

Original Contribution

National Lipid Association Recommendations for Patient-Centered Management of Dyslipidemia: Part 1—Full Report

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Abstract: The leadership of the National Lipid Association convened an Expert Panel to develop a consensus set of recommendations for patient-centered management of dyslipidemia in clinical medicine. An Executive Summary of those recommendations was previously published. This document provides support for the recommendations outlined in the Executive Summary. The major conclusions include (1) an elevated level of cholesterol carried by circulating apolipoprotein B-containing lipoproteins (non-high-density lipoprotein cholesterol and low-density lipoprotein cholesterol [LDL-C], termed atherogenic cholesterol) is a root cause of atherosclerosis, the key underlying process contributing to most clinical atherosclerotic cardiovascular disease (ASCVD) events; (2) reducing elevated levels of atherogenic cholesterol will lower ASCVD risk in proportion to the extent that atherogenic cholesterol is reduced. This benefit is presumed to result from atherogenic cholesterol lowering through multiple modalities, including lifestyle and drug therapies; (3) the intensity of risk-reduction therapy should generally be adjusted to the patient's absolute risk for an ASCVD event; (4) atherosclerosis is a process that often begins early in life and progresses for decades before resulting in a clinical ASCVD event. Therefore, both intermediate-term and long-term or lifetime risk should be considered when assessing the potential benefits and hazards of risk-reduction therapies; (5) for patients in whom lipid-lowering drug therapy is indicated, statin treatment is the primary modality for reducing ASCVD

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risk; (6) nonlipid ASCVD risk factors should also be managed appropriately, particularly high blood pressure, cigarette smoking, and diabetes mellitus; and (7) the measurement and monitoring of atherogenic cholesterol levels remain an important part of a comprehensive ASCVD prevention strategy.

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Various organizations and agencies have issued recommendations for the management of dyslipidemia.^{3–11} Although many commonalities exist among them, material differences are present as well. The leadership of the National Lipid Association (NLA) convened an Expert Panel to develop a consensus set of recommendations for patient-centered management of dyslipidemia in clinical medicine. A presentation containing the main elements of these recommendations was made available to the public and other organizations involved with the prevention of atherosclerotic cardiovascular disease (ASCVD) to solicit input during an open comment period. Comments and suggestions were received from many members of the NLA, as well as other individuals and organizations, and were collated for consideration and adjudication by the panel in formulating the final set of recommendations contained herein.¹² The NLA Expert Panel graded the type and strength of the evidence supporting their recommendations using a hybrid of the rating system developed by the National Heart, Lung, and Blood Institute's Evidence-Based Methodology Lead and adapted from the original GRADE system of evidence rating.^{1–3,13}

Part 1 of the NLA Expert Panel recommendations for patient-centered management of dyslipidemia covers the following:

- Background and conceptual framework for formulation of the NLA Expert Panel recommendations;

- Screening and classification of lipoprotein lipid levels in adults (>20 years);
- Targets for intervention in dyslipidemia management;
- ASCVD risk assessment and treatment goals based on risk category;
- Atherogenic cholesterol—non-high-density lipoprotein (non-HDL) cholesterol (non-HDL-C) and low-density lipoprotein (LDL) cholesterol (LDL-C)—as the primary targets of therapy; and
- Lifestyle and drug therapies intended to reduce morbidity and mortality associated with dyslipidemia.

Part 2 is in development and will cover the following topics:

- Lifestyle therapies (to provide a greater depth of information than is included in part 1);
- Groups with special considerations:
 - Children and adolescents;
 - Gender, including pregnancy;
 - Ethnic groups;
 - Older patients;
 - Patients with human immunodeficiency virus;
 - Patients with selected chronic inflammatory states;
 - Patients with residual risk despite statin therapy;
- Strategies to assist with patient adherence; and
- Team-based collaborative care.

Evidence grading: strength of recommendation*

Grade	Strength of recommendation
A	Strong recommendation There is high certainty based on the evidence that the net benefit [†] is substantial
B	Moderate recommendation There is moderate certainty based on the evidence that the net benefit is moderate to substantial, or there is high certainty that the net benefit is moderate
C	Weak recommendation There is at least moderate certainty based on the evidence that there is a small net benefit
D	Recommend against There is at least moderate certainty based on the evidence that it has no net benefit or that the risks/harms outweigh benefits
E	Expert opinion There is insufficient evidence or evidence is unclear or conflicting, but this is what the expert panel recommends
N	No recommendation for or against There is insufficient evidence or evidence is unclear or conflicting

Taken from Jacobson et al.¹ Originally published in James et al.² and Stone et al.³

*The system was adapted as a hybrid of the National Heart Lung and Blood Institutes (NHLBI) rating system (NHLBI cardiovascular-based methodology) used in the new American Heart Association/American College of Cardiology cholesterol guidelines³ and adapted from the original GRADE system of evidence rating.¹³

†Net benefit is defined as benefits minus risks/harms of the service/intervention.

Evidence grading: quality of evidence

Type of evidence	Quality rating*
Well-designed, well-executed RCTs that adequately represent populations to which the results are applied and directly assess effects on health outcomes	High
Well-conducted meta-analyses of such studies	
Highly certain about the estimate of effect; further research is unlikely to change our confidence in the estimate of effect	
RCTs with minor limitations affecting confidence in, or applicability of, the results	Moderate
Well-designed, well-executed nonrandomized controlled studies and well-designed, well-executed observational studies	
Well-conducted meta-analyses of such studies	
Moderately certain about the estimate of effect; further research may have an impact on our confidence in the estimate of effect and may change the estimate	Low
RCTs with major limitations	
Nonrandomized controlled studies and observational studies with major limitations affecting confidence in, or applicability of, the results	
Uncontrolled clinical observations without an appropriate comparison group (eg, case series, case reports)	
Physiological studies in humans	
Meta-analyses of such studies	
Low certainty about the estimate of effect; further research is likely to have an impact on our confidence in the estimate of effect and is likely to change the estimate.	

RCT, randomized controlled trial.

This was the system used in the new American Heart Association/American College of Cardiology cholesterol guidelines³ that were published in the 2014 Evidence-Based Guideline for the Management of High Blood Pressure in Adults Report from the Panel members appointed to the Eighth Joint National Committee.²

Taken from Jacobson et al.¹ Originally published in James et al.² and Stone et al.³

*The evidence quality rating system used in this guideline was developed by the National Heart, Lung, and Blood Institute's (NHLBI's) Evidence-Based Methodology Lead (with input from NHLBI staff, external methodology team, and guideline panels and work groups) for use by all the NHLBI cardiovascular disease guideline panels and work groups during this project. As a result, it includes the evidence quality rating for many types of studies, including studies that were not used in this guideline. Additional details regarding the evidence quality rating system are available in the online Supplement.

Background and conceptual framework for formulation of the NLA Expert Panel recommendations

Clinical decisions often need to be made in the absence of ideal or complete evidence, and well-informed experts will not always evaluate or interpret the evidence base in the same way. Clinical recommendations aim to assist clinicians in making decisions about the best strategies for management of a condition, taking into account potential benefits and risks of the available options. The NLA Expert Panel recommendations are intended to inform, not replace, clinical judgment. A patient-centered approach dictates that clinical judgment take into account the circumstances, objectives, and preferences of each individual patient.^{3,14,15} The patient should be an active participant in the process, having engaged with the clinician in a dialog about the objectives of therapy, including potential risks and side effects, as well as benefits and costs. Patient-provider collaboration in treatment decisions tends to improve long-term adherence.^{16–18}

The NLA recognizes the major contribution that dyslipidemia management has made to the progressive reduction in ASCVD morbidity and mortality that has been observed during recent decades (Fig. 1).¹⁹ This reduction in risk

occurred under the guidance provided by previous guidelines and recommendations, most notably the National Cholesterol Education Program (NCEP) Adult Treatment Panel III (ATP III) Guidelines.^{4,20} The NLA Expert Panel consensus view is that the evidence that has accumulated since the 2004 update of the NCEP ATP III guidelines warrants a modest refinement of previous lipid-related risk management strategies, as outlined in the present report.

The evidence base considered in the development of consensus for these recommendations emphasized results from randomized controlled trials (RCTs) to evaluate lipid-altering interventions on clinical ASCVD events (mainly myocardial infarction, coronary death, and stroke), including subgroup assessments and pooled analyses from multiple trials, where available. Although the panel acknowledges that the primary results from RCTs represent the strongest evidence from which to draw conclusions about benefits and risks of treatment strategies, it also recognizes that many important clinical questions have not been addressed in RCTs (hence the evidence base is incomplete), and RCT evidence may have uncertain relevance to particular patients because the RCTs were performed in highly selected groups with characteristics that may differ in important ways from the patient for whom treatment decisions need to be made.

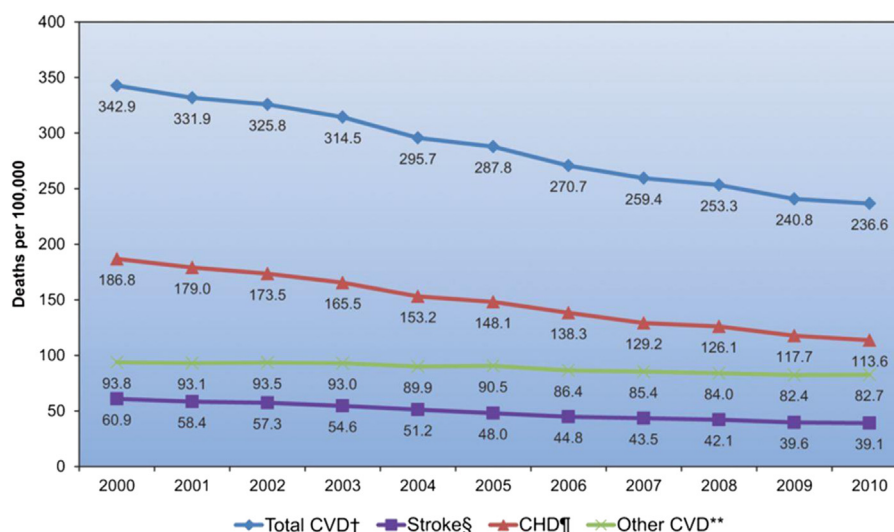


Figure 1 US age-standardized death rates attributable to CVD, 2000 to 2010.¹⁹ Taken from Go AS et al.¹⁹ with permission. CHD, coronary heart disease; CVD, cardiovascular disease. †Total CVD: International Classification of Diseases, 10th Revision (ICD-10) from I00 to I99 and from Q20 to Q28. §Stroke (all cerebrovascular disease): ICD-10 from I60 to I69. ¶CHD: ICD-10 from I20 to I25. **Other CVD: ICD-10 from I00 to I15, from I26 to I51, from I70 to I78, from I80 to I89, and from I95 to I99.

Observational evidence from epidemiologic studies is subject to possible bias and confounding and is therefore sometimes excluded from deliberations regarding treatment recommendations.³ However, where the available observational evidence is of high quality, with consistent results across investigations in multiple cohorts by different investigators, such evidence can play an important role to inform clinical investigations. Genetic epidemiologic studies, because they examine genetic variants that often produce lifelong differences in levels of lipoprotein lipid concentrations, overcome many of the difficulties with the potential for bias and confounding inherent in other observational studies. Therefore, in addition to data from RCTs, evidence from epidemiologic and genetic studies as well as metabolic and mechanistic investigations has been considered in the development of these recommendations. This approach allowed inclusion of a broad evidence base for clinical decision making and was consistent with the approach taken by the NCEP ATP III and many other international recommendations committees.^{5,7,8,21}

Major conclusions of the NLA Expert Panel

The NLA Expert Panel found the evidence to be compelling to support the following conclusions, which guided the development of the recommendations.

1. An elevated level of cholesterol carried by circulating apolipoprotein (apo) B-containing lipoproteins (non-HDL-C and LDL-C, termed atherogenic cholesterol) is a root cause of atherosclerosis, the key underlying process contributing to most clinical ASCVD events.

HDL, LDL, intermediate-density lipoprotein (IDL), very low-density lipoprotein (VLDL), and chylomicrons are the 5 major classes of lipoproteins. Of these, LDL is the

predominant cholesterol-carrying lipoprotein comprising ~75% of cholesterol carried by non-HDL particles, with the remaining ~25% of non-HDL-C in triglyceride-rich particles, which include VLDL, IDL, chylomicrons, and their remnants.^{22–28} Each LDL particle contains a single apo B100 particle, whereas the major apos of VLDL are apo B100, apo A4, apo C (1, 2, and 3), and apo E. Chylomicron particles contain the same apos as VLDL, except that they also contain apo A (1, 2, and 4), and apo B48 is present instead of apo B100. It should be noted that clinical laboratories typically report the LDL-C concentration as a calculated value using the Friedewald equation ($\text{LDL-C} = \text{total cholesterol} [\text{total-C}] - \text{HDL-C} - \text{triglycerides}/5$ with all values in mg/dL) as long as the triglyceride level is below ~400 mg/dL.²⁹ This calculated value includes cholesterol carried by true LDL particles, as well as IDL particles. Also, some particles, mostly in the LDL density range, are covalently bound to apolipoprotein (a). LDL-C estimated by the Friedewald equation also includes cholesterol carried by these lipoprotein (a) [Lp (a)] particles.

Non-HDL-C (calculated as $\text{total-C} - \text{HDL-C}$) represents the sum of cholesterol carried by all potentially atherogenic, apo B-containing lipoprotein particles, including LDL, IDL, Lp (a), VLDL (including VLDL remnants), and chylomicron particles and remnants. The NCEP ATP III acknowledged the importance of non-HDL-C in atherogenesis in 2002, but, at that time, instructions to target non-HDL-C concentration pertained only to individuals with hypertriglyceridemia because it was understood that elevated levels of VLDL cholesterol (VLDL-C) and its remnants are more prevalent in those with hypertriglyceridemia.^{4,30} However, a substantial body of evidence has since accumulated to support the view that non-HDL-C is more strongly related to risk for ASCVD than LDL-C

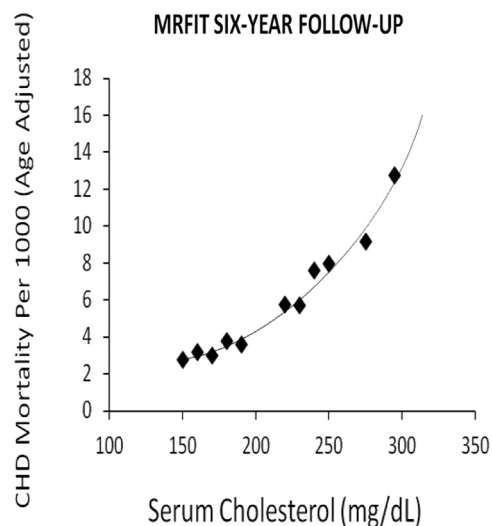


Figure 2 Log-linear relationship between serum cholesterol and coronary heart disease (CHD) mortality from the Multiple Risk Factor Intervention Trial (N = 356,222).^{8,50}

and that this relationship is evident in those with and without hypertriglyceridemia.^{31–37}

Atherosclerosis has been described as a lipid-driven inflammatory disorder of the arterial wall.^{38–41} Atherogenic lipoproteins (LDL and some smaller species of the triglyceride-rich lipoproteins) have the ability to infiltrate the arterial wall thereby initiating atherosclerosis. After entering the arterial wall, the particles bind to proretentive extracellular molecules, become trapped, and are modified through oxidation and other processes, which increase their inflammatory properties and their unregulated uptake by macrophages.^{40,42} As the macrophages become engorged with lipid, they form foam cells, and this process triggers a potentiation of the inflammatory response through release of compounds that increase recruitment of additional monocytes and macrophages. The accumulation of foam cells leads to the development of a fatty streak that initiates

smooth muscle proliferation. The proliferation of smooth muscle cells creates a fibrous cap or plaque.⁴³ As the plaque matures and atherogenic particles continue to infiltrate, lipid-rich areas form within the fibrous plaque.⁴¹ Inflammation triggers processes that weaken the fibrous cap and make the plaque susceptible to rupture.⁴⁴ Thus, atherogenic lipoproteins play important roles in the initiation of atherosclerosis, progression to a mature plaque and, eventually, plaque instability and rupture. When plaque rupture occurs, subendothelial components are exposed to the blood, and luminal thrombosis occurs, which, if sufficiently large, can occlude arterial flow. Atherosclerotic plaque rupture is generally the proximal cause of acute coronary syndromes (eg, myocardial infarction, unstable angina).^{45–48}

Epidemiologic studies have demonstrated a strong relationship between serum cholesterol levels and increased ASCVD risk, and, conversely, low rates of ASCVD are associated with low levels of cholesterol (Fig. 2).^{49–53} The importance of LDL-C in ASCVD is corroborated by the existence of familial hypercholesterolemia (FH), an autosomal codominant genetic disorder characterized by very high levels of LDL-C (and LDL particles) and early ASCVD.^{54,55} In patients with FH, the removal of apo B-containing lipoproteins by lipoprotein apheresis has been shown to markedly reduce arterial wall inflammation.⁴¹ Individuals with proprotein convertase subtilisin kexin type 9 (PCSK9) mutations and with polymorphisms in Niemann-Pick C1-like 1 (NPC1L1) protein that result in reduced levels of LDL-C throughout life are associated with markedly reduced risk for ASCVD events.^{56–58}

A causal relationship between triglyceride-rich lipoprotein cholesterol levels (sometimes referred to as “remnant cholesterol” [calculated as total-C – HDL-C – LDL-C]) is supported by an association between elevated triglycerides and increased ASCVD risk,^{59–64} as well as by the high risk for ASCVD among individuals with atherogenic dyslipidemia (combination of elevated triglycerides and low

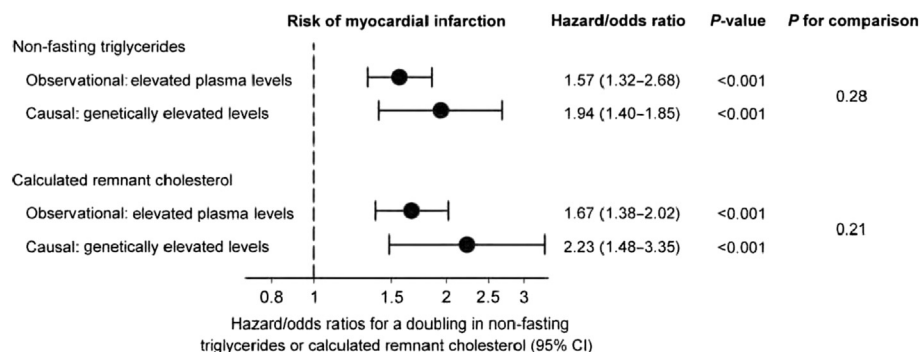


Figure 3 Association between elevated triglycerides and remnant cholesterol and risk of myocardial infarction.⁶⁷ The observational risk estimates for a doubling in nonfasting triglycerides or calculated remnant cholesterol are from the Copenhagen City Heart Study as hazard ratios. The causal risk estimates for a doubling in nonfasting triglycerides or calculated remnant cholesterol levels are for the combined genotypes (c.-3A>G, S19W, and c.*31C>T genotypes) in the Copenhagen General Population Study, the Copenhagen City Heart Study and the Copenhagen Ischemic Heart Disease studies combined (n = 60,113). Adjustment was for age, sex, smoking, hypertension, and diabetes mellitus. P values are for significance of risk estimates, and P values for comparison are for differences between observational and causal genetic risk estimates. Taken from Jorgensen AB et al.⁶⁷ with permission of Oxford University Press. CI, confidence interval.

