events Committee blinded to the assigned treatment)
2. Number at risk represents the number of evaluable subjects at the beginning of the 30-day window prior to each follow-up interval

Freedom from CD-TLR through 2 Years



1. Number at risk represents the number of evaluable subjects at the beginning of the 30-day window prior to each follow-up interval

IN.PACT SFA Trial

Effectiveness Outcomes Through 2 Years

| 2-Year Outcomes | IN.PACT n = 220 | PTA n = 111 | p* |
|--|--------------------|----------------|---------|
| Clinically-driven TLR ^[1] | 9.1% (18/198) | 28.3% (30/106) | < 0.001 |
| All TLR ^[2] | 10.1% (20/198) | 29.2% (31/106) | < 0.001 |
| Primary Sustained Clinical Improvement ^[3] | 76.9% (133/173) | 59.2% (61/103) | 0.003 |
| ABI / TBI ^[4] | 0.924 ± 0.261 | 0.938 ± 0.184 | 0.611 |

1. Clinically-driven TLR adjudicated by an independent Clinical Event Committee, blinded to the assigned treatment based on any re-intervention at the target lesion due to symptoms or drop of ABI of $\geq 20\%$ or >0.15 when compared to post-procedure baseline ABI

2. All TLR includes clinically-driven and incidental or duplex driven TLR

3. Freedom from target limb amputation, target vessel revascularization (TVR), and increase in Rutherford class

4. TBI allowed in cases of incompressible vessels in IN.PACT SFA II phase

* Unless otherwise indicated, all tests were for superiority using the Fisher's exact test for binary variables and t-test for continuous variables

IN.PACT SFA Trial

Safety Outcomes Through 2 Years

| 2-Year Outcomes | IN.PACT n = 220 | PTA n = 111 | p [*] |
|--|--------------------|----------------|----------------|
| Primary Safety Composite ^[1] | 87.4% (173/198) | 69.8% (74/106) | < 0.001 |
| Major Adverse Events ^[2] | 19.2% (38/198) | 31.1% (33/106) | 0.023 |
| All-cause Death [†] | 8.1% (16/198) | 0.9% (1/106) | 0.008 |
| Device- or Procedure-related Death | 0.0% (0/198) | 0.0% (0/106) | > 0.999 |
| Clinically-driven TVR | 12.6% (25/198) | 30.2% (32/106) | < 0.001 |
| Target Limb Major Amputation | 0.0% (0/198) | 0.0% (0/106) | > 0.999 |
| Thrombosis | 1.5% (3/198) | 3.8% (4/106) | 0.243 |

1. Freedom from 30-day device and procedure-related death and target limb major amputation and clinically-driven TVR within 12 (24) months

2. Composite of death, clinically-driven TVR, target limb major amputation, and thrombosis

* p-values are based on Fisher's exact test for superiority with significance level of 0.05

