Pulmonary Artery Pressure-Guided Therapy for Ambulatory Heart Failure Patients in Clinical Practice: 1-Year Outcomes from the CardioMEMS Post-Approval Study

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Disclosure Statement

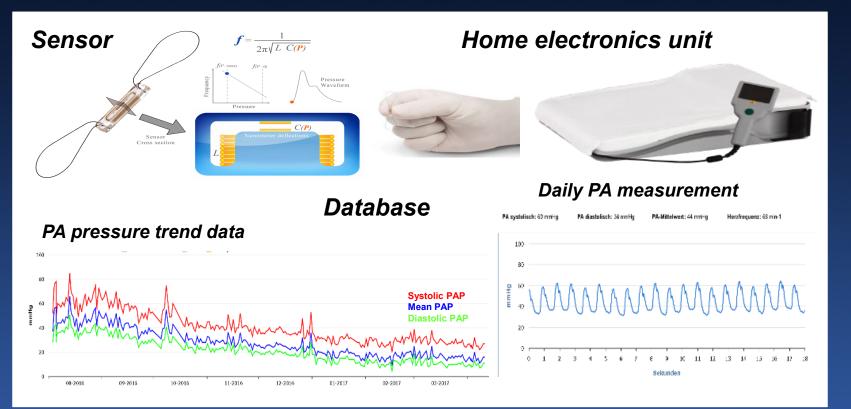
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Background

- The burden of HF hospitalization (HFH) remains high despite increasingly effective medical therapy
- Most HFH occur because of 'congestion' or elevated cardiac filling pressures
- Increases in pulmonary artery (PA) pressures occur weeks in advance of the signs and symptoms that prompt HFH
- Therapy guided by PA pressures in the randomized CHAMPION study¹ resulted in a 37% reduction in HFH rates and all cause hospitalization (ACH)

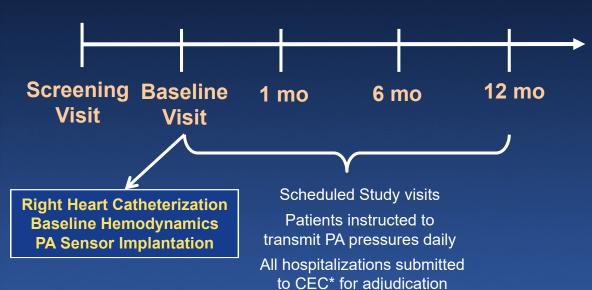
CardioMEMS-HF system: Ambulatory Hemodynamic Monitoring with an Implantable PAP Sensor



CardioMEMS Post Approval Study (PAS): Background

- **Purpose**: To evaluate the use of the CardioMEMS HF system in patients with NYHA Class III Heart Failure in a commercial setting
- **Objective**: To confirm the safety and effectiveness in a commercial setting
- **Study Design**: Prospective, single arm, multi-center, open label trial conducted in the United States

CardioMEMS Post Approval Study (PAS): Study Design A prospective, multi-center, open-label trial in ~1200 patients with NYHA Class III Heart Failure and a HFH within the prior 12 months



Primary Efficacy Endpoint:

Reduction in rate of HFH at 1-year post-implant compared with the year prior to enrollment

Primary Safety Endpoints:

Freedom from DSRC** > 80% at 2 years Freedom from Sensor Failure > 90% at 2 years

Supplemental Analysis: HFH or death at 1 year Death at 1 year Patient compliance Outcomes in subgroups

*CEC = Clinical Events Committee; **DSRC = Device and System-Related Complications; HFH = Heart Failure Hospitalization