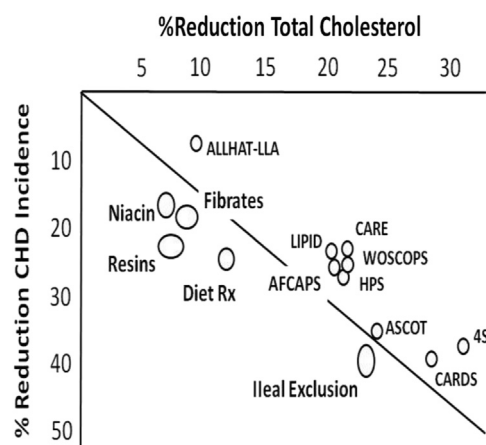


Figure 4 Relationship between percent reduction in total cholesterol and percent reduction in coronary heart disease (CHD) incidence.⁸

HDL-C).⁶⁴ Genetic mutations that result in increased circulating levels of triglycerides and triglyceride-rich lipoprotein cholesterol (eg, variants associated with lipoprotein lipase, apo C3, and apo A5) are associated with elevated ASCVD risk (Fig. 3).^{27,28,62,65–69}

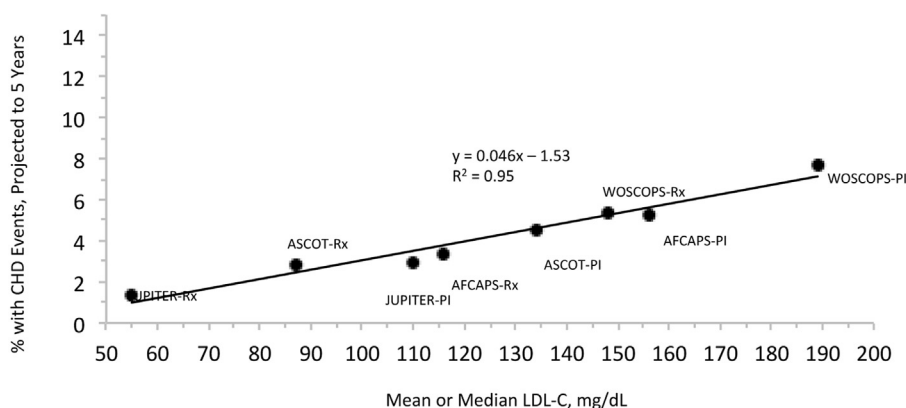
As discussed in more detail in the following, RCTs of lipid-altering interventions that lower levels of LDL-C and/or triglyceride-rich lipoprotein cholesterol levels have demonstrated reduced ASCVD event risk, further supporting a causal role of apo B-containing lipoproteins in the atherothrombotic process. The relative importance of lowering atherogenic particle concentrations vs the levels of cholesterol carried by atherogenic particles is incompletely understood. Non-HDL-C has been regularly shown

to be a better predictor of ASCVD event risk than LDL-C, which may, at least in part, reflect the stronger relationship between the non-HDL-C concentration and circulating levels of atherogenic particles.⁷⁰ Thus, the panel included both LDL-C and triglyceride-rich lipoprotein cholesterol (non-HDL-C is the sum of LDL-C and triglyceride-rich lipoprotein cholesterol) as atherogenic cholesterol components.

2. Reducing elevated levels of atherogenic cholesterol will lower ASCVD risk in proportion to the extent that atherogenic cholesterol is reduced. This benefit is presumed to result from atherogenic cholesterol lowering through multiple modalities, including lifestyle and drug therapies.

Numerous clinical trials of atherogenic cholesterol-lowering therapies have demonstrated their ability to reduce the incidence of ASCVD in proportion to the amount of LDL-C and non-HDL-C reduction (Fig. 4).^{4,8,37,71,72} Examinations of on-treatment LDL-C concentration compared with coronary heart disease (CHD) events in studies of primary prevention (ie, in subjects initially free from CHD; Fig. 5)^{73–76} and in studies of secondary prevention (ie, in patients with established ASCVD; Fig. 6)^{8,77–83} also show a strong positive correlation. These effects are evident not only with atherogenic cholesterol-lowering drug therapies but also diet/lifestyle and surgical therapies.^{4,8,20,71–96} Furthermore, the relationship is present across the full spectrum of LDL-C and non-HDL-C levels (Fig. 7).^{8,37,92,97} The Cholesterol Treatment Trialists' meta-analysis of more- vs less-intensive statin regimens demonstrated that a 1.0 mmol/L (38.7 mg/dL) change in LDL-C resulted in a 22% relative risk reduction (hazard

On-Treatment LDL-C and CHD Events Primary Prevention



Data abstracted from original publications

Figure 5 Relationship between on-treatment low-density lipoprotein cholesterol (LDL-C) concentration and coronary heart disease (CHD) events in studies of primary prevention.^{73–76} PI, placebo; Rx, treatment.

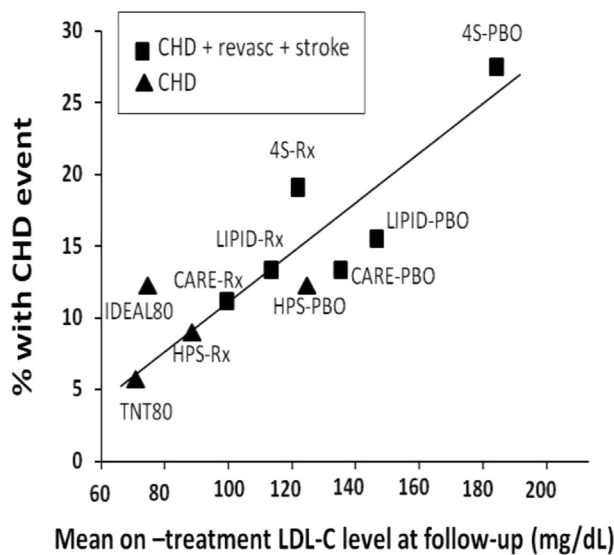


Figure 6 Relationship between on-treatment low-density lipoprotein cholesterol (LDL-C) concentration and coronary heart disease (CHD) events in studies of secondary prevention.^{8,77–82}

ratio of 0.78) for major ASCVD events.⁹⁸ In addition, there was no evidence of an LDL-C threshold within the range studied. Larger LDL-C reductions, for example 2 to 3 mmol/L (77.4–116.1 mg/dL), could yield up to 40% to 50% relative risk reduction for ASCVD.

3. The intensity of risk-reduction therapy should generally be adjusted to the patient's absolute risk for an ASCVD event.

Available therapeutic options for lowering atherogenic cholesterol and reducing risk for an ASCVD event include lifestyle and drug therapies. Lifestyle therapy is considered to be first-line intervention and is nearly universally acknowledged to be appropriate and necessary for the management of dyslipidemia among individuals ranging from lowest to highest risk for ASCVD.^{3,8,96,99} LDL-C (and non-HDL-C) reductions with lifestyle changes are most

often in the range of 5% to 15%,^{4,100} an amount that, if maintained over a long period, may result in meaningful ASCVD risk reduction.^{4,101} The relationship between the degree of change in atherogenic cholesterol concentration due to lifestyle changes and the difference in CHD risk aligns with the relationship for atherogenic cholesterol-lowering drug therapies.⁹⁶ However, in individuals at moderate to higher risk for ASCVD, a larger magnitude of atherogenic cholesterol lowering than can be achieved with lifestyle changes alone is generally warranted to substantially lower ASCVD risk. Decisions regarding the addition of atherogenic cholesterol-lowering drug therapy to lifestyle therapies for dyslipidemia management, as well as the intensity of the drug to be used, should include an investigation of the patient's absolute risk for ASCVD, including long-term risk (as described more fully within this document), tempered by clinical judgment and consideration of the interactions of cost, benefit, and safety of the drug therapies.

4. Atherosclerosis is a process that often begins early in life and progresses for decades before resulting in a clinical ASCVD event. Therefore, both intermediate-term and long-term or lifetime risk should be considered when assessing the potential benefits and hazards of risk-reduction therapies.

An early stage of atherosclerosis has been identified as fatty streaks in the coronary arteries of adolescents and young adults.^{102–104} Long-term follow-up in prospective studies has demonstrated that elevated serum cholesterol in early adulthood predicted an increased incidence of CHD in middle age.^{51,105,106} Thus, lowering serum cholesterol levels earlier in life is likely beneficial for altering long-term or lifetime risk for developing ASCVD. Clinical trials of statins generally indicate that each 1% decrease in LDL-C concentration is associated with about a 1% decrease in risk for CHD.^{53,107} However, results from epidemiologic and Mendelian randomization studies suggest a larger effect of lower LDL-C levels on CHD in groups with lower cholesterol levels throughout life.^{56,101,108,109} This is consistent with the hypothesis that maintaining a lower serum cholesterol concentration for periods longer than the duration of typical clinical trials (averaging roughly 5 years) has the potential to yield a greater reduction in ASCVD risk than the approximate 1% to 1% relationship and supports the benefits of approaching risk-reduction therapy from a long-term or lifetime perspective.^{110,111}

Many of the multivariate risk calculators that have been designed for clinical use in ASCVD risk assessment and to guide decisions for initiating drug therapy were created to predict intermediate-term (eg, 10 year) risk for an ASCVD event.^{3,9} Short- and intermediate-term risk reduction has an important place in the management of dyslipidemia, particularly by reducing atherogenic cholesterol in patients with preexisting ASCVD to stabilize plaques and reduce the likelihood of acute coronary syndromes.¹¹² However,

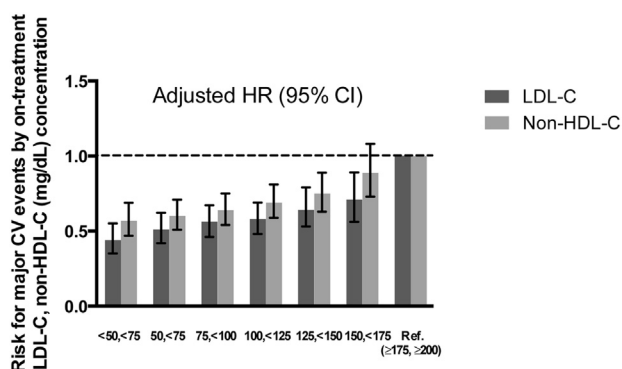


Figure 7 Major CV event risk according to LDL-C and non-HDL-C levels achieved with statin therapy in a meta-analysis of statin trials.⁹⁷ CI, confidence interval; CV, cardiovascular; HR, hazard ratio; LDL-C, low-density lipoprotein cholesterol; non-HDL-C, non-high-density lipoprotein cholesterol; Ref, referent.

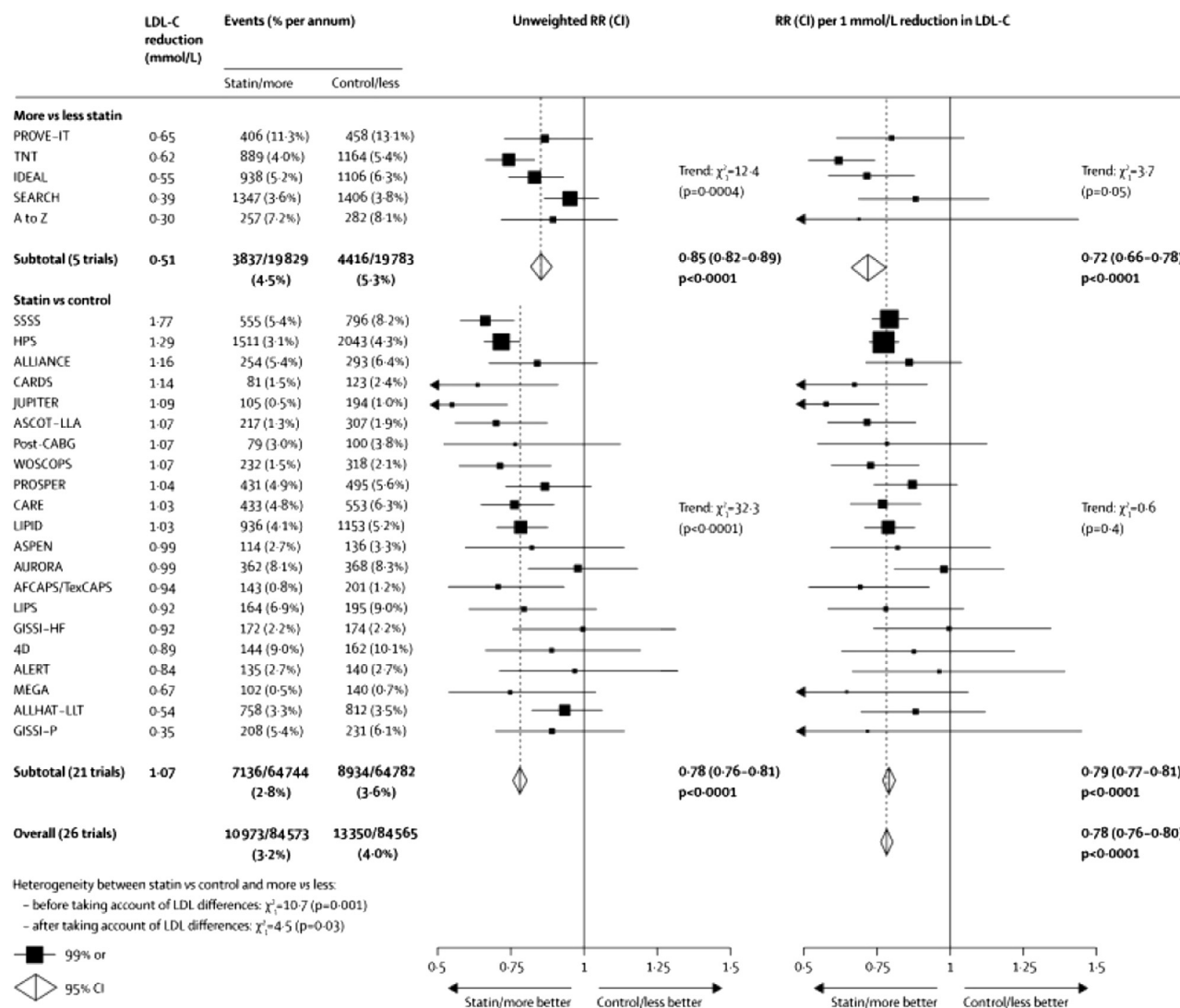


Figure 8 Effects of statin therapy on major vascular events.⁹⁸ In the left panel, unweighted rate ratios (RRs) for each trial of the comparison of the first event rates between randomly allocated treatment groups are plotted along with 99% confidence intervals (CIs). Trials are ordered according to the absolute reduction in low-density lipoprotein cholesterol (LDL-C) at 1 year within each type of trial comparison (more vs less statin and statin vs control). In the right panel, RRs are weighted per 1.0 mmol/L LDL-C difference at 1 year. Subtotals and totals with 95% CIs are shown by open diamonds. Taken from Cholesterol Treatment Trialists' (CTT) Collaboration.⁹⁸

some individuals with a relatively low intermediate-term risk for an ASCVD event may have substantially elevated lifetime risk because of the presence of multiple or severe ASCVD risk factor disturbances. This is particularly the case for men <40 years and women <50 years of age with multiple or severe ASCVD risk factors,¹¹³⁻¹¹⁵ and the NLA Expert Panel concluded that consideration of long-term or lifetime risk in such patients is useful for guiding treatment decisions.

5. For patients in whom lipid-lowering drug therapy is indicated, statin treatment is the primary modality for reducing ASCVD risk.

Statins block hepatic cholesterol synthesis by inhibiting 3-hydroxy-3-methylglutaryl coenzyme A (HMG CoA) reductase and have been shown to reduce serum LDL-C

levels by 18% to 55%, non-HDL-C by 15% to 51%, and triglycerides by 7% to 30% (in hypertriglyceridemia, the reduction is typically by 20% to 50%, particularly with high-potency statins) and increase HDL-C by 5% to 15% (compiled from prescribing information). A large body of RCT evidence demonstrates that statins are safe and generally well tolerated by most patients and that they decrease risk for ASCVD events in both primary and secondary prevention in amounts proportional to their atherogenic cholesterol lowering (Fig. 8).^{4,20,80,91,92,98,116} For these reasons, they are considered to be first-line drug treatment in both primary and secondary prevention of ASCVD. Although the predominant action of statins for reducing ASCVD risk is by lowering atherogenic lipoprotein concentrations, they may also have pleiotropic effects.¹¹⁷⁻¹²¹

Because of their favorable benefit to safety profile,¹ moderate- and high-intensity statins are reasonable for most patients. However, in hypercholesterolemic patients who are statin intolerant,¹²² alternate atherogenic cholesterol-lowering drugs (eg, bile acid sequestrants, nicotinic acid, fibric acids, or cholesterol absorption inhibitor) or alternative statin dosing regimens may need to be considered.

6. Nonlipid ASCVD risk factors should also be managed appropriately, particularly high blood pressure, cigarette smoking, and diabetes mellitus.

Atherogenic cholesterol lowering is the focus of dyslipidemia management, and therapies to lower cholesterol will reduce ASCVD risk even in the presence of other risk factors.^{123–126} However, nonlipid ASCVD risk factors contribute to the acceleration of atherosclerosis and development of acute coronary syndromes.^{127–131} When identified, these risk factors, particularly high blood pressure, cigarette smoking, and diabetes mellitus, require management to maximize ASCVD risk reduction.^{2–4,8,11,132–134}

7. The measurement and monitoring of atherogenic cholesterol levels remain an important part of a comprehensive ASCVD prevention strategy.