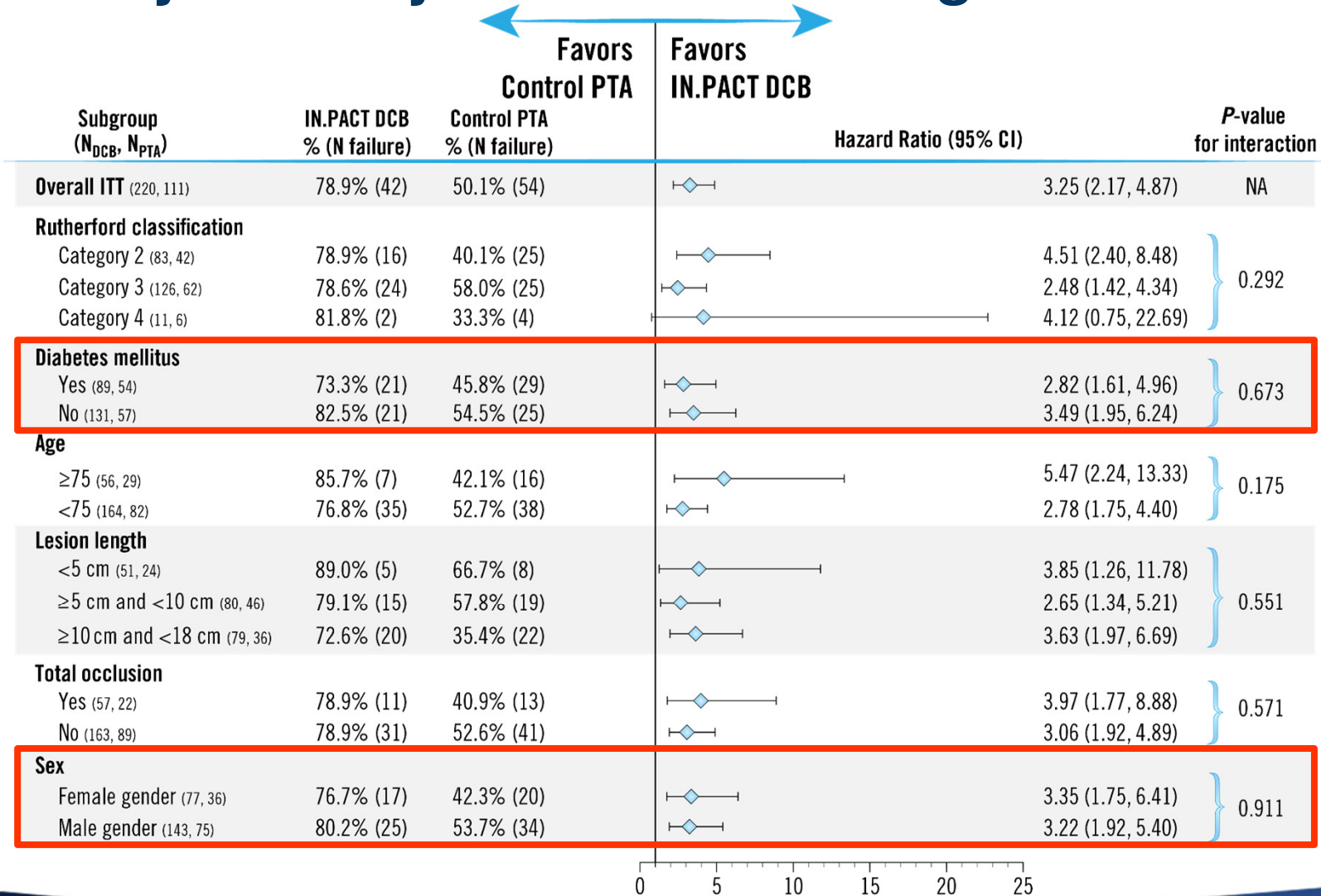
† No deaths were adjudicated as device- or procedure-related by the CEC; Median post-index days to death: 564.5 days in DCB vs. 397 days in PTA

All-cause Mortality Through 2 Years

| Causes of Death Through 2 Years | Treatment Group | Days to Death | CEC Adjudication | |
|--|-----------------|---------------|-------------------|----------------|
| | | | Procedure-related | Device-related |
| Cardiac-related | | | | |
| Acute Diastolic Congestive Heart Failure | DCB | 540 | NO | NO |
| Cardiac Arrest | DCB | 568 | NO | NO |
| Cardiac Arrest | DCB | 610 | NO | NO |
| CAD | DCB | 615 | NO | NO |
| Ischemic Cardiomyopathy | DCB | 699 | NO | NO |
| Malignancy | | | | |
| Metastatic Colon Cancer | PTA | 540 | NO | NO |
| GI Cancer | DCB | 561 | NO | NO |
| Respiratory-related | | | | |
| Acute Respiratory Failure | DCB | 657 | NO | NO |
| Hypoxic Respiratory Failure | DCB | 681 | NO | NO |
| Other | | | | |
| Infarction of the Right Cerebral Hemisphere in the Anterior and Medial Flow Region | DCB | 127 | NO | NO |
| Biliary Sepsis | DCB | 168 | NO | NO |
| Perforated Transverse Colon Secondary to Cecal Volvulus | DCB | 314 | NO | NO |
| Sepsis | DCB | 374 | NO | NO |
| Deterioration of General Condition | DCB | 603 | NO | NO |
| Dementia | DCB | 679 | NO | NO |
| Unknown | | | | |
| Sudden Death | DCB | 287 | NO | NO |
| Unknown | DCB | 541 | NO | NO |

IN.PACT SFA Trial Subgroups

Primary Patency Outcomes through 2 Years



IN.PACT SFA Trial Subgroups

Primary Patency at 2 Years

by Gender

| Gender Subgroup (N _{DCB} , N _{PTA}) | IN.PACT % (N failure) | PTA % (N failure) | p |
|---|--------------------------|----------------------|---------|
| Female (77, 36) | 76.7% (17) | 42.3% (20) | < 0.001 |
| Male (143, 75) | 80.2% (25) | 53.7% (34) | < 0.001 |