Inclusion Criteria

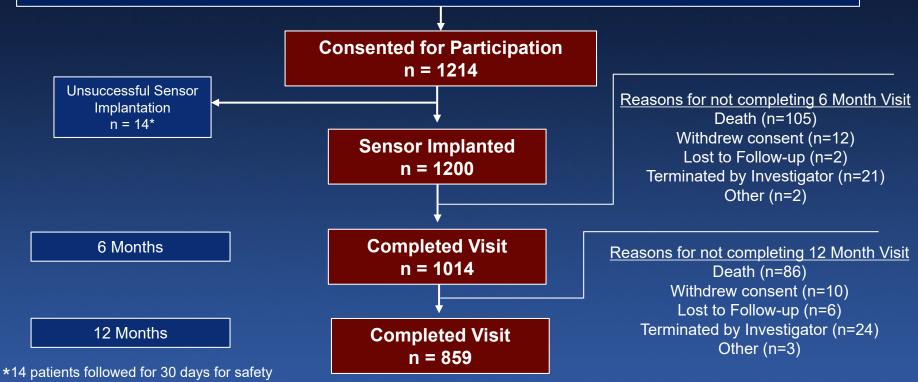
- 1. NYHA class III heart failure
- 2. At least 1 HFH within the previous 12 months
- Patients with HFrEF should be receiving a beta blocker for 3 months and an ACE-I or ARB for 1 month unless in the investigator's opinion, the patient is intolerant to beta blocker, ACE-I or ARB
- Patients with BMI > 35 required chest circumference (at mid axillary level) to be < 65 inches
- **5**. PA branch diameter \geq 7mm

Exclusion Criteria

- 1. Active infection
- 2. History of recurrent (> 1) pulmonary embolism or deep vein thrombosis
- **3**. Inability to tolerate right heart catheterization
- 4. A major cardiovascular event (e.g., myocardial infarction, open heart surgery, cerebral vascular accident) within previous 2 months
- **5**. CRT implanted within previous 3 months
- 6. GFR < 25 ml/min who are non-responsive to diuretic therapy or who are on chronic renal dialysis
- 7. Congenital heart disease or mechanical right heart valve
- 8. Likely to undergo heart transplantation or VAD within the next 6 months
- 9. Known coagulation disorders
- **10**. Hypersensitivity or allergy to aspirin, and/or clopidogrel

CardioMEMS PAS: Study Flow and Follow-up

1214 pts with NYHA Class III HF and at least 1 HFH within the prior 1 year, considered for enrollment between September 1st, 2014 and March 31st, 2018 at 104 centers in the United States



Baseline Characteristics

| Characteristic | All Patients* (n=1200) | EF < 40% (n=637) | EF 41-50% (n=198) | EF > 50% (n=363) |
|-----------------------------------|---------------------------|---------------------|----------------------|---------------------|
| Age (years) | 69 ± 12 | 67 ± 13 | 70 ± 11 | 72 ± 10 |
| Female sex | 452 (38%) | 183 (29%) | 75 (38%) | 194 (53%) |
| Race/ethnicity | | | | |
| White | 993 (83%) | 499 (78%) | 171 (86%) | 321 (88%) |
| Black | 172 (14%) | 114 (18%) | 26 (13%) | 32 (9%) |
| Asian | 12 (1.0%) | 8 (1.3%) | 0 (0%) | 4 (1.1%) |
| Other | 18 (1.5%) | 14 (2.2%) | 0 (0%) | 2 (0.6%) |
| Ischemic CM | 496 (41%) | 352 (55%) | 78 (40%) | 64 (18%) |
| CRT/CRT-D or ICD | 600 (50%) | 488 (77%) | 73 (37%) | 38 (11%) |
| GFR (mL/min/1.73 m ²) | 53 ± 21 | 55 ± 22 | 53 ± 21 | 50 ± 19 |
| CKD stage 3 and stage 4 | 808 (68%) | 312 (49%) | 133 (68%) | 261 (72%) |

*Two subjects did not submit EF at baseline.