Results from RCTs of a variety of atherogenic cholesterol-lowering therapies as well as results from observational studies have generally found that lower on-treatment atherogenic cholesterol levels are associated with lower ASCVD risk.^{8,49,50,98,106} This suggests that treatment goals and periodic monitoring of atherogenic cholesterol are useful for allowing a clinician to match the aggressiveness of lipid-lowering therapy to a patient's absolute risk for an ASCVD event and for assessing the adequacy of a patient's response and adherence to therapy. Treatment goals and monitoring of atherogenic cholesterol are particularly valuable tools in patient-clinician communication.

Importance of lifestyle therapies

A key tenet of the NLA Expert Panel recommendations is the centrality of lifestyle therapies to ASCVD prevention. Lifestyle therapies for ASCVD risk reduction generally include interventions aimed at (1) altering the composition of the diet; (2) reducing total energy intake to lower body weight and adiposity for those who are overweight or obese; (3) increasing physical activity; (4) improving risk factors associated with the metabolic syndrome (adiposity, dyslipidemia, high blood pressure, and elevated plasma glucose); and (5) ceasing tobacco use. The NLA Expert Panel's specific recommendations regarding lifestyle therapy, and the rationale for those recommendations, will be explained fully in part 2.

The application of pharmacotherapy to dyslipidemia management has been enormously successful. Many large-scale RCTs, involving, in aggregate, hundreds of thousands of participants, have shown that drug therapies (particularly statins) that lower atherogenic cholesterol levels are effective for reducing ASCVD morbidity and mortality.^{98,116} RCT evidence from studies examining lifestyle changes, and dietary interventions in particular, for reducing ASCVD risk is relatively less robust.^{96,117} Furthermore, many of these trials were conducted several decades ago when dietary habits were much different from the typical American diet of today,^{96,107} although results from some later RCTs also suggest benefits on ASCVD outcomes with dietary interventions.^{135–137} Results from observational studies strongly suggest an influence of lifestyle habits on ASCVD outcomes that is likely to be mediated, at least in part, through effects on atherogenic cholesterol levels as well as other metabolic and hemodynamic disturbances such as obesity, hypertension, and insulin resistance.^{138–140} Thus, although drug therapy may be needed in those with sufficient risk, the NLA Expert Panel's consensus view is that lifestyle therapies are an important element of risk-reduction efforts, whether or not drug therapy is also used. The beneficial impact of lower atherogenic cholesterol levels for reducing ASCVD risk is also supported by genetic studies of individuals with *PCSK9* mutations and with polymorphisms in the *NPC1L1* protein, both of which result in reduced levels of LDL-C throughout life,^{56–58} and by findings that genetic mutations resulting in modification of circulating levels of triglycerides and triglyceride-rich lipoprotein cholesterol (eg, variants associated with lipoprotein lipase, apo C3, and apo A5) are associated with altered ASCVD risk.^{27,28,62,65–69}

Usefulness of treatment goals

Most RCTs of lipid-lowering drug therapies have tested drug treatment against a placebo control, or a more intensive with a less-intensive treatment regimen. The strategy of treating patients to a specific level of LDL-C or non-HDL-C has not been tested in any of the large trials assessing ASCVD morbidity and mortality. However, the lack of RCTs explicitly designed to test goals does not invalidate the considerable evidence supporting use of goals. Taken together, results from RCTs that have used various methods for lowering atherogenic cholesterol (pharmacotherapy, diet, and ileal bypass surgery) have indicated that lower on-treatment levels have been consistently associated with lower absolute risk for an ASCVD event.^{8,37,98} These findings align with results from observational studies that suggest a log-linear relationship between the levels of atherogenic cholesterol and absolute ASCVD event risk.^{49,50,106}

The Expert Panel's consensus view is that treatment goals, which have been used historically by health care

Table 1 Classifications of cholesterol and triglyceride levels in mg/dL

Non-HDL-C*	
<130	Desirable
130–159	Above desirable
160–189	Borderline high
190–219	High
≥220	Very high
LDL-C	
<100	Desirable
100–129	Above desirable
130–159	Borderline high
160–189	High
≥190	Very high
HDL-C	
<40 (men)	Low
<50 (women)	Low
Triglycerides	
<150	Normal
150–199	Borderline high
200–499	High
≥500	Very high [†]

HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol.

*Non-HDL-C = total cholesterol minus HDL-C.

†Severe hypertriglyceridemia is another term used for very high triglycerides in pharmaceutical product labeling.

providers for the past ~25 years, continue to be useful as a systematic means to ensure that the aggressiveness of therapy to lower atherogenic cholesterol is matched to absolute risk for an event.¹⁴¹ Furthermore, the view is that using treatment goals, compared with prescribing moderate- to high-intensity statins without treatment targets, will not result in undertreatment as was suggested in the American College of Cardiology (ACC)/American Heart Association (AHA) 2013 dyslipidemia recommendations.³ Moreover, treatment goals facilitate effective communication between patients and clinicians, providing an easily interpretable means through which the clinician can communicate progress toward meeting treatment objectives, thus supporting efforts to maximize long-term adherence to the treatment plan. Many patients have periods of

nonadherence and nonpersistence with use of atherogenic cholesterol-lowering medications, including statins.^{18,142} Follow-up cholesterol testing to monitor goal achievement may promote increased long-term adherence,¹⁴³ which has been shown to increase the clinical benefits of statin use in primary and secondary ASCVD prevention patients.¹⁴⁴ A very important point regarding the treatment goals recommended by the NLA Expert Panel is that the goal is less than the stated value. Simply achieving a non-HDL-C or LDL-C level equal to the threshold value of the treatment goal is not adequate or desirable, and, in some cases, the clinician may opt to treat to values well below the thresholds.

Screening and classification of initial lipoprotein lipid levels

In all adults (≥20 years of age), a fasting or nonfasting lipoprotein profile should be obtained at least every 5 years. At a minimum, this should include total cholesterol and HDL-C, which allows calculation of non-HDL-C (total-C – HDL-C). If fasting (generally 9–12 hours), the LDL-C level may be calculated, provided that the triglyceride concentration is <400 mg/dL.^{29,145}

Classifications for lipoprotein lipid levels are shown in Table 1. Lipoprotein lipid levels should be considered in conjunction with other ASCVD risk determinants to assess treatment goals and strategies, as covered later in this report.

If atherogenic cholesterol levels (non-HDL-C and LDL-C) are in the desirable range, lipoprotein lipid measurement and ASCVD risk assessment should be repeated in 5 years, or sooner based on clinical judgment. Examples of changes that might prompt earlier rescreening include changes in ASCVD risk factors (including weight gain), a premature ASCVD event in a first-degree relative, evidence of ASCVD in the patient, or a new potential secondary cause of dyslipidemia. For those with atherogenic cholesterol in the desirable range, public health recommendations regarding lifestyle should be emphasized. Chart 1 summarizes the recommendations for screening of initial lipoprotein lipid levels.

Chart 1 Recommendations for screening of initial lipoprotein lipid levels

Recommendations	Strength	Quality
A fasting or nonfasting lipoprotein profile including at least total-C and HDL-C should be obtained at least every 5 y.	E	Moderate
Lipoprotein lipid levels should be considered in conjunction with other ASCVD risk determinants to assess treatment goals and strategies.	E	Moderate
If non-HDL-C and LDL-C are in the desirable range, lipoprotein lipid measurement and ASCVD risk assessment should be repeated every 5 y, or sooner based on clinical judgment.	E	Moderate
For individuals with atherogenic cholesterol levels in the desirable range, public health recommendations regarding lifestyle should be emphasized.	E	Moderate

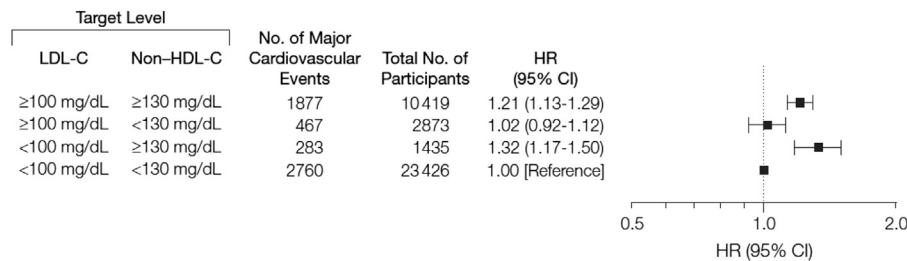


Figure 9 Risk of major cardiovascular events by low-density lipoprotein cholesterol (LDL-C) and non-high-density lipoprotein cholesterol (non-HDL-C) categories.¹⁵³ Data markers indicate hazard ratios (HRs) and 95% confidence intervals (CIs) for risk of major cardiovascular events. Results are shown for 4 categories of statin-treated patients based on whether or not they reached the LDL-C target of 100 mg/dL and the non-HDL-C target of 130 mg/dL. HRs were adjusted for sex, age, smoking, diabetes, systolic blood pressure, and trial. Taken from Boekholdt SM et al.¹⁵³ with permission. Copyright © (2012) American Medical Association. All rights reserved.

Targets of intervention in dyslipidemia management

Non-HDL-C and LDL-C

When intervention beyond public health recommendations for long-term ASCVD risk reduction is used, levels of atherogenic cholesterol (non-HDL-C and LDL-C) should be the primary targets for therapies. LDL is the major atherogenic lipoprotein carrying cholesterol in a majority of patients, and LDL-C comprises ~75% of the cholesterol in circulation carried by lipoprotein particles other than HDL, although this percentage may be lower in those with hypertriglyceridemia. Although LDL-C has traditionally been the primary target of therapy in previous lipid guidelines and in the practice of clinical lipidology, the NLA Expert Panel's consensus view is that non-HDL-C is a better primary target for modification than LDL-C. Non-HDL-C comprises the cholesterol carried by all potentially atherogenic particles, including LDL, IDL, VLDL and VLDL remnants, chylomicron particles and chylomicron remnants, and Lp (a). Epidemiologic studies have shown that non-HDL-C is a stronger predictor of ASCVD morbidity and mortality than LDL-C.^{31,34,146-151} Pooled analyses of data from intervention studies have shown that non-HDL-C changes and levels during treatment are at least as strongly associated with risk for CHD as changes in LDL-C or on-treatment levels of LDL-C.^{152,153} Moreover, when on-treatment values are discordant (ie, only 1 of the 2 is elevated), risk is more closely aligned with non-HDL-C than LDL-C (Fig. 9).^{153,154}

Possible explanations for the superiority of non-HDL-C over LDL-C for predicting ASCVD event risk in those who are untreated and those receiving lipid-altering therapy include (1) as with LDL, some triglyceride-rich lipoprotein particles (remnants) enter the arterial wall and thus contribute to the initiation and progression of atherosclerosis; (2) non-HDL-C correlates more closely than LDL-C with apo B, thus may be a better indicator of the total burden of atherogenic particles¹⁵⁵⁻¹⁵⁷; (3) elevated levels of triglycerides and triglyceride-rich lipoprotein cholesterol

indicate hepatic production of particles with greater atherogenic potential, such as those having poor interactivity with hepatic receptors, resulting in longer residence time in the circulation¹⁵⁸; and (4) elevated levels of triglyceride-rich lipoproteins, particularly in the postprandial state, may trigger an inflammatory response by monocytes, increasing their propensity to become macrophages.¹⁵⁹

Although both non-HDL-C and LDL-C are termed atherogenic cholesterol, non-HDL-C is listed first to emphasize its primary importance. Both non-HDL-C and LDL-C are considered targets for lipid-altering therapy, and goals for therapy have been defined for both (Tables 2 and 3). Using non-HDL-C as a target for intervention also simplifies the management of patients with high triglycerides (200-499 mg/dL). An elevated triglyceride concentration confounds the relationship between LDL-C and ASCVD risk, even in cases when the triglyceride elevation is borderline, but this appears to be less of an issue with non-HDL-C.^{29,160-162} Non-HDL-C incorporates the triglyceride level indirectly because the triglyceride concentration is highly correlated with the concentration of triglyceride-rich lipoprotein cholesterol.^{27,36} Non-HDL-C testing is also preferable because it is calculated as the difference between 2 stable and easily measured parameters, total-C and HDL-C, and thus is less subject to artifact than LDL-C measurement or calculation.^{29,144,148,163-167} Furthermore, non-HDL-C is more accurately measured in

Table 2 Treatment goals for non-HDL-C, LDL-C, and Apo B in mg/dL

Risk category	Treatment goal		
	Non-HDL-C	LDL-C	Apo B*
Low	<130	<100	<90
Moderate	<130	<100	<90
High	<130	<100	<90
Very high	<100	<70	<80

Apo, apolipoprotein; LDL-C, low-density lipoprotein cholesterol; Non-HDL-C, non-high-density lipoprotein cholesterol.

*Apo B is a secondary, optional target of treatment.

Table 3 Criteria for ASCVD risk assessment, treatment goals for atherogenic cholesterol, and levels at which to consider drug therapy

Risk category	Criteria	Treatment goal	Consider drug therapy
		Non-HDL-C, mg/dL LDL-C, mg/dL	Non-HDL-C, mg/dL LDL-C, mg/dL
Low	<ul style="list-style-type: none"> • 0–1 major ASCVD risk factors • Consider other risk indicators, if known 	<130	≥190
		<100	≥160
Moderate	<ul style="list-style-type: none"> • 2 major ASCVD risk factors • Consider quantitative risk scoring • Consider other risk indicators[*] 	<130	≥160
		<100	≥130
High	<ul style="list-style-type: none"> • ≥3 major ASCVD risk factors • Diabetes mellitus (type 1 or 2)[†] <ul style="list-style-type: none"> ◦ 0–1 other major ASCVD risk factors and ◦ No evidence of end-organ damage • Chronic kidney disease stage 3B or 4[‡] • LDL-C of ≥190 mg/dL (severe hypercholesterolemia)[§] • Quantitative risk score reaching the high-risk threshold 	<130	≥130
		<100	≥100
Very high	<ul style="list-style-type: none"> • ASCVD • Diabetes mellitus (type 1 or 2) <ul style="list-style-type: none"> ◦ ≥2 other major ASCVD risk factors or ◦ Evidence of end-organ damage[¶] 	<100	≥100
		<70	≥70

For patients with ASCVD or diabetes mellitus, consideration should be given to use of moderate or high-intensity statin therapy, irrespective of baseline atherogenic cholesterol levels.

ASCVD, atherosclerotic cardiovascular disease; HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol.

^{*}For those at moderate risk, additional testing may be considered for some patients to assist with decisions about risk stratification. See [Tables 4 and 11](#) and text for additional details.

[†]For patients with diabetes plus 1 major ASCVD risk factor, treating to a non-HDL-C goal of <100 mg/dL (LDL-C of <70 mg/dL) is considered a therapeutic option.

[‡]For patients with chronic kidney disease (CKD) stage 3B (estimated glomerular filtration rate [eGFR], 30–44 mL/min/1.73 m²) or stage 4 (eGFR, 15–29 mL/min/1.73 m²) risk calculators should not be used because they may underestimate risk. Stage 5 CKD (or on hemodialysis) is a very high-risk condition, but results from randomized, controlled trials of lipid-altering therapies have not provided convincing evidence of reduced ASCVD events in such patients. Therefore, no treatment goals for lipid therapy have been defined for stage 5 CKD.

[§]If LDL-C is ≥190 mg/dL, consider severe hypercholesterolemia phenotype, which includes familial hypercholesterolemia. Lifestyle intervention and pharmacotherapy are recommended for adults with the severe hypercholesterolemia phenotype. If it is not possible to attain desirable levels of atherogenic cholesterol, a reduction of at least 50% is recommended. For familial hypercholesterolemia patients with multiple or poorly controlled other major ASCVD risk factors, clinicians may consider attaining even lower levels of atherogenic cholesterol. Risk calculators should not be used in such patients.

^{||}High-risk threshold is defined as ≥10% using Adult Treatment Panel III Framingham Risk Score for hard coronary heart disease (CHD; myocardial infarction or CHD death), ≥15% using the 2013 Pooled Cohort Equations for hard ASCVD (myocardial infarction, stroke, or death from CHD or stroke), or ≥45% using the Framingham long-term cardiovascular disease (myocardial infarction, CHD death or stroke) risk calculation. Clinicians may prefer to use other risk calculators, but should be aware that quantitative risk calculators vary in the clinical outcomes predicted (eg, CHD events, ASCVD events, cardiovascular mortality); the risk factors included in their calculation; and the timeframe for their prediction (eg, 5 years, 10 years, or long-term or lifetime). Such calculators may omit certain risk indicators that can be very important in individual patients, provide only an approximate risk estimate, and require clinical judgment for interpretation.

[¶]End-organ damage indicated by increased albumin-to-creatinine ratio (≥30 mg/g), CKD (eGFR, <60 mL/min/1.73 m²), or retinopathy.

the nonfasting state compared with LDL-C.^{29,168} Goal levels of non-HDL-C may be attained by targeting either or both of the main components of non-HDL-C: LDL-C and VLDL-C. However, it should be emphasized that goal thresholds apply to both non-HDL-C and LDL-C, because discordance may occur, and effective management of atherogenic cholesterol would ideally result in achieving goal levels for both.