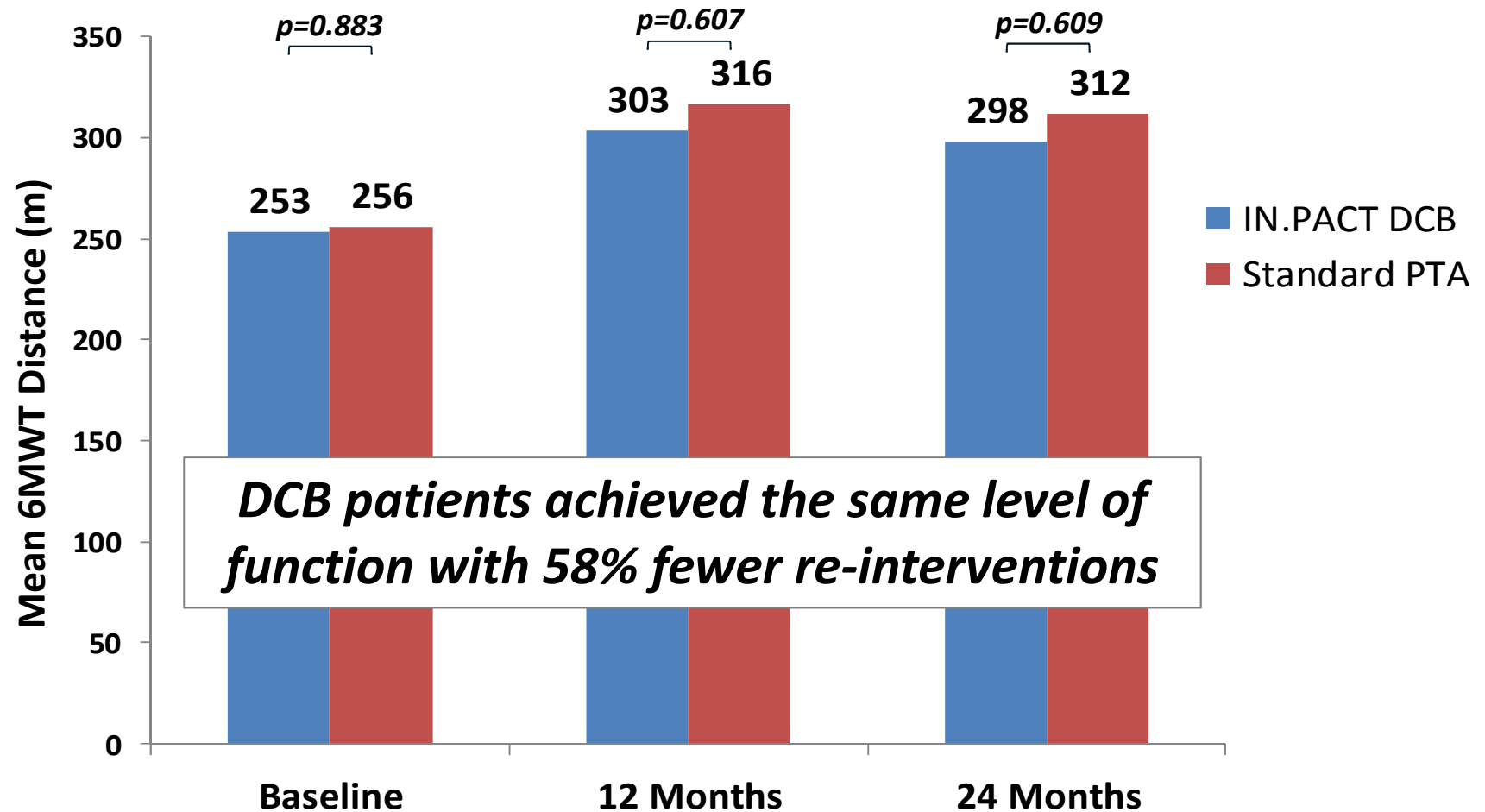
IN.PACT SFA Trial Subgroups

Primary Patency at 2 Years

by Diabetic Status

| Diabetes Subgroup (N _{DCB} , N _{PTA}) | IN.PACT % (N failure) | PTA % (N failure) | p |
|---|--------------------------|----------------------|---------|
| Diabetic (89, 54) | 73.3% (21) | 45.8% (29) | < 0.001 |
| Non-diabetic (131, 57) | 82.5% (21) | 54.5% (25) | < 0.001 |