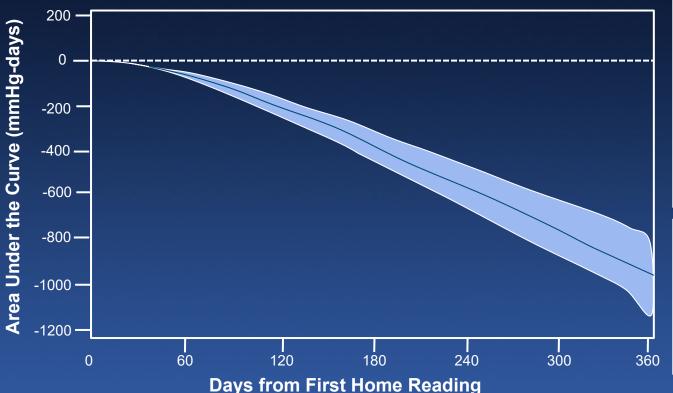
Baseline Medical Therapy

| Characteristic | All Patients (n=1200) | EF < 40% (n=637) | EF 41-50% (n=198) | EF > 50% (n=363) |
|-----------------------------|--------------------------|---------------------|----------------------|---------------------|
| Medical Therapy | | | | |
| Beta blocker | 1046 (87%) | 597 (94%) | 172 (87%) | 275 (76%) |
| ACE/ARB/ARNi | 696 (58%) | 444 (70%) | 117 (59%) | 134 (37%) |
| Beta blocker + ACE/ARB/ARNi | 626 (52%) | 416 (65%) | 103 (52%) | 106 (29%) |
| Aldosterone agonist | 673 (56%) | 426 (67%) | 113 (57%) | 133 (37%) |
| Loop diuretic | 1136 (95%) | 597 (94%) | 187 (94%) | 350 (96%) |

Hemodynamics at PA Sensor Implant

| Characteristic | All Patients (n=1200) | EF < 40% (n=637) | EF 41-50% (n=198) | EF > 50% (n=363) |
|--|--------------------------|---------------------|----------------------|---------------------|
| Hemodynamics – Baseline | | | | |
| Systolic blood pressure (mm Hg) | 127 ± 22 | 121 ± 20 | 130 ± 24 | 134 ± 22 |
| Pulmonary capillary wedge pressure (mm Hg) | 20 ± 8 | 21 ± 9 | 18 ± 6.8 | 19 ± 7 |
| PA systolic pressure (mm Hg) | 48 ± 15 | 48 ± 15 | 45 ± 14 | 49 ± 15 |
| PA diastolic pressure (mm Hg) | 20 ± 8 | 20 ± 8 | 19 ± 7 | 20 ± 7 |
| PA mean pressure (mm Hg) | 31 ± 10 | 32 ± 10 | 29 ± 9 | 32 ± 9 |
| Cardiac index (Lit/min/m ²) | 2.2 ± 0.7 | 2.1 ± 0.6 | 2.3 ± 0.7 | 2.4 ± 0.8 |

Change in PA Pressure Over Time Area Under the Curve (AUC) Method



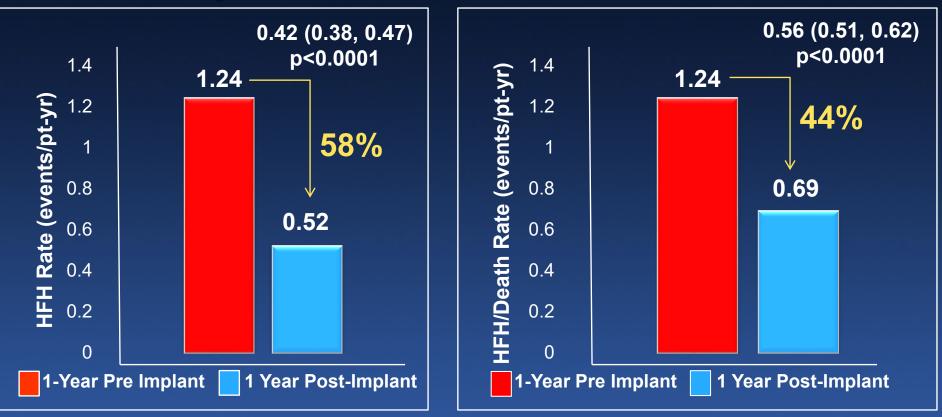
AUC method measures the frequency and duration of time that a patient spends at a pressure, lower or higher, than their baseline mean PA pressure in mmHg-days.