Desirable levels of atherogenic cholesterol for primary prevention (ie, those without clinical evidence of ASCVD

or other very high-risk conditions) are <130 mg/dL for non-HDL-C and <100 mg/dL for LDL-C; for very high risk patients, the desirable levels are <100 mg/dL for non-HDL-C and <70 mg/dL for LDL-C ([Tables 2 and 3](#)). Support for these thresholds derives primarily from observational evidence showing low ASCVD incidence rates in groups with levels in these ranges.^{4,8} In several studies, the risk for CHD was shown to decrease progressively to a total-C concentration of ~150 mg/dL, and populations with total-C below this level have low ASCVD morbidity

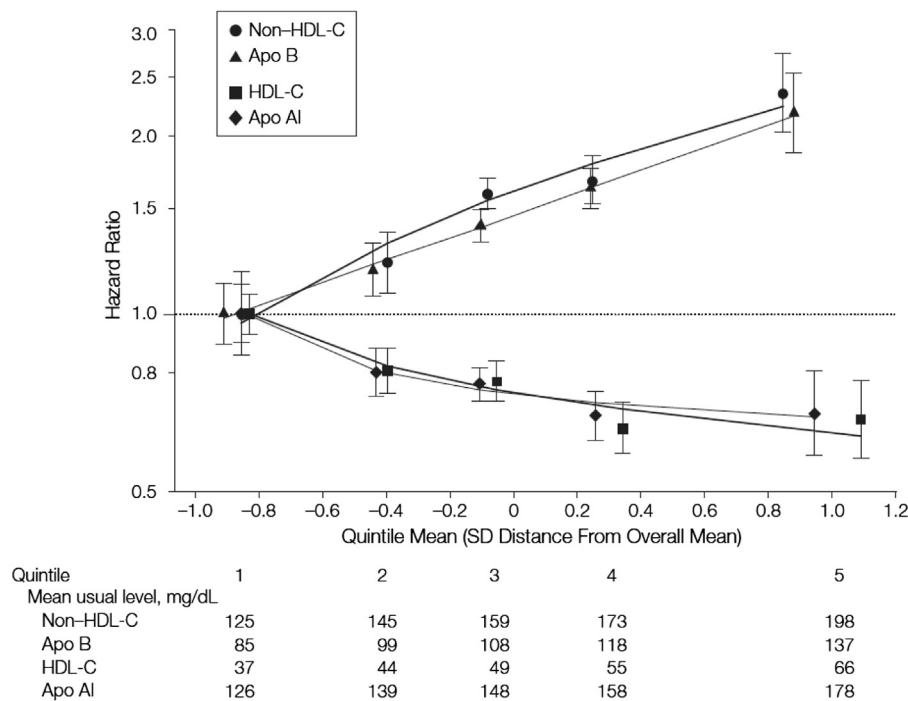


Figure 10 Hazard ratios for coronary heart disease across quintile of non-high-density lipoprotein cholesterol (non-HDL-C), apolipoprotein (apo) B, HDL-C, and apo AI.³⁶ Analyses were based on 91,307 participants (involving 4499 cases) from 22 studies. Regression analyses were stratified, where appropriate, by sex and trial group and adjusted for age, systolic blood pressure, smoking status, history of diabetes mellitus, and body mass index; furthermore, analyses of non-HDL-C were adjusted for HDL-C and \log_e triglyceride, analyses of apo B were adjusted for apo AI and \log_e triglyceride, analyses of HDL-C were adjusted for non-HDL-C and \log_e triglyceride, and analysis of apo AI were adjusted for apo B and \log_e triglyceride. Studies with fewer than 10 cases were excluded from analysis. Sizes of data markers are proportional to the inverse of the variance of the hazard ratios. Referent groups are lowest fifths. Lines are fitted by first-degree fractional polynomial regression of log hazard ratios on mean SD score. Error bars indicate 95% confidence intervals. The y-axis is shown on a log scale. The x-axis is shown on a Z-transformed scale. Taken from Emerging Risk Factors Collaboration³⁶ with permission. Copyright © (2009) American Medical Association. All rights reserved. SD, standard deviation.

and mortality.^{4,50,53} This corresponds to an LDL-C concentration of ~ 100 mg/dL. Examination of genetic variants that result in below-average levels of atherogenic cholesterol throughout life also support an LDL-C concentration of <100 mg/dL and a non-HDL-C level of <130 mg/dL for prevention of ASCVD.^{56–58,68,169–172} Data from RCTs show that risk for ASCVD events is reduced with a variety of atherogenic cholesterol-lowering interventions, including cholesterol-lowering drugs and dietary modification, in a pattern that is generally consistent with expectations based on observational evidence.^{8,37,83,116,173,174} An examination of the pravastatin-to-simvastatin conversion lipid optimization program cohort indicated that lipid-lowering therapy which reduced LDL-C to ≤ 100 mg/dL was associated with a significantly lower percentage of total and CHD-related deaths (40% vs 61%) compared with patients with LDL-C of >100 mg/dL.¹⁷⁵ The relationship between lower levels of atherogenic cholesterol with lower risk for ASCVD events has been shown to be present to LDL-C values of <55 mg/dL.^{80–83,176–179}

The designation of non-HDL-C treatment targets as 30 mg/dL more than the LDL-C concentration is based on the assumption that “normal” VLDL-C concentration when triglycerides are <150 mg/dL is typically ≤ 30 mg/dL,

and when triglycerides are elevated, VLDL-C is typically >30 mg/dL.^{4,180} In observational studies, each 1 mg/dL increment in triglyceride-rich lipoprotein cholesterol is associated with an increment in ASCVD event risk at least as large as that for each 1 mg/dL increase in LDL-C.^{27,31,34,62,147} As further research is conducted to investigate the atherogenic properties of triglyceride-rich lipoproteins, including VLDL particles, the accepted values for typical VLDL-C and associated non-HDL-C targets may be modified.¹⁸¹

Apolipoprotein B

Apo B is considered an optional, secondary target for treatment. Epidemiologic studies have generally shown that both apo B and non-HDL-C are better predictors of ASCVD risk than LDL-C.^{147,152} Because each potentially atherogenic lipoprotein particle contains a single molecule of apo B, the apo B concentration is a direct indicator of the number of circulating particles with atherogenic potential. Apo B and non-HDL-C share the advantage that neither requires fasting for accurate assessment. Non-HDL-C is favored over apo B by the NLA Expert Panel because it is universally available, requiring no additional expense

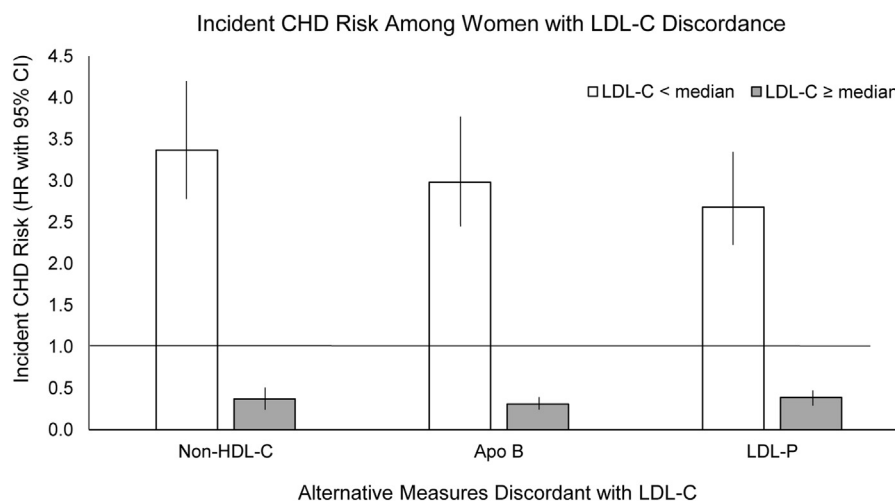


Figure 11 Hazard ratios (HR) and 95% confidence intervals (CIs) for an incident coronary heart disease (CHD) event among women discordant for low-density lipoprotein cholesterol (LDL-C) and alternate measures of atherogenic lipoprotein burden.⁷⁰ Apo, apolipoprotein; LDL-P, low-density lipoprotein particle concentration; Non-HDL-C, non-high-density lipoprotein cholesterol.

compared with the standard lipid profile, and because apo B has not been consistently superior to non-HDL-C in predicting ASCVD event risk (Fig. 10).^{36,153,173}

Cholesterol-lowering drug therapies, especially statins, alter the relationship between atherogenic cholesterol and apo B, often lowering the cholesterol concentration more than the apo B level. Apo B is a potential contributor to residual ASCVD risk because it may remain elevated in some individuals who have attained their treatment goals for non-HDL-C and LDL-C (discussed in the following section), particularly in patients with high triglycerides and low HDL-C levels.^{70,161} A clinical trial assessing the ability of more aggressive lipid management to lower residual risk in patients on statin therapy, but with residual elevation in apo B (and/or LDL particle concentration), is needed. An examination of LDL-C, non-HDL-C, apo B, and LDL particle concentrations among 27,533 apparently healthy women in the Women's Health Study demonstrated reasonably high correlations between LDL-C and each of the alternate measures (non-HDL-C, apo B, and LDL particle concentration), but substantial discordance between measurements in some individuals (Fig. 11).⁷⁰ For those with concordant levels of LDL-C and non-HDL-C, apo B, or LDL particle concentration, the clinical utility of these measures for estimating coronary risk was similar. However, among the subgroups of subjects with discordance of LDL-C with another atherogenic lipoprotein-related measure such as non-HDL-C, apo B, or LDL particle concentration (11%-24% depending on the measure used), ASCVD risk was either overestimated or underestimated by 20% to 50% compared with LDL-C alone. Discordance has been defined variably in research conducted to date (eg, median cut points or guideline cut points).^{70,153,182-185} Additional research will be needed to further elucidate the clinical importance of discordance between measures of atherogenic lipoprotein burden.

If apo B is used as an optional target for treatment, goals are <90 mg/dL for primary prevention and <80 mg/dL for those with very high risk, although measurement of apo B is generally not necessary until the patient has been treated to his or her goal levels for atherogenic cholesterol (Table 2).¹⁸⁶⁻¹⁸⁸ The thresholds for these cut points represent the panel's consensus based on an evaluation of the available evidence and are consistent with those recommended previously by the American Diabetes Association/ACC Foundation.¹⁸⁶ Treatment with statins and other cholesterol-lowering drug therapies appears to alter the relationship between atherogenic cholesterol and apo B concentrations.^{155,189-191} In an analysis of data from the Limiting Undertreatment of Lipids in ACS with Rosuvastatin (LUNAR) trial, Ballantyne et al¹⁹⁰ reported that during statin therapy, an apo B concentration of 90 mg/dL was associated with mean LDL-C and non-HDL-C concentrations of 85 and 105 mg/dL, respectively. The corresponding mean values associated with an apo B concentration of 80 mg/dL were 74 mg/dL for LDL-C and 92 mg/dL for non-HDL-C. As discussed previously, patients who remain above the apo B goals, despite having reached their atherogenic cholesterol goals, are discordant and may therefore have residual risk related to an elevated concentration of circulating particles with atherogenic potential.

Clinicians may consider measuring LDL particle concentration as an alternative to apo B.^{161,183,188} Apo B and LDL particle concentration have been reported to perform similarly with regard to the prediction of increased ASCVD risk.^{154,188} The NLA Expert Panel acknowledges that measurement of LDL particle concentration can be useful clinically, particularly once non-HDL-C and LDL-C goals have been attained, as another potential indicator of residual risk for ASCVD. The Centers for Disease Control-National Heart, Lung, and Blood Institute has standardization programs for LDL-C, non-HDL-C, and

Table 4 Criteria for clinical identification of the metabolic syndrome (any 3 or more of the listed components)²⁰⁰

Measure	Categorical cut points
1. Elevated waist circumference*	≥40 inches (≥102 cm) in men ≥35 inches (≥88 cm) in women
2. Elevated triglycerides (drug treatment with a triglyceride-lowering agent is an alternate indicator†)	≥150 mg/dL
3. Reduced HDL-C	<40 mg/dL in men <50 mg/dL in women
4. Elevated blood pressure (antihypertensive drug treatment in a patient with a history of hypertension is an alternate indicator)	Systolic ≥130 mm Hg and/or diastolic ≥85 mm Hg
5. Elevated fasting glucose (drug treatment of elevated glucose is an alternate indicator‡)	≥100 mg/dL

HDL-C, high-density lipoprotein cholesterol.

*American Heart Association/National Heart, Lung and Blood Institute guidelines for metabolic syndrome suggest waist circumference thresholds of ≥37 inches (≥94 cm) in men and ≥32 inches (≥80 cm) in women as optional cut points for individuals or populations with increased insulin resistance, including those of Asian descent (alternate values have also been published for other groups).⁸

†The most commonly used drugs for elevated triglycerides are fibric acids, nicotinic acid, and high-dose long-chain omega-3 fatty acids. A patient taking any of these drugs may be presumed to have elevated triglycerides.

‡Most patients with type 2 diabetes mellitus will have the metabolic syndrome by these criteria.

apo B measurements. A similar standardization program for LDL particle concentration has not yet been established. Most studies of LDL particle concentration published to date have used a proprietary nuclear magnetic resonance method,^{183,188} but other proprietary methodologies for LDL particle concentration quantification are also available. These various methods appear to have variable agreement in terms of LDL particle size,^{192–194} and their performance for predicting ASCVD risk has not been directly compared. Accordingly, the NLA Expert Panel did not recommend treatment goals for LDL particle concentration. Additional information about the clinical use of LDL particle concentration may be found in a report issued by another panel of NLA experts: Clinical Utility of Inflammatory Markers and Advanced Lipoprotein Testing: Advice from an Expert Panel of Lipid Specialists.¹⁶¹

Triglycerides

Prospective epidemiologic studies and meta-analyses have demonstrated a positive relationship between serum triglyceride levels and incidence of ASCVD,^{195–197} although the mechanisms responsible for this association are not fully understood.¹⁹⁸ Possible pathophysiological links include (1) the atherogenicity of smaller species of triglyceride-rich remnant lipoprotein particles that may enter the subendothelial space; (2) elevated triglycerides may act a marker of increased concentrations of atherogenic particles (apo B-containing, apo C3-containing, small dense LDL particles); and (3) triglycerides are associated with other metabolic disturbances (insulin resistance, inflammation, endothelial dysfunction, hypercoagulation, and lower reverse cholesterol transport).^{197–199} An elevated triglyceride concentration is also a component of the metabolic syndrome.²⁰⁰ The NLA Expert Panel agreed that an elevated triglyceride level is not a target of therapy per

se, except when very high (≥500 mg/dL). When triglycerides are between 200 and 499 mg/dL, the targets of therapy are non-HDL-C and LDL-C.

Fasting triglyceride levels of ≥500 mg/dL (and especially ≥1000 mg/dL) are associated with increased risk of acute pancreatitis.²⁰¹ Although significant chylomicronemia generally does not occur until the fasting triglyceride level is substantially higher than 500 mg/dL (~750 mg/dL), there is no single threshold of triglyceride concentration above which pancreatitis may occur, and it can be exacerbated by other risk factors. A threshold of ≥500 mg/dL was selected to define very high triglycerides because the triglyceride level fluctuates markedly and such individuals are at risk for developing more severe hypertriglyceridemia.

A cohort study that examined the risk for acute pancreatitis according to the degree of hypertriglyceridemia (triglycerides <150, 150–499, or ≥500 mg/dL) in >65,000 subjects found a significant dose-response relationship between triglyceride concentration and incident acute pancreatitis during 15 years of follow-up. The risk increased 4% for each 100 mg/dL increase in triglyceride level (after adjustment for covariates and removal of patients hospitalized for gallstones, chronic pancreatitis, alcohol-related comorbidities, renal failure, and other biliary diseases).²⁰²

Thus, when the triglyceride concentration is very high (≥500 mg/dL, and especially if ≥1000 mg/dL), reducing the concentration to <500 mg/dL to prevent pancreatitis becomes the primary goal of therapy. There are limited clinical trial data to support the benefits of triglyceride-lowering therapy for reducing risk for pancreatitis.^{203,204}

High-density lipoprotein cholesterol

Epidemiologic evidence suggests that HDL-C is inversely associated with ASCVD,^{64,205,206} and the level of HDL-C is widely accepted as an important risk indicator

Table 5 Drugs that may elevate LDL-C or triglyceride concentrations

Drugs that elevate LDL-C	Drugs that elevate triglycerides
<ul style="list-style-type: none"> • Some progestins • Anabolic steroids • Danazol • Isotretinoin • Immunosuppressive drugs (cyclosporine) • Amiodarone • Thiazide diuretics • Glucocorticoids • Thiazolidinediones • Fibrates (in severe hypertriglyceridemia) • Long chain omega-3 fatty acids (in severe hypertriglyceridemia, if containing docosahexaenoic acid) 	<ul style="list-style-type: none"> • Oral estrogens • Tamoxifen • Raloxifene • Retinoids • Immunosuppressive drugs (cyclosporine, sirolimus) • Interferon • Beta-blockers (especially non-beta 1 selective) • Atypical antipsychotic drugs (flupentixol, clozapine, olanzapine) • Protease inhibitors • Thiazide diuretics • Glucocorticoids • Rosiglitazone • Bile acid sequestrants • L-asparaginase • Cyclophosphamide

LDL-C, low-density lipoprotein cholesterol.

and used in risk factor counting and quantitative ASCVD risk assessment.^{4,5,207} Low HDL-C is also a component of the metabolic syndrome. HDL particles have several properties that are expected to provide protection against ASCVD including reverse cholesterol transport, antioxidant, endothelial protection, antiplatelet activity, and anticoagulation,^{208,209} but a direct mechanistic relationship between low HDL and ASCVD is not fully understood. It has been suggested that low HDL-C levels may simply be a reflection of the presence of other atherogenic factors, such as hypertriglyceridemia, particularly the degree of postprandial hypertriglyceridemia.^{210,211} A Mendelian randomization approach to examine the potential causality of the relationship between HDL-C level and reduced risk for myocardial infarction in case-control and prospective cohort studies found that single nucleotide polymorphisms that increase plasma HDL-C concentration in isolation (ie, without altering triglycerides or LDL-C) were not associated with reduced risk of myocardial infarction.²¹² To date, clinical trials of agents that markedly raise HDL-C, including niacin and cholesteryl ester transfer protein inhibitors, have failed to demonstrate that they reduce all cause mortality, CHD mortality, myocardial infarction, or stroke in statin-treated patients.^{210,213–217}

The NLA Expert Panel did not rule out the possibility of a potential ASCVD risk-reduction benefit with raising HDL-C or promoting HDL function, but at this time, HDL-C is not recommended as a target of therapy per se. The HDL-C level is often raised as a consequence of efforts to reduce atherogenic cholesterol through lifestyle and drug therapies.