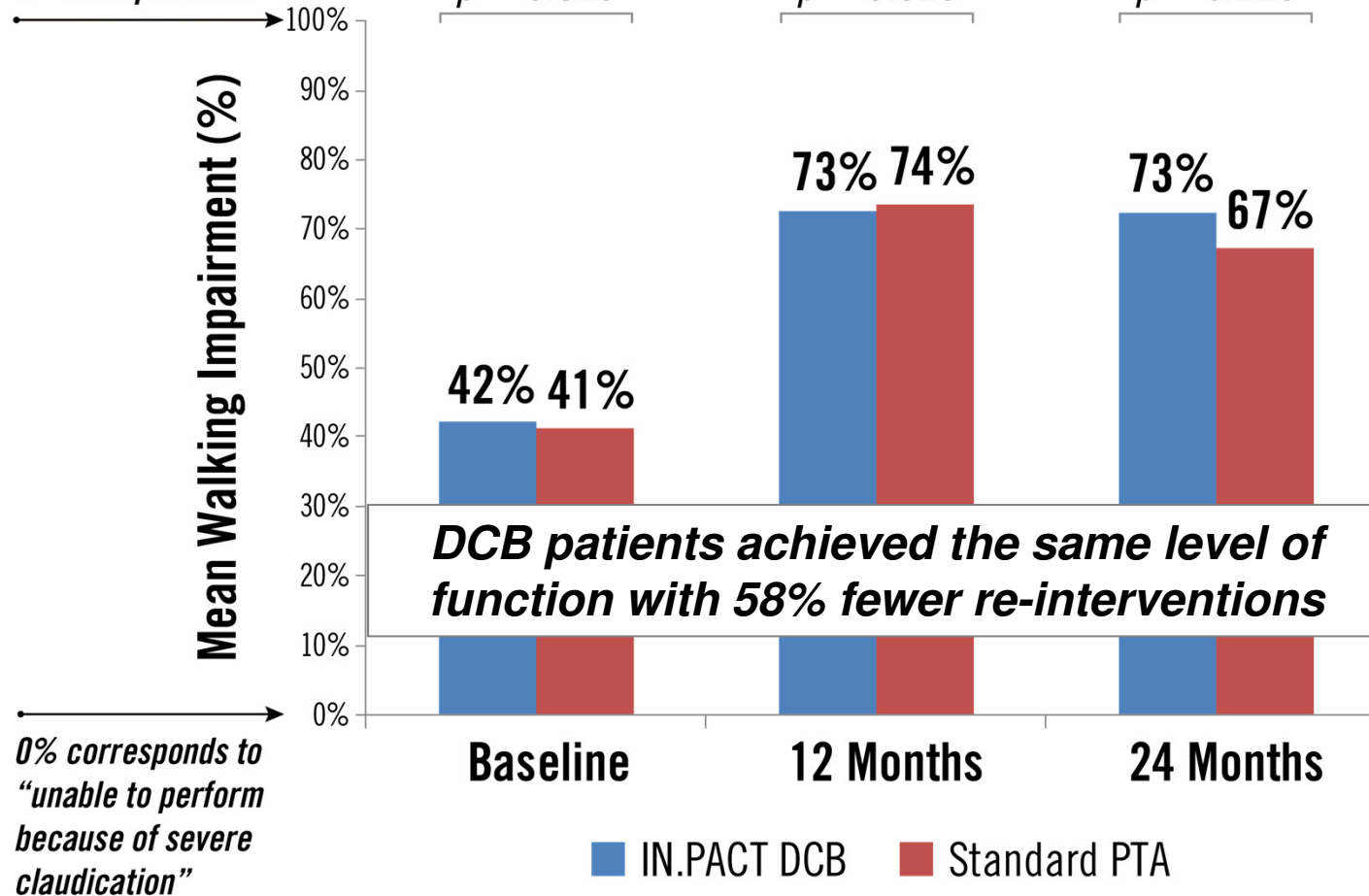
2-year Functional Outcomes: 6 Minute Walk Test*



*Data collected in IN.PACT SFA II phase only

2-year Functional Outcomes: Walking Impairment

100% corresponds
to "no impairment"



Conclusions

Two-year results demonstrate durability and continued superiority of the IN.PACT Admiral DCB over PTA

- Sustained durability of IN.PACT Admiral DCB treatment effect with no late catch-up through 2 years

| 2-Year Results | DCB | PTA | Δ | p-value |
|-----------------|-------|-------|----------|---------|
| Primary Patency | 78.9% | 50.1% | 28.8% | <0.001 |
| CD-TLR | 9.1% | 28.3% | 19.2% | <0.001 |

- Consistent, durable results in subgroups including females and diabetics
- IN.PACT Admiral DCB subjects had similar functional outcomes with 58% fewer re-interventions
- Potential to drive a paradigm shift in SFA interventions