Pressure Transmission Compliance

| | Mean±SD | Median |
|--------|---------|--------|
| Daily | 76±24% | 85% |
| Weekly | 92±16% | 100% |

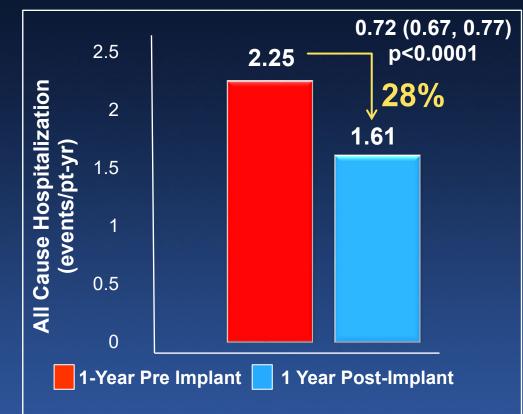
Hospitalizations for HF Ho at 1 year

Hospitalizations for HF/Death at 1 year



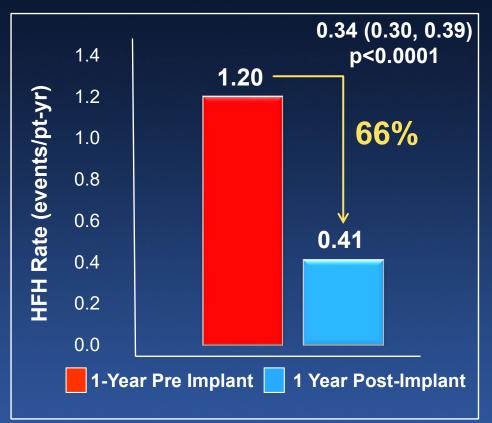
Hazard Ratio, 95% Confidence Interval and p-value estimated from the Anderson-Gill model. All hospitalization events adjudicated by CEC.

All Cause Hospitalizations at 1 year



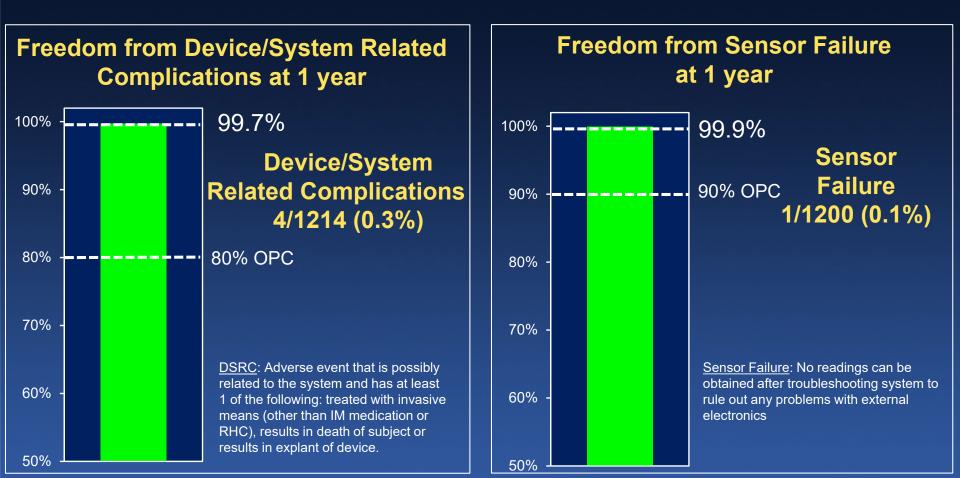
Hazard Ratio, 95% Confidence Interval and p-value estimated from the Anderson-Gill model. All hospitalization events adjudicated by CEC.