Metabolic syndrome

Metabolic syndrome is recognized as a multiplex risk factor for both ASCVD and type 2 diabetes mellitus

(Table 4).²⁰⁰ Available evidence from meta-analyses suggests that metabolic syndrome is independently associated with ASCVD risk, essentially doubling the risk.^{218–221} The increased ASCVD risk with metabolic syndrome is generally considered to be above and beyond that associated with traditional ASCVD risk factors; the predictive

Table 6 Diet characteristics and diseases, disorders, and altered metabolic states that may elevate LDL-C and/or triglyceride concentrations

Cause	Elevate LDL-C	Elevate triglycerides
Diet		
Positive energy balance	✓	✓
High saturated fat	✓	
High trans fats	✓	
High glycemic load		✓
Excess alcohol		✓
Weight gain	✓	✓
Anorexia nervosa	✓	
Diseases, disorders, and altered metabolic states		
Chronic kidney disease	✓	✓
Nephrotic syndrome	✓	✓
Obstructive liver disease	✓	
Diabetes mellitus		✓
Metabolic syndrome		✓
HIV infection	✓	✓
Autoimmune disorders	✓	✓
Hypothyroidism	✓	✓
Pregnancy	✓	✓
Polycystic ovary syndrome	✓	✓
Menopause transition with declining estrogen levels	✓	✓

HIV, human immunodeficiency virus; LDL-C, low-density lipoprotein cholesterol.

value of metabolic syndrome for type 2 diabetes mellitus risk, although substantial, is less than that shown for diabetes-specific risk equations.^{200,222–224} Increased adiposity and insulin resistance appear to be central pathophysiological features of this cluster of interrelated metabolic and hemodynamic disturbances including elevations in blood pressure, triglycerides and glucose, as well as depressed HDL-C. The metabolic syndrome also likely reflects ASCVD risk secondary to indicators that are often not measured clinically including increased oxidation, inflammation, endothelial dysfunction, and thrombogenicity. Some of the NLA Expert Panel members were in favor of recommending that a diagnosis of metabolic syndrome be considered for reclassification of an individual into a higher risk category (ie, for risk refinement as described later in this document). However, because of the overlap between certain ASCVD risk factors and metabolic syndrome criteria (eg, HDL-C and triglycerides), the panel as a whole did not agree that the metabolic syndrome should be labeled a high risk condition at this time. The main value of identifying the presence of the

metabolic syndrome is to recognize individuals with a high potential to benefit from lifestyle therapies, particularly weight loss if overweight or obese and increased physical activity. Successful lifestyle intervention will reduce adiposity and insulin resistance, improving multiple physiological disturbances that may contribute to risk, including the metabolic syndrome components as well as indicators of inflammation, oxidation, and thrombogenicity.^{2,4,134,225–228}

Waist circumference thresholds are presented in the list of metabolic syndrome components in Table 4 because waist is generally considered to be a better indicator of abdominal obesity than body mass index (BMI).^{229–231} However, members of the NLA Expert Panel recognized that waist is not always measured in clinical practice, whereas weight and height data for the calculation of BMI are usually available. Thus, although not the preferred indicator, BMI may be used as an alternative to waist circumference when the latter is not available.^{232–234} Using National Health and Nutrition Examination Survey data, the cut points for BMI that produced the same population

Chart 2 Recommendations for targets of intervention in dyslipidemia management

Recommendations	Strength	Quality
When intervention beyond public health recommendations for long-term ASCVD risk reduction is used, levels of atherogenic cholesterol (non-HDL-C and LDL-C) should be the primary targets for therapies.	A	High
Goal levels of non-HDL-C may be attained by targeting either or both of the main components of non-HDL-C: LDL-C and VLDL-C.	B	Moderate
Desirable levels of atherogenic cholesterol for primary prevention are <130 mg/dL for non-HDL-C and <100 mg/dL for LDL-C. Goals for very high risk patients are <100 mg/dL for non-HDL-C and <70 mg/dL for LDL-C.	B for primary prevention; A for secondary prevention	Moderate for primary prevention; high for secondary prevention
Apo B is considered an optional, secondary target for treatment after the patient has been treated to goal levels for atherogenic cholesterol.	E	Moderate
Goals for apo B as an optional target for treatment are <90 mg/dL for primary prevention and <80 mg/dL for those with very high risk.	E	Low
Clinicians may consider measuring LDL particle concentration as an alternative to apo B	E	Moderate
Elevated triglyceride level is not a target of therapy per se, except when very high (≥ 500 mg/dL). <ul style="list-style-type: none"> • When triglycerides are between 200 and 499 mg/dL, the targets of therapy are non-HDL-C and LDL-C. • When triglycerides are very high (≥ 500 mg/dL, and especially if ≥ 1000 mg/dL), reduction to <500 mg/dL to prevent pancreatitis becomes the primary goal of therapy. 	B for triglycerides 200–499 mg/dL; B for triglycerides ≥ 500 mg/dL	Moderate for triglycerides 200–499 mg/dL; low for triglycerides ≥ 500 mg/dL
HDL-C is not recommended as a target of therapy per se, but the level is often raised as a consequence of efforts to reduce atherogenic cholesterol through lifestyle and drug therapies.	N	Moderate
Metabolic syndrome is recognized as a multiplex risk factor for both ASCVD and type 2 diabetes mellitus, and its presence indicates high potential to benefit from lifestyle therapies.	B	Moderate
Some conditions or medications can produce adverse changes in lipid levels and should be considered when evaluating patients with dyslipidemia.	A	High

Table 7 Major risk factors for ASCVD*

1. Age
 - Male ≥ 45 y
 - Female ≥ 55 y
2. Family history of early CHD[†]
 - <55 y of age in a male first-degree relative or
 - <65 y of age in a female first-degree relative
3. Current cigarette smoking
4. High blood pressure ($\geq 140/\geq 90$ mm Hg, or on blood pressure medication)
5. Low HDL-C
 - Male <40 mg/dL
 - Female <50 mg/dL

ASCVD, atherosclerotic cardiovascular disease; CHD, coronary heart disease; HDL-C, high-density lipoprotein cholesterol.

*Levels of non-high-density lipoprotein cholesterol and low-density lipoprotein cholesterol are not listed, because these risk factors are used to assess risk category and treatment goals for atherogenic lipoprotein cholesterol levels. Diabetes mellitus is not listed because it is considered a high- or very high-risk condition for ASCVD risk assessment purposes.

[†]CHD is defined as myocardial infarction, coronary death, or a coronary revascularization procedure.

Table 9 High- or very high-risk patient groups

Quantitative risk scoring is not necessary for initial risk assessment in patients with the following conditions:*

- Diabetes mellitus, type 1 or 2
- Chronic kidney disease, stage $\geq 3B$
- LDL-C ≥ 190 mg/dL: severe hypercholesterolemia phenotype, which includes FH
- ASCVD

ASCVD, atherosclerotic cardiovascular disease; FH, familial hypercholesterolemia; LDL-C, low-density lipoprotein cholesterol.

*Patients in these categories are all at "high" or "very high" risk for an ASCVD event and should be treated accordingly.

ASCVD risk assessment and treatment goals based on risk category

In addition to lipoprotein lipid levels, ASCVD risk assessment includes evaluation of other major ASCVD risk factors (Table 7) and other conditions known to be associated with high or very high risk for an ASCVD event (Table 9). For high- and very high-risk patient groups (see the following for definitions), quantitative risk scoring (described in detail in the [High risk](#) section) will often underestimate ASCVD event risk so is generally not recommended unless a validated equation for that population subset is used.

ASCVD risk has been classified into 4 categories, as shown in Table 3. Risk category is used both for the purpose of defining treatment goals for atherogenic cholesterol (as well as apo B) and for defining the level of atherogenic cholesterol elevation at which pharmacotherapy to lower atherogenic cholesterol might be considered. However, it should be stressed that the NLA Expert Panel recommends consideration of the use of moderate- or high-intensity statin therapy, irrespective of baseline atherogenic cholesterol levels, for patients with ASCVD or diabetes mellitus, based on RCT results that demonstrate a benefit in these patients at all levels of baseline lipids.⁹⁸ Lifestyle therapies should be emphasized and monitored in all patients with elevated levels of atherogenic cholesterol, whether or not pharmacotherapy for dyslipidemia management is used.

Risk assessment (Table 10) will often proceed according to the following steps:

- Step 1—identify high- and very high-risk conditions, if present.
 - Very high-risk conditions (Table 9)
 - Clinical ASCVD;
 - Diabetes mellitus (type 1 or 2) with ≥ 2 major ASCVD risk factors or evidence of end-organ damage (estimated glomerular filtration rate, <60 mL/min/1.73 m²).
 - High risk conditions (Table 9)
 - LDL-C of ≥ 190 mg/dL (severe hypercholesterolemia phenotype);
 - Type 1 or 2 diabetes mellitus with 0 to 1 major ASCVD risk factors;

prevalence rates as the waist criteria were 25.0 kg/m² for women and 29.0 kg/m² in men.^{232,233} Lower cut points of 23.0 and 27.0 kg/m² for women and men, respectively, may be considered for individuals or populations with increased insulin resistance, including those of East Asian, South Asian, or Native American descent.^{8,200,235,236}

Secondary causes of dyslipidemia

Some conditions or medications can produce adverse changes in lipid levels and should be considered when evaluating patients with dyslipidemia.^{3,237–244} Medications that may elevate levels of LDL-C and/or triglycerides are shown in Table 5. Conditions that may produce adverse changes in lipid levels are summarized in Table 6. Chart 2 summarizes the recommendations for targets of intervention in dyslipidemia management.

Table 8 Criteria for classification of ASCVD

- Myocardial infarction or other acute coronary syndrome
- Coronary or other revascularization procedure
- Transient ischemic attack
- Ischemic stroke
- Atherosclerotic peripheral arterial disease
 - Includes ankle/brachial index of <0.90
- Other documented atherosclerotic diseases such as
 - Coronary atherosclerosis
 - Renal atherosclerosis
 - Aortic aneurysm secondary to atherosclerosis
 - Carotid plaque, $\geq 50\%$ stenosis

ASCVD, atherosclerotic cardiovascular disease.

Table 10 Sequential steps in ASCVD risk assessment

1. Identify patients with either **very high-risk** or **high-risk** conditions.
 - Very high risk**
 - a. ASCVD
 - b. Diabetes mellitus with ≥ 2 other major ASCVD risk factors or end-organ damage*
 - High risk**
 - a. Diabetes mellitus with 0–1 other major ASCVD risk factors
 - b. Chronic kidney disease stage 3B or 4†
 - c. LDL-C ≥ 190 mg/dL (severe hypercholesterolemia phenotype)
2. Count major ASCVD risk factors.
 - a. If 0–1 and no other major indicators of higher risk, assign to **low-risk** category. Consider assigning to a higher risk category based on other known risk indicators, when present.
 - b. If ≥ 3 major ASCVD risk factors are present, assign to **high-risk** category.
3. If there are 2 major ASCVD risk factors, **risk scoring** should be considered and additional testing may be useful for some patients.
 - a. If quantitative risk scoring reaches the **high-risk** threshold,‡ assign to **high-risk** category.
 - b. Consider assigning to **high-risk** category if other risk indicators are present based on additional testing (see Table 11).
 - c. If, based on aforementioned steps, no indication is present to assign to **high-risk**, assign to **moderate-risk** category.

Further risk assessment is not required after identifying the highest applicable risk level.

ASCVD, atherosclerotic cardiovascular disease; LDL-C, low-density lipoprotein cholesterol.

*End-organ damage indicated by increased albumin-to-creatinine ratio (≥ 30 mg/g), chronic kidney disease (CKD; estimated glomerular filtration rate [eGFR], < 60 mL/min/1.73 m²), or retinopathy.

†For patients with CKD stage 3B (eGFR, 30–44 mL/min/1.73 m²) or stage 4 (eGFR, 15–29 mL/min/1.73 m²), risk calculators should not be used because they may underestimate risk. Stage 5 CKD (or on hemodialysis) is a very high-risk condition, but results from randomized, controlled trials of lipid-altering therapies have not provided convincing evidence of reduced ASCVD events in such patients. Therefore, no treatment goals for lipid therapy have been defined for stage 5 CKD.

‡High-risk threshold is defined as $\geq 10\%$ using Adult Treatment Panel III Framingham Risk Score for hard coronary heart disease (CHD; myocardial infarction or CHD death), $\geq 15\%$ using the 2013 Pooled Cohort Equations for hard ASCVD (myocardial infarction, stroke or death from CHD or stroke), or $\geq 45\%$ using the Framingham long-term CVD (myocardial infarction, CHD death or stroke) risk calculation. Clinicians may prefer to use other risk calculators, but should be aware that quantitative risk calculators vary in the clinical outcomes predicted (eg, CHD events, ASCVD events, cardiovascular mortality); the risk factors included in their calculation; and the timeframe for their prediction (eg, 5 years, 10 years, or long term or lifetime). Such calculators may omit certain risk indicators that can be very important in individual patients, provide only an approximate risk estimate, and require clinical judgment for interpretation.

Table 11 Additional risk indicators (other than major ASCVD risk factors) that might be considered for risk refinement*

1. A severe disturbance in a major ASCVD risk factor, such as multipack per day smoking or strong family history of premature CHD
2. Indicators of subclinical disease, including coronary artery calcium
 - ≥ 300 Agatston units† is considered high risk
3. LDL-C ≥ 160 mg/dL and/or non-HDL-C ≥ 190 mg/dL
4. High-sensitivity C-reactive protein ≥ 2.0 mg/L‡
5. Lipoprotein (a) ≥ 50 mg/dL (protein) using an isoform-insensitive assay
6. Urine albumin-to-creatinine ratio ≥ 30 mg/g

ASCVD, atherosclerotic cardiovascular disease; CHD, coronary heart disease; LDL-C, low-density lipoprotein cholesterol; non-HDL-C, non-high-density lipoprotein cholesterol.

*The presence of 1 or more of the risk indicators listed may be considered, in conjunction with major ASCVD risk factors, to reclassify an individual into a higher risk category. Except in the case of evidence of subclinical disease defining the presence of ASCVD, reclassification to a higher risk category is a matter of clinical judgment. Doing so will alter the threshold for consideration of pharmacotherapy and/or the treatment goals for atherogenic cholesterol. Many other ASCVD risk markers are available, but the National Lipid Association Expert Panel consensus view is that those listed have the greatest clinical utility. Some of the NLA Expert Panel members were in favor of recommending that a diagnosis of metabolic syndrome be considered a condition that could reclassify an individual into a higher risk category (ie, for risk refinement as described later in this document). However, because of the overlap between certain ASCVD risk factors and metabolic syndrome criteria (eg, HDL-C and triglycerides), the panel as a whole did not agree that the metabolic syndrome should be used for risk refinement at this time.

†Coronary artery calcium of ≥ 75 th percentile for age, sex, and ethnicity. For additional information, see the Coronary Artery Calcium Score Reference Values web tool (<http://www.mesa-nhlbi.org/CACReference.aspx>).

‡Because of high intraindividual variability, multiple high-sensitivity C-reactive protein (hs-CRP) values should be obtained before concluding that the level is elevated; hs-CRP should not be tested in those who are ill, have an infection, or are injured. If hs-CRP level is > 10 mg/L, consider other etiologies such as infection, active arthritis, or concurrent illness.

- Chronic kidney disease (CKD) stage 3B or 4 (or estimated glomerular filtration rate, <45 but ≥ 15 mL/kg/1.73 m²)
- Step 2—if none of the previously mentioned conditions is present, count major ASCVD risk factors and classify into the appropriate risk category.
 - 0 to 1 major ASCVD risk factor: low risk
 - 2 major ASCVD risk factors: moderate risk
 - ≥ 3 major ASCVD risk factors: high risk
- Step 3—Consider quantitative risk scoring and other factors for risk refinement, particularly in patients with moderate risk.
 - Quantitative risk scoring—thresholds are shown in the following for classification as high risk based on 3 commonly used risk calculators.
 - ATP III Framingham risk calculator: $\geq 10\%$ 10-year risk for a hard CHD event (myocardial infarction or CHD death);
 - Pooled Cohort Equations (ACC/AHA): $\geq 15\%$ 10-year risk for a hard ASCVD event (myocardial infarction, stroke, or death from CHD or stroke); and
 - Framingham long-term (30 year) risk calculator: $\geq 45\%$ risk for CVD (myocardial infarction, CHD death, or stroke).
 - Other factors (Table 11)^{161,245–256}—the presence of one or more of the following additional risk indicators may warrant moving the patient into a higher risk category based on clinical judgment.
 - Severe disturbance in a major ASCVD risk factor such as multipack per day smoking or strong family history of premature CHD
 - Indicators of subclinical disease, including coronary artery calcium (CAC; ≥ 300 Agatston units is considered high risk)
 - LDL-C ≥ 160 mg/dL and/or non-HDL-C ≥ 190 mg/dL
 - High-sensitivity C-reactive protein ≥ 2.0 mg/L
 - Lp (a) ≥ 50 mg/dL (protein) using an isoform-insensitive assay
 - Urine albumin-to-creatinine ratio ≥ 30 mg/g

Additional information about the 4 risk categories

Very high risk

Patients with clinical evidence of ASCVD, as defined in Table 8, and those with diabetes mellitus type 1^{257,258} or 2 and ≥ 2 major ASCVD risk factors (Table 7), or evidence of end-organ damage, are considered to be at very high risk. These patients have the most aggressive goals for atherogenic cholesterol (non-HDL-C, <100 mg/dL; LDL-C, <70 mg/dL). For those at very high risk, pharmacotherapy is recommended when atherogenic cholesterol levels are above goal. In addition, pharmacotherapy with a moderate- or high-intensity statin is considered a therapeutic option in patients in this risk category even at lower pretreatment levels of atherogenic cholesterol. In particular,

use of a moderate- or high-intensity statin should be considered in patients with ASCVD or diabetes mellitus, irrespective of baseline atherogenic cholesterol levels.⁹⁸

End-stage (stage 5) CKD is associated with very high risk for ASCVD events.^{255,259,260} However, data from RCTs of lipid-altering therapies have not consistently shown benefits in this group.^{261–264} Moreover, use of intensive lipid-lowering drug therapies in this group to achieve low levels of atherogenic cholesterol may not be practical. Therefore, goals for atherogenic cholesterol levels in stage 5 CKD have not been defined and are instead considered a matter of clinical judgment.

High risk

High-risk conditions include diabetes mellitus with 0 to 1 additional major ASCVD risk factors, CKD stage 3B or 4, or LDL-C of ≥ 190 mg/dL or the presence of ≥ 3 major ASCVD risk factors. As an option for those with 2 major ASCVD risk factors, the clinician may wish to perform quantitative risk scoring to estimate 10-year or long-term or lifetime risk for an ASCVD or CHD event. This step should generally be completed before investigation of other factors for risk refinement because the patient and health care system incurs no additional cost. This will facilitate identification of patients who may be classified as high risk in the absence of any of the high-risk conditions listed previously. The panel considers the threshold of high risk to be as follows for 3 of the most commonly used risk calculators:

- ATP III Framingham risk calculator (<http://cvdrisk.nhlbi.nih.gov/calculator.asp>): $\geq 10\%$ 10-year risk for a hard CHD event (myocardial infarction or CHD death);
- Pooled Cohort Equations (ACC/AHA; <http://tools.cardiosource.org/ASCVD-Risk-Estimator/>): $\geq 15\%$ 10-year risk for a hard ASCVD event (myocardial infarction, stroke, or death from CHD or stroke); and
- Framingham long-term (30 year) risk calculator (<http://tools.cardiosource.org/ASCVD-Risk-Estimator/>): $\geq 45\%$ risk for CVD (myocardial infarction, CHD death, or stroke).

It should be noted that these thresholds are not intended to indicate “statin benefit groups” (ie, those in whom statin therapy has shown benefits regarding ASCVD event risk reduction) as used in the ACC/AHA Guideline on the Treatment of Blood Cholesterol to Reduce Atherosclerotic Cardiovascular Risk in Adults.³

In addition to the 3 described here, there are many other calculators available for use by the clinician to quantitatively estimate risk for ASCVD (see Goff et al⁹ for a summary). Clinicians may prefer to use other risk calculators, but should be aware that quantitative risk calculators vary in the clinical outcomes predicted (eg, CHD events, ASCVD events, cardiovascular mortality); the risk factors included in their calculation; and the time frame for their prediction (eg, 5 years, 10 years, or long-term or lifetime). Such calculators may omit certain risk indicators that can be very

important in individual patients, provide only an approximate risk estimate, and require clinical judgment for interpretation. For clinicians who routinely measure high-sensitivity C-reactive protein, the Reynolds Risk Score, which incorporates high-sensitivity C-reactive protein, might be a good option (www.reynoldsriskscore.org).²⁶⁵

Results from primary prevention RCTs show that the relative risk for ASCVD events is reduced with statin therapy compared with control groups in whom incidence rates for ASCVD are relatively low (approximately 5.0%–7.5% 10-year risk projected from rates observed over shorter observation periods).^{74,76,266}

The threshold of $\geq 10\%$ 10-year risk for a hard CHD event using the ATP III Framingham Risk calculator was selected because it is roughly equivalent to 15% ASCVD risk, assuming the risk for stroke represents approximately one third of ASCVD events.^{3,4,9,267} The threshold of $\geq 15\%$ 10-year risk for a hard ASCVD event using the ACC/AHA Pooled Cohort Equations is higher than that recommended by the ACC/AHA for identification of a primary prevention statin benefit group.^{3,9} The NLA Expert Panel was concerned that the Pooled Cohort Equations may overestimate risk in the current US population, resulting in overtreatment of some groups, particularly the elderly.^{268,269}

The Pooled Cohort Equations have been found to perform well in some cohorts,²⁷⁰ but appear to overestimate risk in others.^{9,271–273} They have not undergone a prospective 10-year validation to date, and one possible reason for overestimation of risk is that ASCVD event risk has been declining in the US population.¹⁹ The NLA Expert Panel view was that evidence from RCTs of statin therapy justified lowering the thresholds for consideration of drug therapy that were recommended in the NCEP ATP III guidelines. High risk was defined by the NLA Expert Panel as the threshold that defined “moderately high risk” in the 2004 ATP III update.²⁰ Some panel members expressed concern that the threshold for consideration of drug therapy in the ACC/AHA recommendations might be too low, particularly for older patients in whom age is the main factor responsible for crossing the 7.5% threshold. This will likely result in a smaller group that would potentially qualify for drug therapy, particularly among older individuals with a low burden of ASCVD risk factors other than age. The panel viewed measurement of CAC as a particularly useful tool for assisting with decisions about whether to use lipid-altering drug therapy in patients who fall into the range of 7.5% to 14.9% 10-year risk based on the Pooled Cohort Equations, or between 5.0% and 9.9% based on Framingham risk scoring.

Assessment of lifetime risk is now accepted as an important aspect of risk assessment, particularly among younger individuals (<50 years of age).^{3,113} Long-term ASCVD event risk increases with both the number and severity of major risk factors.^{113,274} Counting of major risk factors is most useful for long-term risk estimation, and has greater utility for this purpose than for assessing intermediate-term risk.²⁷⁴ The NLA Expert Panel

recommendation for the high-risk threshold of $\geq 45\%$ using the Framingham long-term (30 year) risk calculator was selected based on performance of the risk calculator in participants in the Framingham Heart Study^{113,114} and the Cardiovascular Lifetime Risk Pooling Project.²⁷⁴ Among Framingham Heart Study participants who were free of CVD at 50 years of age, lifetime risks to 95 years of age were estimated to be 51.7% for men and 39.2% for women.¹¹³ The NLA approach considers high long-term risk as a finding that might alter the decision to use pharmacologic therapy, based on the causal-exposure paradigm, with the view that the injurious action of exposure to elevated levels of atherogenic cholesterol occurs over an extended period and preventive efforts earlier in the process are likely to be effective for arresting the initiation and progression of atherosclerotic disease.¹¹¹

Scoring calculators based on population statistics provide only an approximate risk estimate for individual patients and require clinical judgment for interpretation. This is particularly true when applied to groups that may differ in average risk level compared with the population from which the equations were developed,²⁷³ and in some cases even when applied to the same population with characteristics that may have changed over time.²⁷² There is no prospective evidence from RCTs that quantitative risk scoring is optimal for evaluating the need for lipid-altering therapies because trials of lipid-altering therapies have generally enrolled patients according to individual risk factors, particularly atherogenic cholesterol level, and not according to quantitative risk scores.^{271,272} Furthermore, the uptake and utilization of quantitative risk scoring in clinical practice is rather low, resulting in underuse of lipid-altering therapies in those with multiple risk factors and diminished control of atherogenic cholesterol.²⁷⁵ Risk equations should generally not be used in patients who have already been treated for dyslipidemia²⁷⁶ and should not be used in individuals with FH because they underestimate risk. In some patients, the ASCVD risk estimate will be in the moderate- or high-risk category based primarily on nonlipid risk factors such as smoking or hypertension. In such cases, attention to these risk determinants may be most important.

The goals of therapy for patients at high risk are non-HDL-C <130 mg/dL and LDL-C <100 mg/dL, with consideration given to drug therapy in those whose atherogenic cholesterol levels are higher than these goal levels, generally after a trial of lifestyle therapy. However, drug treatment may be started concurrently with lifestyle therapy in some high-risk patients, such as those who are unlikely to be able to attain goal levels of atherogenic cholesterol without drug therapy (eg, patients with LDL-C of ≥ 190 mg/dL) or with diabetes mellitus and 0 to 1 major ASCVD risk factors.

Moderate risk

Individuals with 2 major ASCVD risk factors, in the absence of conditions that place them into the high- or very high-risk categories, are considered to be at moderate risk

(approximately 5% to <15% 10-year risk for an ASCVD event). Quantitative risk scoring and, in selected cases, evaluation of one or more additional risk indicators (Table 11) may be performed to identify those who should be reclassified as high risk (see the previous section).

Categorical risk factor counting and quantitative risk assessment provide similar results in most cases. Quantitative risk scoring may be helpful to refine decisions about risk stratification by accounting for variability in risk factor level or intensity and interactions between age and ASCVD risk factors.⁴ It also provides an estimate of absolute risk, which may be useful as an educational tool. The NLA Expert Panel recommends consideration of those indicators of risk that do not result in additional cost to the patient, including quantitative risk scoring, first. If uncertainty persists after doing so, the expense of obtaining assessments of one or more additional risk indicators (Table 11) might be considered.

In some patients, 10-year risk for an ASCVD event may be lower than the high-risk threshold, but lifetime risk may be substantially elevated. This is especially true in women and younger adults (<50 years of age). In such individuals, calculation of long-term or lifetime risk may be particularly useful as an adjunct to the 10-year ASCVD or CHD event risk.^{113,114} However, most risk equations do not incorporate additional risk indicators, which may be important to consider in specific patients.

The greatest potential utility exists for assessment of additional risk indicators among patients with 2 major ASCVD risk factors to identify those for whom the threshold for consideration of pharmacotherapy could be lowered. Indicators that might be considered for risk refinement are shown in Table 11 and include a severe disturbance in a single major ASCVD risk factor (eg, strong family history of CHD or multipack per day smoking)^{245,246,254}; LDL-C ≥ 160 mg/dL and/or non-HDL-C ≥ 190 mg/dL; Lp (a) ≥ 50 mg/dL^{161,252}; high-sensitivity C-reactive protein ≥ 2 mg/L¹⁶¹; an indication of subclinical disease, including elevated CAC ≥ 300 Agatston units^{250,251}; or urine albumin-to-creatinine ratio ≥ 30 mg/g as a marker of increased CKD and ASCVD risk.²⁵⁵

The cut points for increased risk for Lp (a) and C-reactive protein were selected based on the recommendations of the 2011 NLA Expert Panel evaluation of biomarker assessments.¹⁶¹ The ASCVD risk predictive power of Lp (a) appears to be independent and additive to other ASCVD risk factors including LDL-C and non-HDL-C concentrations.²⁵² An Lp (a) concentration of ≥ 50 mg/L represents approximately the 80th percentile of the general population.^{161,252} C-reactive protein, which generally reflects the level of inflammation, is also a marker of risk for ASCVD events.^{161,247–249} The selected cut point of ≥ 2.0 mg/L corresponds to the midpoint of the middle population tertile (1.0–3.0 mg/L) of high-sensitivity C-reactive protein approximated from >15 populations.²⁴⁷

CAC has incremental prognostic value for evaluating risk for an ASCVD event.^{250,251,277–284} The threshold for

CAC of ≥ 300 Agatston units was chosen as an indicator of high risk based on population data, which demonstrated that a CAC score of >300 , compared with a CAC of 0, was predictive of risk for myocardial infarction or CHD death among asymptomatic individuals with coronary risk factors (ie, moderate risk).^{9,250,251,285} However, the CAC score should be interpreted in the context of the age, sex, and race or ethnicity of the patient.^{281,286–288} Therefore, a value ≥ 75 th percentile for the patient's age, sex, and race or ethnicity may also be used as an indicator of high-risk status (calculator from the MESA Coordinating Center available at <http://www.mesa-nhlbi.org/CACReference.aspx>).²⁸¹ In some patients, the 75th percentile may yield a CAC threshold well below 300 Agatston units. For example, in a 60-year-old black (African American) male, the 75th percentile for CAC is 40 Agatston units.²⁸⁹

An investigation of the impact of novel risk markers used in risk refinement, including CAC and high-sensitivity C-reactive protein, on ASCVD risk assessment using different cardiovascular risk equations demonstrated that incorporation of MESA-defined low-risk (0 Agatston units), high-risk (100 Agatston units), and very high-risk (400 Agatston units) CAC thresholds into validated risk equations resulted in greater changes in absolute cardiovascular risk than incorporation of high-sensitivity C-reactive protein values (thresholds of 1.0, 3.0, and 7.0 mg/L for low-, high-, and very high-risk, respectively).²⁹⁰ It should be recognized that thresholds or cut points in these risk factors represent relative risk on a continuum and should not be misinterpreted as either the absence or presence of such risk at values above or below that threshold. As additional data become available regarding prediction, discrimination, and accuracy, it should be possible to more clearly define optimal strategies for application of these tests for additional ASCVD risk indicators in clinical practice.²⁶⁸

The goals of therapy for those at moderate risk are non-HDL-C of <130 mg/dL and LDL-C of <100 mg/dL with consideration given to drug therapy in those with values at least 30 mg/dL above these levels (Table 3). However, the presence of one or more additional risk indicators may prompt the clinician to consider drug therapy for a patient in whom atherogenic cholesterol level is less than 30 mg/dL above the goal threshold.

Low risk

Individuals with 0 or 1 major ASCVD risk factors are generally at low risk for an ASCVD event (<5% 10-year ASCVD event risk). Quantitative risk scoring is not typically necessary for such patients. Lifestyle therapies are the primary modalities for management of atherogenic cholesterol levels in such patients, although consideration may be given to pharmacotherapy in those with non-HDL-C of 190 to 219 mg/dL (LDL-C of 160 to 189 mg/dL).

If information about additional risk indicators or subclinical disease is known for patients with 0 to 1 risk factors, this should be considered when assigning the risk category and in making decisions about the use of pharmacotherapy.

Chart 3 Recommendations for ASCVD risk assessment and treatment goals based on risk category

Recommendations	Strength	Quality
ASCVD risk assessment includes lipoprotein lipid levels, evaluation of other major risk factors, clinical evidence of ASCVD, and other conditions known to be associated with high or very high risk for an ASCVD event (LDL-C, ≥ 190 mg/dL; type 1 or 2 diabetes mellitus; and CKD stage 3B or higher).	A	High
Quantitative risk scoring is generally not recommended for high-risk and very high-risk groups, unless a validated equation for that population subset is used.	E	Low
ASCVD risk category is used for defining treatment goals for atherogenic cholesterol (and apo B) and for defining the level of atherogenic cholesterol elevation for which pharmacotherapy to lower atherogenic cholesterol might be considered.	A	Moderate
Lifestyle therapies should be emphasized and monitored in all patients with elevated levels of atherogenic cholesterol, whether or not pharmacotherapy for dyslipidemia management is used.	A	Moderate
Patients with clinical evidence of ASCVD and patients with diabetes and ≥ 2 major ASCVD risk factors or evidence of end-organ damage are at very high risk; drug therapy is recommended for patients with atherogenic cholesterol levels above goal.	A	High
<ul style="list-style-type: none"> Non-HDL-C goal is <100 mg/dL LDL-C goal is <70 mg/dL 		
For patients with ASCVD or diabetes mellitus, consideration should be given to use of moderate- or high-intensity statin therapy, irrespective of baseline atherogenic cholesterol levels.	A	High
Goals for atherogenic cholesterol levels for patients with stage 5 CKD are considered a matter of clinical judgment.	A	High
Patients with ≥ 3 major ASCVD risk factors or a high-risk condition (diabetes mellitus with 0–1 additional major ASCVD risk factors, CKD stage 3B or 4, or LDL-C ≥ 190 mg/dL) are at high risk; consideration of drug therapy is recommended for those with atherogenic cholesterol levels above goal after initiation of lifestyle therapy.	A	High
<ul style="list-style-type: none"> Non-HDL-C goal is <130 mg/dL LDL-C goal is <100 mg/dL 		
In some high-risk patients, drug therapy may be started concurrently with lifestyle therapy (eg, those who are might be unlikely to attain atherogenic cholesterol goal levels without drug therapy or with diabetes mellitus and 0–1 other major ASCVD risk factors).	B	Moderate
Patients with 2 major ASCVD risk factors, in the absence of conditions that place them into the high- or very high-risk categories, are at moderate risk; consideration should be given to drug therapy in those with values at least 30 mg/dL above goal levels after initiation of lifestyle therapy.	A	High
<ul style="list-style-type: none"> Non-HDL-C goal is <130 mg/dL LDL-C goal is <100 mg/dL 		
Patients with 0 or 1 major ASCVD risk factors are generally at low risk for an ASCVD event, and quantitative risk scoring is generally not necessary. Lifestyle therapies are the primary modality for management, but consideration may be given to pharmacotherapy when non-HDL-C is 190 to 219 mg/dL (LDL-C, 160–189 mg/dL).	A	Low
<ul style="list-style-type: none"> Non-HDL-C goal is <130 mg/dL LDL-C goal is <100 mg/dL 		
Quantitative risk scoring to estimate 10-y or long-term or lifetime risk for an ASCVD or CHD event is an option for patients with 2 major ASCVD risk factors, in the absence of any high-risk conditions, to facilitate identification of high risk. Thresholds of high risk include the following:	E	Low
<ul style="list-style-type: none"> $\geq 10\%$ 10-y risk for a hard CHD event (ATP III Framingham) $\geq 15\%$ 10-y risk for a hard ASCVD event (Pooled Cohort Equations) $\geq 45\%$ risk for CVD (Framingham long-term, 30-y risk) 		
When there is uncertainty about assigning risk category and the value of initiating pharmacotherapy, consideration of those indicators of risk that do not result in additional cost to the patient, including quantitative risk scoring, should generally be considered first.	E	Low
Additional risk indicators including a severe disturbance in a major ASCVD risk factor, indicators of subclinical disease (CAC, ≥ 300 Agatston units), LDL-C ≥ 160 mg/dL and/or non-HDL-C ≥ 190 mg/dL, high-sensitivity C-reactive protein ≥ 2.0 mg/L, Lp (a) ≥ 50 mg/dL, and urine albumin-to-creatinine ratio ≥ 30 mg/g should be considered when there is uncertainty about assigning risk category and the value of initiating pharmacotherapy.	E	Moderate

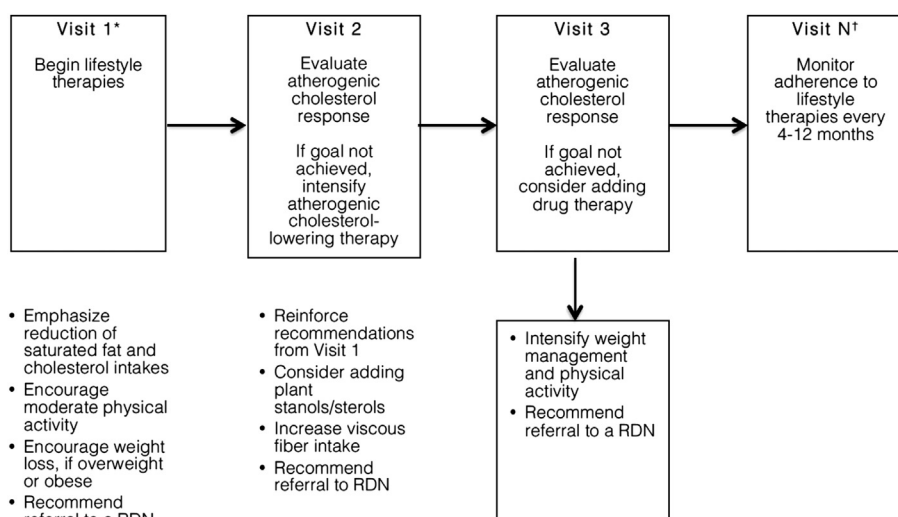


Figure 12 Model of steps in lifestyle therapies. *For people at high or very high risk for atherosclerotic cardiovascular disease in whom drug therapy is indicated, it may be started concomitantly with lifestyle therapies. For other patients, a trial of lifestyle therapies should be undertaken before the initiation of drug therapy. †In most cases, goal levels should be achieved in approximately 6 months. RDN, registered dietitian nutritionist.