Survivor Analysis: Hospitalizations for HF at 1 year, n=1009 (Survival 84%)

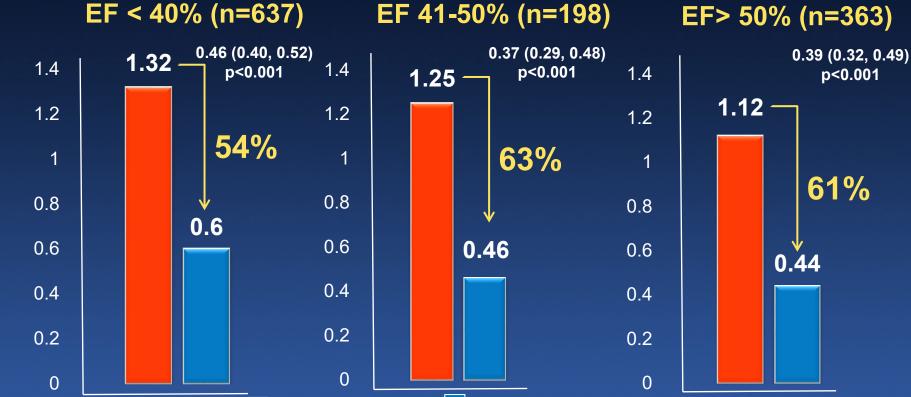


Hazard Ratio, 95% Confidence Interval and p-value estimated from the Anderson-Gill model. All hospitalization events adjudicated by CEC.

Primary Safety Endpoints



Heart Failure Hospitalizations at 1 year Stratified by Ejection Fraction



1-Year Pre Implant

HFH Rate (events/pt-yr)

1 Year Post-Implant

Heart Failure Hospitalizations: Pre-Enrollment vs Post-Enrollment Stratified by Planned Sub-Groups

| | | All Subjects (N=1200) | | |
|----------------|---|--|---------------------------|------------|
| Gender | Male | 1.202 vs. 0.536 [748] 0.45 (0.39, 0.51) | | |
| | Female | 1.306 vs. 0.508 [452] 0.39 (0.33, 0.46) | | |
| Cardiomyopathy | Ischemic | 1.307 vs. 0.614 [496] 0.47 (0.40, 0.55) | | 1 |
| | Non-Ischemic | 1.220 vs. 0.485 [449] 0.40 (0.33, 0.47) | | |
| Device | ICD/CRT-D | 1.284 vs. 0.579 [600] 0.45 (0.39, 0.52) | | |
| | Without ICD/CRT-D | 1.192 vs. 0.469 [598] 0.39 (0.33, 0.46) | | |
| Race | White | 1.192 vs. 0.483 [993] 0.41 (0.36, 0.46) | | |
| | Black (of African Descent) | 1.519 vs. 0.719 [172] 0.47 (0.37, 0.60) | | |
| | | | 0.3 0.4 0.5 0.6 0.7 0.8 0 | <u> </u> |
| | | | HR (95% CI) | |
| | ost rates [sample size] / HR (95 model with rates as events/pa | | Reduced HFH aft | er Implant |

Limitations

- Single arm study with prior to and post-enrollment comparisons
- Likely underestimation of HFH events prior to enrollment due to incomplete recall of events (information bias)
- Censoring at the time of death may have resulted in survivor bias, however:
 - HFH/death for the entire cohort reduced 44%
 - HFH for survivors reduced 66%
- PAS enrolled high risk patients: baseline event rate ~ 2x higher than CHAMPION
- Comparable efficacy to prior studies:
 - Open Access Study 'prior control group': HFH/death reduced 39%
 - CadioMEMS PAS: HFH/death reduced 44%

Conclusions

• In the commercial setting, PA pressure-guided therapy for HF:

- Decreased PA pressures
- Decreased HF Hospitalizations
 - Across sex and race
 - Across all EF ranges
 - Amongst 1-year survivors
- Decreased All-Cause Hospitalization

 PA pressure-guided therapy was safe with few device/system related complications and a low rate of pressure sensor failure

CardioMEMS PAS Leadership

Steering Committee

- Lynne W. Stevenson (Chair), William T. Abraham, Robert C. Bourge, Maria Rosa Costanzo, Akshay S. Desai, J. Thomas Haywood, Lisa D. Rathman, Nirav Raval, David M. Shavelle, Richard Shlofmitz
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