In some individuals, a severe disturbance in a single major ASCVD risk factor, a known disturbance in an additional risk indicator, or evidence of subclinical disease (Table 11) might justify classifying the patient into the moderate- or the high-risk category, prompting consideration of pharmacotherapy at lower levels of atherogenic cholesterol. For CAC, a value in the range of 100 to 299 Agatston units, but below the age- and sex-specific 75th percentile, would justify assignment to the moderate-risk category in an otherwise low-risk patient.^{283,285,289,291,292} Values of CAC of ≥ 300 Agatston units or ≥ 75 th percentile would be considered high risk as described in the previous section.^{250,251,289} Chart 3 summarizes the recommendations for ASCVD risk assessment and treatment goals based on risk category.

Application of lifestyle and drug therapies intended to reduce morbidity and mortality associated with dyslipidemia

Lifestyle therapies

Figure 12 shows a model of the steps in application of lifestyle therapies. For patients at low or moderate risk, lifestyle therapies should be given an adequate trial (at least

3 months) before the use of drug therapy is considered. In patients at very high risk, drug therapy may be started concurrently with lifestyle therapies. This may also be the case for selected patients in the high risk category if the clinician feels it is unlikely that lifestyle therapies alone will be sufficient to reach goal, or if the patient has a high-risk condition such as diabetes mellitus or CAC of ≥ 300 Agatston units.

Visit 1

Typical lifestyle therapies for hypercholesterolemia include a diet low in saturated fat ($<7\%$ of energy), moderate or higher intensity physical activity (≥ 150 min/wk), and weight loss (5%–10% of body weight) for those who are overweight or obese. Where available, referral to a registered dietitian nutritionist (RDN) is recommended to facilitate dietary modification and to an exercise specialist for guided instruction on a suitable exercise program.^{293,294} Lifestyle therapies will be described in greater detail in part 2 of these NLA Expert Panel recommendations.

Visit 2

If sufficient progress is not made toward achieving atherogenic cholesterol goals, consideration may be given to the use of dietary adjuncts, including plant sterols and stanols (2–3 g/d) and viscous fibers (5–10 g/d). Dietary and

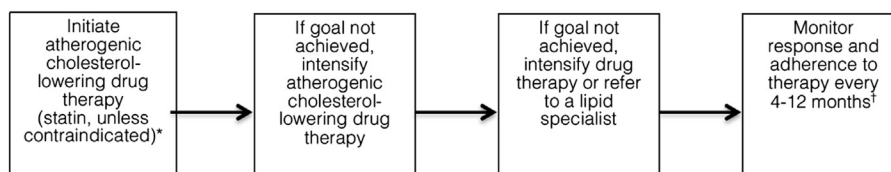


Figure 13 Progression of atherogenic cholesterol-lowering drug therapy.*A moderate- or high-intensity statin should be first-line drug therapy for treatment of elevated levels of atherogenic cholesterol, unless contraindicated. In a patient with very high triglycerides (≥ 500 mg/dL), a triglyceride-lowering drug may be considered for the first-line use to prevent pancreatitis. Other atherosclerotic cardiovascular disease risk factors should be managed appropriately in parallel. †In most cases, goal levels should be achieved in approximately 6 months.

other lifestyle recommendations should be reinforced, and referrals to an RDN and exercise specialist are recommended.

Visit 3

If goal levels of atherogenic cholesterol have been attained, responses to therapy should be monitored at intervals of 6 to 12 months. Note that moderate- or high-intensity statin therapy should be considered for very high-risk patients irrespective of atherogenic cholesterol levels. If goal levels have not been attained and the patient's levels remain above the threshold for consideration of drug therapy, drug treatment might be initiated.

Cholesterol-lowering drug therapies

Figure 13 shows a model for progression of atherogenic cholesterol-lowering drug therapy. When used, drug therapy should generally be initiated with moderate- to high-intensity statin therapy to take advantage of demonstrated ASCVD risk-reduction benefits.^{80,91,98,116}

Patient-centered approach

Before initiation of lipid-lowering drug therapy for ASCVD risk reduction, the clinician should have a discussion with the patient about treatment objectives, as well as the potential for adverse effects, possible interactions with other drugs or dietary supplements, lifestyle and medication adherence, and patient preferences. Drug therapy for elevated levels of atherogenic cholesterol is generally maintained for an extended period. A large percentage of patients (more than 50% in some studies) prescribed a lipid-lowering drug discontinue refilling the prescription within 1 year.²⁹⁵ Therefore, a discussion with the patient of the importance of continued adherence to achieve ASCVD event risk reduction is important. The clinician should convey that alternative agents and regimens are available in the event that side effects occur with a given medication or dosage level.

Thresholds for consideration of drug therapy

Because of the availability of inexpensive, generic statin medications with favorable safety and tolerability profiles, and demonstrated efficacy for reducing ASCVD event risk, even in relatively low-risk patients, the NLA Expert Panel consensus view is that risk thresholds for initiating drug treatment should be lowered as compared with the NCEP ATP III^{4,20} but raised as compared with ACC/AHA 2013 recommendations.^{3,296} Although these medications may be relatively inexpensive and well tolerated, overuse would result in unnecessary side effects (eg, myalgia leading to medication discontinuance, increased risk of raised blood sugar levels, and the development of type 2 diabetes) and ancillary costs including burden on the health care system (eg, physician visits, laboratory testing).^{297,298} Based on the ACC/AHA 2013 guidelines, most men >60 years of age and most women >70 years of age would be eligible for statin therapy.³

Recommendations on such matters always involve a tradeoff between sensitivity (capturing the greatest fraction

Table 12 Intensity of statin therapy*

High-intensity daily dosage ↓ LDL-C ≥50%	Moderate-intensity daily dosage ↓ LDL-C 30% to <50%
Atorvastatin, 40–80 mg	Atorvastatin, 10–20 mg
Rosuvastatin, 20–40 mg	Fluvastatin, 40 mg bid
	Fluvastatin XL, 80 mg
	Lovastatin, 40 mg
	Pitavastatin, 2–4 mg
	Pravastatin, 40–80 mg
	Rosuvastatin, 5–10 mg
	Simvastatin, 20–40 mg

bid, twice daily; LDL-C, low-density lipoprotein cholesterol.

*Individual responses to statin therapy should be expected to vary in clinical practice. Moderate- or high-intensity statin therapy is preferred unless not tolerated.

of the potential risk reduction in the population) and specificity (minimizing the number treated who would not have experienced an ASCVD event). The thresholds selected represent the consensus views of the NLA Expert Panel. Some clinicians may prefer to prescribe drug therapy (mainly statin treatment) to patients with lower levels of risk or atherogenic cholesterol. Such an approach may be considered based on clinical judgment and patient preferences in light of data from primary prevention RCTs showing ASCVD event risk reduction with statin therapy compared with control groups with projected 10-year ASCVD event rates as low as approximately 5% to 7.5%.^{3,74,76,266}

Initiation of drug therapy

Unless contraindicated, first-line drug therapy for treatment of elevated atherogenic cholesterol levels is a moderate- or high-intensity statin (see Table 12 for statin intensity categories). A moderate-intensity statin will generally lower LDL-C by 30% to <50% and a high-intensity statin by ≥50%, although individual patient responses should be expected to vary considerably.^{20,98,299} Some clinicians prefer to start with a high-intensity statin and reduce the dosage if the patient experiences intolerance. Others prefer to start with a moderate-intensity statin and titrate upward if additional lowering of atherogenic cholesterol is desired. Because patients commonly discontinue therapy when they experience side effects,^{18,142,295} it is important for the clinician to apply the strategy that he or she feels will produce the greatest likelihood of long-term adherence in a given patient. However, if drug therapy is used, the panel consensus view was that at least a 30% reduction in atherogenic cholesterol should be targeted.⁸

Some patients have contraindications for, or intolerance to, statin therapy. For such patients, nonstatin drug therapy may be considered. Nonstatin drug classes for lipid management include cholesterol absorption inhibitor, bile acid sequestrants, fibric acids, long-chain omega-3 fatty acid concentrates, and nicotinic acid.³⁰⁰ Cholesterol absorption inhibitor, bile acid sequestrants, fibric acids, and nicotinic

Table 13 Drugs affecting lipoprotein metabolism

Drug class, agents, and daily doses	Lipid/lipoprotein effects	
Statins [*]	LDL-C	↓18%–55%
	Non-HDL-C	↓15%–51%
	HDL-C	↑5%–15%
	TG [†]	↓7%–30%
Bile acid sequestrants [‡]	LDL-C	↓15%–30%
	Non-HDL-C	↓4%–16%
	HDL-C	↑3%–5%
	TG	↑0%–10%
Nicotinic acid [§]	LDL-C	↓5%–25%
	Non-HDL-C	↓8%–23%
	HDL-C	↑15%–35%
	TG	↓20%–50%
Fibric acids	LDL-C [¶]	↓5%–↑20%
	Non-HDL-C	↓5%–19%
	HDL-C	↑10%–20%
	TG	↓20%–50%
Cholesterol absorption inhibitor	LDL-C	↓13%–20%
	Non-HDL-C	↓14%–19%
	HDL-C	↑3%–5%
	TG	↓5%–11%
Long-chain omega-3 fatty acid drugs	LDL-C [¶]	↓6%–↑25%
	Non-HDL-C	↓5%–14%
	HDL-C	↓5%–↑7%
	TG	↓19%–44%

HDL-C, high-density lipoprotein cholesterol; LDL-C, low-density lipoprotein cholesterol; non-HDL-C, non-high-density lipoprotein cholesterol; TG, triglycerides.

^{*}See Table 12 for a description of statins and doses.

[†]TG reduction with statins, particularly high-potency statins, is higher in patients with hypertriglyceridemia, producing reductions in the range of 20% to 50%.

[‡]Cholestyramine (4–16 g), colestipol (5–20 g), and colesevelam (2.6–3.8 g).

[§]Immediate-release (crystalline) nicotinic acid (1.5–3 g), extended-release nicotinic acid (1–2 g), and sustained-release nicotinic acid (1–2 g).

^{||}Gemfibrozil, fenofibrate, and fenofibric acid.

[¶]For fibric acids and long-chain omega-3 fatty acid drugs, LDL-C may increase in patients with very high TG, except for omega-3 products that contain eicosapentaenoic acid only, and no docosahexaenoic acid.

acid have been shown to reduce CHD or ASCVD event rates in placebo-controlled RCTs.^{4,83–85,301–303} A summary of the lipid effects of the main classes of drugs available in the United States for treatment of dyslipidemia is shown in Table 13. Two additional classes of medications are also available with more limited indications for the treatment of patients with homozygous FH: mipomersen—an antisense oligonucleotide that targets the messenger RNA for apo B³⁰⁴ and lomitapide—a microsomal triglyceride transfer protein inhibitor.³⁰⁵

Follow-up visits

If the goal levels of atherogenic cholesterol have not been achieved, the statin dosage may be increased, or the patient might be switched to a more efficacious agent. If, after an

adequate trial of the highest intensity statin therapy tolerated, goal levels of atherogenic cholesterol have not been achieved, the clinician may consider referral to a lipid specialist, or addition of a second cholesterol-lowering agent. Once goal levels of atherogenic cholesterol have been achieved, response to therapy should be monitored periodically, and within 4 to 12 months, to confirm continued success in maintenance of goal levels and patient adherence.

In some patients taking high-intensity statin therapy, atherogenic cholesterol levels may drop to low levels (eg, LDL-C, <40 mg/dL). At present, no evidence suggests harm with such low circulating cholesterol levels, and therapy may be continued in such patients, particularly those at very high ASCVD event risk, in the absence of signs or symptoms of intolerance.^{98,176,306,307} The limited amount of data available at these low levels is acknowledged, and the NLA Expert Panel awaits analyses from ongoing (eg, with the PCSK9 inhibitors) or recently completed trials (<https://clinicaltrials.gov/ct2/show/NCT01624142>)⁸³ to provide a more robust data set for cholesterol levels in these very low ranges.

Monitoring of atherogenic cholesterol levels is also important from the perspective of the evaluation of health care systems.¹⁴¹ Information on attainment and maintenance of goal levels of atherogenic cholesterol allows mechanisms to be implemented for providing feedback to providers regarding quality of health care delivery.²⁹⁵ Early and frequent follow-up by physicians (especially lipid testing) has been shown to be associated with improved adherence to lipid-lowering therapy.³⁰⁸ A randomized prospective study is needed to determine whether this relationship is causal.

Management of patients with hypertriglyceridemia

For patients with very high triglycerides (≥500 mg/dL), the primary objective of therapy is to lower the triglyceride level to <500 mg/dL to reduce the risk of pancreatitis.^{197,201} For patients with hypertriglyceridemia who have high triglycerides (200–499 mg/dL), the primary objective of therapy is to lower levels of atherogenic cholesterol (non-HDL-C and LDL-C) to reduce risk for an ASCVD event.

Lifestyle interventions are a key to efforts to reduce triglycerides, including weight loss if overweight or obese (initially targeting loss of 5%–10% of body weight), physical activity (≥150 min/wk of moderate or higher intensity activity), and restriction of alcohol and sugar or refined carbohydrate intakes.^{197,226,309}

For those with very high triglycerides (≥500 mg/dL), chylomicronemia will often be present (essentially all patients with fasting triglycerides of ≥750 mg/dL will demonstrate chylomicronemia).³¹⁰ For such patients, a low-fat diet (<15% of energy) may be helpful to reduce entry of new chylomicron particles into the circulation.³¹¹ For patients with triglycerides of <500 mg/dL, partial replacement of dietary carbohydrate (especially sugars and other refined carbohydrates) with a combination of unsaturated

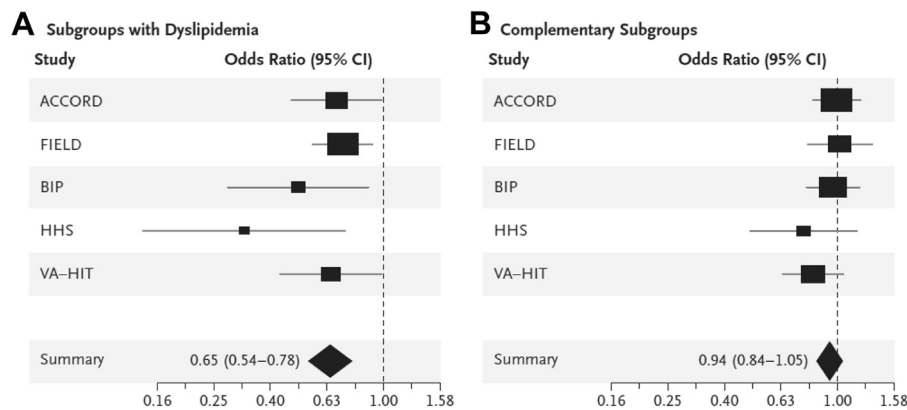


Figure 14 Reduction in the rate of coronary heart disease (CHD) events in subgroups of subjects with dyslipidemia from randomized controlled trials (RCTs) of fibrates.³²⁷ Data from a meta-analysis of randomized trials of fibrate drugs are shown; an odds ratio of less than unity indicates a beneficial effect. (A) Data from subgroups of patients with dyslipidemia (ie, high levels of triglycerides and low levels of high-density lipoprotein cholesterol [HDL-C]) and (B) data from complementary subgroups without this type of dyslipidemia. The subgroup with dyslipidemia defined according to criteria prespecified in the ACCORD Lipid trial (a triglyceride level of ≥ 204 mg/dL and an HDL-C level of ≤ 34 mg/dL) and the subgroup with levels closest to these lipid criteria in each of the other trials were used. In the Fenofibrate Intervention and Event Lowering in Diabetes (FIELD) study, the cutoff triglyceride level was ≥ 204 mg/dL and the HDL-C level was < 40 mg/dL in men or < 50 mg/dL in women. In the Bezafibrate Infarction Prevention (BIP) study, the triglyceride level was ≥ 200 mg/dL and the HDL-C level was < 35 mg/dL. In the Helsinki Heart Study (HHS), the triglyceride level was > 204 mg/dL and the HDL-C was < 42 mg/dL. In the Veterans Affairs HDL Intervention Trial (VA-HIT), the triglyceride level was > 180 mg/dL and the HDL-C level was < 40 mg/dL. The outcome defined for the subgroup analysis in each trial was used. The subgroups with dyslipidemia in all 5 studies included a total of 2428 study participants and 302 events among the patients who received fibrate therapy and 2298 study participants and 408 events among those who received placebo. A random-effects meta-analysis was used. The area of the rectangles is proportional to the precision of the study-specific estimated effect. The horizontal lines indicate the 95% confidence intervals (CIs) for study-specific odds ratios. The diamonds represent the summary odds ratios, with the width indicating the 95% CI. From Sacks FM et al.³²⁷ Copyright © (2010) Massachusetts Medical Society. Reprinted with permission from Massachusetts Medical Society.

fats and proteins may help to reduce the triglyceride and non-HDL-C concentrations.^{197,226,309,312}

When drug therapy is indicated in a patient with hypertriglyceridemia, an agent that primarily lowers triglycerides and VLDL-C (fibrates, high-dose [2–4 g/d] long-chain omega-3 fatty acids, or nicotinic acid) should be the first-line agent if the fasting triglyceride concentration is ≥ 1000 mg/dL because these will generally produce the largest reductions in triglycerides. For patients with triglycerides of 500 to 999 mg/dL, a triglyceride-lowering agent or a statin (if no history of pancreatitis) may be reasonable first-line drug options.

For patients with high triglycerides (200–499 mg/dL), a statin will generally be first-line drug therapy. Statins are the most effective agents for reducing levels of atherogenic cholesterol and apo B, and evidence from hypertriglyceridemic subgroups in RCTs shows that statins lower ASCVD event risk in patients with elevated triglycerides in this range.¹⁹⁸ If maximum tolerated statin therapy does not lower non-HDL-C below goal levels in patients with triglycerides 200 to 499 mg/dL, adding an agent that primarily lowers triglycerides and VLDL-C may help to achieve atherogenic cholesterol goals. Subgroup analyses from cardiovascular outcome studies provide suggestive evidence of reduced ASCVD event risk with the addition of a triglyceride-lowering agent to statin therapy, particularly in patients with the combination of elevated triglycerides and low HDL-C.^{313–316}

Statin intolerance and side effects

Symptoms reported with statin use include mainly muscle-related complaints (myalgias), although there have been some anecdotal reports of short-term memory impairment.^{122,317,318} Observational studies have failed to find significant evidence for memory loss in those on longer-term statin therapy. It is important to remember that musculoskeletal complaints are common in elderly patients without statin therapy, so an evaluation of such complaints to assess other possible causes should be undertaken before attributing such symptoms to statin therapy. It is also common for patients to have concomitant therapies with the potential to interact with statins, increasing the risk of muscle symptoms.^{319,320} For patients with statin intolerance, symptoms may improve when the patient is switched to a different statin. Other strategies that may be used include limiting the daily dosage and modified regimens such as every other day or once weekly dosing with statins that have a long half-life. In some patients, it may be possible to switch to an alternative concomitant therapy to enhance statin tolerance. For patients who cannot tolerate a statin with the previously discussed strategies, a nonstatin drug alone or in combination with another cholesterol-lowering agent may be considered.³²¹

A modest increase in risk for type 2 diabetes mellitus has been observed with statin therapy in RCTs, and higher intensity statin therapy appears to increase risk to a greater extent than less-intensive regimens.^{322–324} The increase in

diabetes incidence seems to occur mainly in those with diabetes risk factors, such as the metabolic syndrome components.^{324,325} However, these analyses also suggest that several ASCVD events are prevented for each excess case of diabetes produced by statin therapy, or higher intensity statin therapy. Therefore, the panel recommends that fasting glucose or glycated hemoglobin be checked before initiation of statin therapy and within 1 year afterward in those with diabetes risk factors.³²⁴ In addition, lifestyle therapies should be emphasized, both to aid in lowering levels of atherogenic cholesterol and for reducing diabetes risk.

Combination drug therapy

Therapy with a statin plus a second (or third) agent may be considered for patients who have not reached their treatment goals for atherogenic cholesterol levels, particularly in patients with very high or high risk.³²¹ The maximum tolerated statin dosage should generally be used before add-on therapy is considered. A meta-analysis of data from RCTs of statin use demonstrated a large interindividual variability in the reduction of LDL-C, non-HDL-C, and apo B (Fig. 7).⁹⁷ Among those patients treated with high-dose statin therapy, more than 40% did not reach their LDL-C target of <70 mg/dL. This demonstrates that statin therapy alone may be insufficient for some individuals to reach goal and supports the recommendation to consider combination drug therapy.

The nonstatin drug classes are generally safe, and there is RCT evidence for ASCVD reduction associated with the use of niacin, gemfibrozil, and cholestyramine as monotherapies.^{4,84,85,301–303} However, results from the Heart Protection Study 2—Treatment of HDL to Reduce the Incidence of Vascular Events (HPS2-THRIVE) study of extended release niacin with laropirant indicated that in addition to expected side effects of skin irritation and gastrointestinal disturbance, niacin also increased myopathy among patients in China and increased risk for other unexpected side effects including bleeding and infections.²¹⁶ Several nonstatins also have RCT evidence for ASCVD reduction as statin adjuncts in subgroup analyses of patients with elevated triglycerides or elevated triglycerides plus low HDL-C concentrations.¹⁹⁸ These include eicosapentaenoic acid ethyl esters,^{313,326} fibrates (Fig. 14),^{314,327} and niacin.^{213,315}

Ezetimibe, an NPC1L1 protein inhibitor that reduces cholesterol absorption, has been shown to produce significant additional improvements in LDL-C levels and goal attainment when added to statin therapy.³²⁸ The efficacy of ezetimibe as add-on therapy to a statin after acute coronary syndromes in 18,444 patients was evaluated in the IMPROVED Reduction of Outcomes: Vytorin Efficacy International Trial (IMPROVE-IT).^{83,329} At the time of this writing, the results from IMPROVE-IT were not published, but based on a presentation of the data at the American Heart Association 2014 Scientific Sessions, 7-year event rates showed that 32.7% of patients treated with ezetimibe plus simvastatin had the primary outcome of cardiovascular

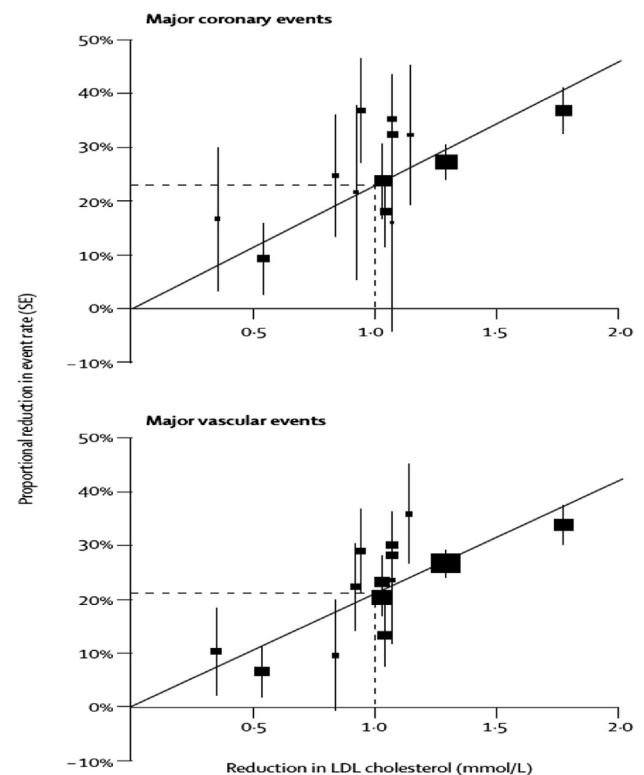


Figure 15 Relation between proportional reduction in incidence of major coronary events and major vascular events and mean absolute low-density lipoprotein (LDL) cholesterol reduction.⁹² Square represents a single trial plotted against mean absolute LDL cholesterol reduction at 1 year, with vertical lines above and below corresponding to one standard error of unweighted event rate reduction. Trials are plotted in order of magnitude of difference in LDL cholesterol difference at 1 year. For each outcome, regression line (which is forced to pass through the origin) represents weighted event rate reduction per mmol/L LDL cholesterol reduction. Taken from Baigent C et al.⁹²

death, myocardial infarction, documented unstable angina requiring hospitalization, coronary revascularization, or stroke compared with 34.7% of subjects treated with simvastatin alone (hazard ratio, 0.936; confidence interval, 0.887–0.988; $P = .016$).⁸³ Median LDL-C levels at 1 year were 53.2 mg/dL with ezetimibe plus simvastatin vs 69.9 mg/dL with simvastatin alone. The results for ezetimibe as a statin add-on are consistent with effects predicted from studies of the intensification of statin therapy. According to the reported relationship of a 22% reduction in major vascular events per 1 mmol/L reduction in LDL-C from statin therapies (Fig. 15),^{92,98} the predicted reduction in major vascular events with combination therapy in IMPROVE-IT would be 9.5%, which is nearly identical to the reported reduction of 10%.⁸³ The number needed to treat for prevention of the primary end point was 50, calculated using 7-year event rates, the median follow-up in the trial. Thus, assuming no off-target effects, these results suggest that the manner in which atherogenic cholesterol levels are lowered does not alter the magnitude of ASCVD risk reduction

Table 14 LDL apheresis*

NLA criteria from Expert Panel on FH	FDA-approved indication
<p>LDL apheresis may be considered for the following patients who, after 6 mo, do not have adequate response to maximum tolerated drug therapy:</p> <ul style="list-style-type: none"> • Functional homozygous FH with LDL-C ≥ 300 mg/dL (or non-HDL-C ≥ 330 mg/dL) • Functional heterozygous FH with LDL-C ≥ 300 mg/dL (or non-HDL-C ≥ 330 mg/dL) and 0 to 1 risk factors • Functional heterozygous FH with LDL-C ≥ 200 mg/dL (or non-HDL-C ≥ 230 mg/dL) and high risk characteristics, such as 2 risk factors or high lipoprotein (a) ≥ 50 mg/dL using an isoform-insensitive assay • Functional heterozygous FH with LDL-C ≥ 160 mg/dL (or non-HDL-C ≥ 190 mg/dL) and very high risk characteristics (established CHD, other cardiovascular disease, or diabetes) 	<p>LDL apheresis is considered medically necessary when patients have failed diet and maximum drug therapy from at least 2 separate classes of hypolipidemic drugs for at least 6 mo in addition to any 1 of the following criteria:</p> <ul style="list-style-type: none"> • Homozygous FH with LDL-C ≥ 500 mg/dL • Heterozygous FH with LDL-C ≥ 300 mg/dL • Functional heterozygous FH with LDL-C ≥ 200 mg/dL in patients with coronary artery disease

CHD, coronary heart disease; FDA, Food and Drug Administration; FH, familial hypercholesterolemia; LDL, low-density lipoprotein; LDL-C, LDL cholesterol; NLA, National Lipid Association; non-HDL-C, non-high-density lipoprotein cholesterol.

*The NLA criteria^{253,337} are more inclusive than the FDA-approved indication criteria. Clinicians should be aware of this with regard to reimbursement.

and support the benefits of lowering atherogenic cholesterol to levels below 70 mg/dL.

Much of the available data for the effects of add-on therapy on ASCVD events are from RCTs in which add-on therapy was administered to patients with relatively low levels of atherogenic cholesterol during statin treatment.³³⁰ These studies therefore did not adequately test the hypothesis that adding another lipid-altering therapy to maximum statin therapy would reduce ASCVD risk among patients still above their atherogenic cholesterol goal. In the Atherothrombosis Intervention in Metabolic syndrome with low HDL/high triglycerides: impact on Global Health outcomes (AIM-HIGH) trial, patients had a mean LDL-C level of <70 mg/dL before the addition of niacin to statin.²¹³ Similarly, LDL-C level before adding niacin + laropirant to statin in HPS2-THRIVE was very low—approximately 64 mg/dL,²¹⁶ as was the LDL-C level (~100 mg/dL) in the Action to Control Cardiovascular Risk in Diabetes (ACCORD) study of fenofibrate added to statin therapy.³¹⁴ Thus, limited RCT evidence is available to guide therapy in the patient taking the highest tolerated dosage of a statin, whose levels of atherogenic cholesterol remain above treatment goals.

Observational data as well as results from RCTs comparing lower and higher intensity statin therapy suggest that ASCVD event risk is associated with levels of atherogenic cholesterol and that larger reductions in atherogenic cholesterol levels, or lower on-treatment levels, are associated with greater ASCVD event benefits.^{4,8,97,173} The association between on-treatment levels of LDL-C (and non-HDL-C) appears to follow a log-linear relationship, which is consistent with the view that the primary mechanism of action of statins is through reductions in levels of atherogenic lipoproteins, reflected by lower levels of circulating concentrations of atherogenic cholesterol.^{8,20,97} Moreover, results from studies of different approaches to

cholesterol lowering suggest that the degree of risk reduction with statin therapy for a given reduction in atherogenic cholesterol is similar to that observed with other cholesterol-lowering interventions, including other medications, diet, and ileal bypass surgery.^{8,37,173} However, potential off-target effects of statin and nonstatin drugs should also be considered when evaluating their potential to alter risk for ASCVD.^{331,332}

The NLA Expert Panel consensus view was that until data are available from RCTs to better define the potential benefits and risks of add-on therapies in patients whose levels of atherogenic cholesterol remain elevated while taking the highest tolerated dosage of a statin, consideration may be given to use of combination therapy with agents that further lower non-HDL-C and LDL-C to achieve goal levels of atherogenic cholesterol. The recommendation also extends to use of nonstatin drug therapies, alone or in combination, to achieve atherogenic cholesterol goals in patients who have contraindications or are intolerant to statin therapy.

Treatment of patients with severe hypercholesterolemia

Patients with the severe hypercholesterolemia phenotype (LDL-C, ≥ 190 mg/dL), if untreated, have markedly elevated lifetime risk for ASCVD, particularly premature ASCVD.^{253,256} Many such patients have FH, an autosomal codominant (monogenic) form of hypercholesterolemia resulting from reduced expression of LDL receptors.^{54,55} Other forms of severe hypercholesterolemia result from production of defective apo B that does not have normal interactivity with hepatic LDL receptors and from gain-of-function mutations in the PCSK9 gene.⁵⁴

In some patients with severe hypercholesterolemia, it may not be possible to achieve goal levels of atherogenic

Chart 4 Recommendations for application of lifestyle and drug therapies intended to reduce morbidity and mortality associated with dyslipidemia

Recommendations	Strength	Quality
For patients at low or moderate risk, lifestyle therapy should be given a trial of at least 3 mo before initiation of drug therapy.	E	Moderate
For patients at very high risk and selected patients at high risk (those unlikely to reach goal with lifestyle alone), drug therapy may be started concurrently with lifestyle therapy.	E	Low
Referral to an RDN is recommended to facilitate dietary modification and to an exercise specialist for guided instruction on a suitable exercise program.	A	Moderate
Dietary adjuncts including plant sterols and stanols and viscous fibers can be considered for use by patients when sufficient progress is not made toward achieving atherogenic cholesterol goals with initial lifestyle therapies.	B	Moderate
After atherogenic cholesterol targets are achieved with lifestyle therapies, responses should continue to be monitored at intervals of 6–12 mo.	E	Low
Before initiation of atherogenic cholesterol-lowering drug therapy, the clinician should discuss with the patient the treatment objectives, potential adverse effects, possible interactions with other drugs or dietary supplements, lifestyle and medication adherence, and patient preferences as well as convey that alternative agents and regimens are available in the event of side effects.	E	Low
Clinicians may prefer to prescribe drug therapy (mainly statins) to patients with lower levels of risk or atherogenic cholesterol than outlined by the NLA Expert Panel, based on clinical judgment and patient preferences.	E	Low
First-line cholesterol-lowering drug therapy, unless contraindicated, is moderate- to high-intensity statin. The statin dosage may be increased or the patient switched to a more efficacious agent, if goal levels of atherogenic cholesterol are not achieved.	A	High
Nonstatin drug therapy (cholesterol absorption inhibitors, bile acid sequestrants, fibric acids, long-chain omega-3 fatty acid concentrates, and nicotinic acid) may be considered for patients with contraindications for, or intolerance to, statin therapy.	A	High
Combination drug therapy with a statin plus a second (or third) agent that further lowers non-HDL-C and LDL-C may be considered for patients who have not attained their atherogenic cholesterol levels after the maximum tolerated statin dosage has been reached and for those who have contraindications or are intolerant to statin therapy.	A	Moderate
If drug therapy is used, at least a 30% reduction in atherogenic cholesterol should be targeted.	A	Moderate
After atherogenic cholesterol-lowering targets are achieved with drug therapy, response to therapy should be monitored within 4–12 mo.	E	Low
For patients with very high triglycerides (≥ 500 mg/dL), the primary objective of therapy is to lower the triglyceride level to < 500 mg/dL to reduce the risk of pancreatitis.	A	Moderate
For patients with high triglycerides (200–499 mg/dL), the primary objective of therapy is to lower levels of non-HDL-C and LDL-C to reduce risk for an ASCVD event.	B	Low
Lifestyle interventions are key to efforts to reduce triglycerides. When drug therapy is indicated, an agent that primarily lowers triglycerides should be considered for patients with triglycerides ≥ 1000 mg/dL, a triglyceride-lowering agent or a statin may be reasonable for patients with triglycerides 500–999 mg/dL, and a statin should generally be first-line drug therapy for patients with triglycerides 200–499 mg/dL.	E	Moderate
In patients with statin intolerance, strategies such as limiting the daily dosage and modified regimens may be considered. If the patient still cannot tolerate the statin, a nonstatin drug alone or in combination with another cholesterol-lowering agent may be considered.	E	Moderate
Glucose or glycated hemoglobin should be checked before initiation of statin therapy and within 1 y afterward in those with diabetes risk factors.	E	Moderate
For selected patients with severe hypercholesterolemia, an alternative goal is to lower atherogenic cholesterol levels by at least 50%. LDL apheresis may be considered for selected patients.	B	Moderate
Very aggressive therapy to lower atherogenic cholesterol levels to values well below goal thresholds may be considered for patients with progressive atherosclerosis or recurrent events, despite receiving high-intensity statin therapy. Other potential causes should also be investigated and nonlipid risk factors should be well controlled.	E	Low

cholesterol, even with combination drug therapy. When this is the case, an alternative goal is to lower atherogenic cholesterol levels by at least 50%.²⁵³ New classes of medications (eg, PCSK9 inhibitors) are under investigation that, if shown to be safe and efficacious, may make attainment of goal levels of atherogenic cholesterol practical for a greater fraction of patients with severe hypercholesterolemia.^{333,334}

Mipomersen, an injectable antisense inhibitor of apo B synthesis, when given in combination with maximum tolerated doses of lipid-lowering therapy, can reduce LDL-C by an additional 25% in homozygous FH patients, but even the addition of mipomersen does not achieve the recommended LDL-C target in the vast majority of homozygous FH patients.³³⁵ In addition, injection-site reactions, hepatic fat and liver enzyme elevations are common. Lomitapide, an oral inhibitor of microsomal triglyceride transfer protein, can also reduce LDL-C levels by up to 50% in homozygous FH patients on maximum tolerated lipid-lowering therapy and LDL apheresis.³³⁶ However, given its mechanism of action, gastrointestinal side effects and elevation in liver enzymes and hepatic fat are common. Because of the risk of hepatotoxicity, mipomersen and lomitapide are available only through Risk Evaluation and Mitigation Strategy programs.

For selected patients with severe hypercholesterolemia, LDL apheresis may be considered. Table 14 shows the NLA Expert Panel on FH criteria for consideration of LDL apheresis.^{253,337} These criteria are more inclusive than the Food and Drug Administration–approved indications, which clinicians should be aware of with regard to reimbursement.

Treatment of patients with progressive atherosclerosis, or recurrent events, despite evidence-based therapy

Little evidence is available from RCTs to guide treatment of patients with progressive atherosclerosis, or recurrent events, despite receiving high-intensity statin therapy.^{94,338-340} The NLA Expert Panel consensus view is that very aggressive therapy to lower atherogenic cholesterol levels to values well below goal thresholds may be considered for such patients, although it is acknowledged that this approach is not clearly supported by clinical trial evidence. Investigation of other potential causes, such as an elevated level of Lp (a) or other additional risk indicators may be warranted in such patients.^{341,342} Nonlipid risk factors should be well controlled in such patients. Chart 4 summarizes the recommendations for application of lifestyle and drug therapies intended to reduce morbidity and mortality associated with dyslipidemia.

Updates to this document

Because the evidence in clinical medicine related to lipid management is always evolving, these recom-

mendations will undergo annual review with revision as necessary to reflect important changes to the evidence base.

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